

**SECTION 00 13 40
LIST OF SUBMITTALS**

The following is a general list of submittals required for the project provided only for the convenience of the contractor. It is the contractor's responsibility to review each specification section and provide all submittals required.

01 00 00 GENERAL REQUIREMENTS:

1. OSHA Certification "Competent Person"
2. Employees Training Record - OSHA 10Hr
3. Confined Space Training Certification
4. Copy of Confined Space Permit
5. Security Plan
6. Badge Information (each worker)
7. Duplicate Keys and Combinations
8. Electronic Security Memorandum
9. Vehicle Authorization Requests
10. Fire Safety Plan
11. Safety Briefing Documentation
12. Fire Safety Training (if required)
13. Hot Work Permit(s)
14. Construction/Phasing Schedule
15. Utility Interrupt Request(s)
16. Existing Conditions Survey Report
17. Re-Survey Conditions Report
18. Dust Protection Measures Plan
19. Dust Protection Status Reports
20. PCB - "Originator" copy of the Uniform Hazardous Waste Manifest
21. PCB - Uniform Hazardous Waste Manifest Continuation Sheet
22. Professional Surveying Certification
23. Certification of Control Points
24. Certification of Line, Grades and Plumbness
25. Certification of Changes in lines or grading
26. As-Built Drawings
27. Mechanical and Electrical Equipment Test Results
28. O&M Manuals - 4 copies
29. Photographic Documentation
30. Updates on Status of Documentation
31. Final Elevation Digital Images
32. Historic Resource Find Notice (If required)

01 45 29 TESTING LABORATORY SERVICES:

1. Certification of Accreditation - Construction Materials Testing Laboratory
2. Test Reports
3. Inspection Reports

01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS:

1. Compliance Issue Reports
2. Environmental Protection Plan
3. Noise Level Reports

01 74 19 CONSTRUCTION WASTE MANAGEMENT:

1. Demolition Debris Management Plan
2. Designated Manager (Waste Management)
3. Monthly Summary of Debris Diversion & Disposal (Report)

02 82 13.13 GLOVEBAG ASBESTOS ABATEMENT:

1. Schedule
2. A staff organization chart showing all personnel who will be working on the project and their capacity/function. Provide their qualifications, training, accreditations, and licenses, as appropriate. Provide a copy of the "Certificate of Worker's Acknowledgment" and the "Affidavit of Medical Surveillance and Respiratory Protection" for each person.
3. Asbestos Hazard Abatement Plan (AHAP)
4. The specifics of the materials and equipment
5. Submit the name, location, and phone number of the approved landfill; proof/verification the landfill is approved for ACM disposal; the landfill's requirements for ACM waste; the type of vehicle to be used for transportation; and name, address, and phone number of subcontractor, if used. Proof of asbestos training for transportation personnel shall be provided.
6. Required notifications and arrangements
7. Name, location and verification of the laboratory and/or personnel to be used for analysis of air and/or bulk samples.
8. Qualifications verifications.
9. Information on personnel.
10. Copy of State license for asbestos abatement.
11. Copy of insurance policy
12. Information on who provides your training, how often; who provides medical surveillance, how often; who performs and how is personal air monitoring of abatement workers conducted; a list of references of independent laboratories/IH's familiar with your air monitoring and Asbestos Hazard Abatement Plans; copies of monitoring results of the five referenced projects listed and analytical method(s) used.
13. Manufacturer's technical data for all types of encapsulants, all MSDS, and application instructions.
14. Daily Logs
15. Daily Reports
16. Project Report, including certification of completion.

02 82 13.31 ASBESTOS TRANSITE ABATEMENT:

1. Schedule
2. A staff organization chart showing all personnel who will be working on the project and their capacity/function. Provide their qualifications, training, accreditations, and licenses, as appropriate. Provide a copy of the "Certificate of Worker's Acknowledgment" and the "Affidavit of Medical Surveillance and Respiratory Protection" for each person.
3. Asbestos Hazard Abatement Plan (AHAP)
4. The specifics of the materials and equipment
5. Submit the name, location, and phone number of the approved landfill; proof/verification the landfill is approved for ACM disposal; the landfill's requirements for ACM waste; the type of vehicle to be used for transportation; and name, address, and phone number of

- subcontractor, if used. Proof of asbestos training for transportation personnel shall be provided.
6. Required notifications and arrangements
 7. Name, location and verification of the laboratory and/or personnel to be used for analysis of air and/or bulk samples.
 8. Qualifications verifications.
 9. Information on personnel.
 10. Copy of State license for asbestos abatement.
 11. Copy of insurance policy
 12. Information on who provides your training, how often; who provides medical surveillance, how often; who performs and how is personal air monitoring of abatement workers conducted; a list of references of independent laboratories/IH's familiar with your air monitoring and Asbestos Hazard Abatement Plans; copies of monitoring results of the five referenced projects listed and analytical method(s) used.
 13. Manufacturer's technical data for all types of encapsulants, all MSDS, and application instructions.
 14. Daily Logs
 15. Daily Reports
 16. Project Report, including certification of completion.

03 30 00 CAST-IN-PLACE CONCRETE:

1. Shop Drawing - Reinforcing Steel
2. Mill Test - Rebar
3. Mill Test - Cement
4. Certification - Aggregate(s)
5. Certification - Air-entraining Admixture
6. Certification - Chemical Admixtures
7. Certification - Liquid membrane-forming compounds
8. Certification - Non-Shrinking Grout
9. Certification - Waterstops
10. Certification - Expansion Joint Filler
11. Certification - Fly Ash
12. Approval Request - Testing Agency
13. Design Mixes
14. Test Reports
15. Samples
16. Field quality control procedure(s)

04 05 13 MASONRY MORTARING:

1. Certification - Testing Laboratory
2. Certification - Portland Cement
3. Certification - Masonry Cement
4. Certification - Hydrated Lime
5. Certification - Fine Aggregate (sand)
6. Laboratory Test Reports
7. Manufacturer's Literature and Data - Cement
8. Manufacturer's Literature and Data - Hydrated Lime
9. Manufacturer's Literature and Data - Admixtures
10. Manufacturer's Literature and Data - Liquid acrylic resin
11. Test Reports

04 05 16 MASONRY GROUTING:

1. Certification - Testing Laboratory
2. Certification - Portland Cement
3. Certification - Masonry Cement
4. Certification - Grