

**Contract Nursing Home Exclusion Review**  
(Part of the initial review for contracting and part of the annual review)

Name of Nursing Home:                      Address:                      City, State & Zip:

Six Digit Provider Number:                      VAMC:

Date of Paper Review/Survey:                      Date of On-site Review/Survey:

**Contract Nursing Homes are to be excluded when four of the seven factors listed below are failed (however, remember the exclusionary criteria are not comprehensive measures and do not replace sound clinical judgment).**

**a. Deficiencies:**

TAG #	Rating (G – L)	Program Requirement (*OSCAR Level G – L equals Nursing Home Compare score of 3 or higher*)	Current Deficiency
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
Section Rating:			<div>Pass</div> <div>Fail</div>
* Facility fails if there are three level "G" or worse deficiencies in the current survey.			<div><input type="checkbox"/></div> <div><input type="checkbox"/></div>

**b. Health Requirement Deficiencies:**

<b>Health Requirement Deficiencies:</b> (The total number of health requirement deficiencies cannot be more that twice the State average in the current survey.)	Current Number at Facility	State Average
Total Health Requirement Deficiencies		
Section Rating	Pass	Fail
	<input type="checkbox"/>	<input type="checkbox"/>

**c. Staff Treatment of Residents and Facility Licensure**

Tag	Title	Program Requirement (Facility fails is there is a rating of E – L or higher in one of the following areas: *OSCAR Level E – L equals Nursing Home Compare score of 2 or higher.)	Pass	Fail
F221	Physical Restraints	The resident has the right to be free from any physical restraints imposed for purposes of discipline or convenience, and not required to treat the resident's medical symptoms.	<input type="checkbox"/>	<input type="checkbox"/>

**c. Staff Treatment of Residents and Facility Licensure continued**

Tag	Title		Pass	Fail
F222	Chemical Restraints	The resident has the right to be free from any chemical restraints imposed for purposes of discipline or convenience, and not required to treat the resident's medical symptoms.	<input type="checkbox"/>	<input type="checkbox"/>
F223	Abuse	The resident has the right to be free from verbal, sexual, physical and mental abuse, corporal punishment and involuntary seclusion.	<input type="checkbox"/>	<input type="checkbox"/>
F225	Staff Treatment of Residents	The nursing home will not employ individuals who have been: <ul style="list-style-type: none"> <li>found guilty of abusing, neglecting or mistreating residents by a court of law;</li> <li>have had a finding entered into the State nurse aide registry concerning abuse, neglect, mistreatment of residents or misappropriation of their property; and</li> <li>report any knowledge it has of actions by a court of law against an employee, which would indicate unfitness for service as a nurse aide or other facility staff to the State nurse aide registry or licensing authorities.</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
F226	Staff Treatment of Residents	The facility must develop and implement written policies and procedures that prohibit mistreatment, neglect and abuse of residents and misappropriation of resident property.	<input type="checkbox"/>	<input type="checkbox"/>
F241	Dignity	The facility must promote care for residents in a manner and in an environment that maintains or enhances each resident's dignity and respect in full recognition of his or her individuality.	<input type="checkbox"/>	<input type="checkbox"/>
F491	Licensure	A facility must be licensed under applicable State and local law.	<input type="checkbox"/>	<input type="checkbox"/>
Section rating			Pass	Fail
			<input type="checkbox"/>	<input type="checkbox"/>

**d. RN Hours:**

<b>RN Hours</b> * Facility fails if RN hours per resident day are below the State average	Current Average at Facility	State Average
<b>RN Hours per Resident Day:</b>		
	Pass	Fail
Section Rating	<input type="checkbox"/>	<input type="checkbox"/>

**e. Total Nursing Staff**

<b>Total Nursing Staff</b> * Facility fails if total nursing staff hours per resident day are below the State average	Current Average at Facility	State Average
<b>Total Nursing Staff Hours per Resident Day:</b>		
	Pass	Fail
Section Rating	<input type="checkbox"/>	<input type="checkbox"/>

**f. Nursing Deficiencies:**

Tag Number	Title	Explanation * Facility fails if a level “E” or higher deficiency is found in the current survey in one of the following areas. : *OSCAR Level E equals a score of 2 or higher on Nursing Home Compare.)	Pass	Fail
F353	Nursing	The facility must provide services by sufficient numbers of personnel on a 24-hours basis to provide nursing care to all residents in accordance with resident care-plans.	<input type="checkbox"/>	<input type="checkbox"/>
F494	Nursing Aide Training	Nursing Aides must have completed a training and competency evaluation program, or a competency evaluation program approved by the State as meeting the requirements.	<input type="checkbox"/>	<input type="checkbox"/>
F495	Nursing Aide Competency	Nursing Aides must have completed a State approved training and competency evaluation program; or a competency evaluation program approved by the State as meeting the requirements; has demonstrated competence through satisfactory participation in a State approved nursing aide training and competency evaluation program and has been deemed or determined competent.	<input type="checkbox"/>	<input type="checkbox"/>
F496	Nursing Aide Registry Verification	The facility must receive registry verification that the individual has met <a href="#">the registry verification</a>	<input type="checkbox"/>	<input type="checkbox"/>
F497	Regular In-Service Training	The facility must complete a performance review of every nurse aide at least once every 12 months and must provide regular in-service education based on the outcome of these reviews.	<input type="checkbox"/>	<input type="checkbox"/>
F498	Proficiency of Nursing Aides	The facility must ensure that nurse aides are able to demonstrate competency in skills and techniques necessary to care for resident assessments, and described in the plan of care.	<input type="checkbox"/>	<input type="checkbox"/>
F499	Staff Qualifications	<ul style="list-style-type: none"> <li>The facility must employ on a full-time, part-time or consultant basis those professionals necessary to carry out the provisions of these requirements.</li> <li>Professional staff must be licensed, certified, or registered in accordance with applicable State laws.</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
Section Rating			Pass	Fail
			<input type="checkbox"/>	<input type="checkbox"/>

#### g. Quality Measures

Quality Measures (A facility fails when six or more of the CMS Quality Measures listed below in Nursing Home Compare fall above the state average.)	Facility percent	State Average	Flagged
Percent of Long-Stay Residents Given Influenza Vaccination During the Flu Season			<input type="checkbox"/>
Percent of Long-Stay Residents Who Were Assessed and Given Pneumococcal Vaccination			<input type="checkbox"/>
Percent of Residents Whose Need for Help With Daily Activities Has Increased			<input type="checkbox"/>
Percent of Residents Who Have Moderate to Severe Pain			<input type="checkbox"/>
Percent of High-Risk Residents Who Have Pressure Sores			<input type="checkbox"/>
Percent of Low-Risk Residents Who Have Pressure Sores			<input type="checkbox"/>

Quality Measures (A facility fails when six or more of the CMS Quality Measures listed in Nursing Home Compare fall above the state average.)	Facility percent	State Average	Flagged
Percent of Residents Who Were Physically Restrained			<input type="checkbox"/>
Percent of Residents Who are More Depressed or Anxious			<input type="checkbox"/>
Percent of Low-Risk Residents Who Lose Control of Their Bowels or Bladder			<input type="checkbox"/>
Percent of Residents Who Have/Had a Catheter Inserted and Left in Their Bladder			<input type="checkbox"/>
Percent of Residents Who Spent Most of Their Time in Bed or in a Chair			<input type="checkbox"/>
Percent of Residents Whose Ability to Move About in and Around Their Room Got Worse			<input type="checkbox"/>
Percent of Residents with a Urinary Tract Infection			<input type="checkbox"/>
Percent of Residents Who Lose Too Much Weight			<input type="checkbox"/>
Percent of Short-Stay Residents Given Influenza Vaccination During the Flu Season			<input type="checkbox"/>
Percent of Short-Stay Residents Who Were Assessed and Given Pneumococcal Vaccination			<input type="checkbox"/>
Percent of Short-Stay Residents With Delirium			<input type="checkbox"/>
Percent of Short-Stay Residents Who Had Moderate to Severe Pain			<input type="checkbox"/>
Percent of Short-Stay Residents With Pressure Sores			<input type="checkbox"/>
Section rating		Pass	Fail
		<input type="checkbox"/>	<input type="checkbox"/>

A facility is to be excluded from program participation when six of more of the fourteen Quality Measures fall above the state average.

The MDS QI Profile has been reviewed: ☐ Yes ☐ No

Date of last State Survey (SSA2567) reviewed:

Plan of Correction submitted & approved by state regulatory agency? ☐ Yes ☐ No

Based on review of Nursing Home Compare, is a site visit planned? ☐ Yes ☐ No

### PROPOSED ACTIONS

<input type="checkbox"/>	Establish contract authorization	<input type="checkbox"/>	Renew/continue contract authorization
<input type="checkbox"/>	Suspend placement of veterans	<input type="checkbox"/>	Remove, transfer veterans on contract
<input type="checkbox"/>	Do not renew/Establish contract authorization	<input type="checkbox"/>	Terminate the contract

Date of contact with the Ombudsman

Name of Ombudsman

Phone Number

Date of contact with VBA

Name of Contact at VBA

Phone Number

**COMMENTS/NARRATIVE:**

**Name of Team Members participating in review:**

\_\_\_\_\_  
**Signature & Title**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Contract Nursing Home Coordinator**

\_\_\_\_\_  
**Date**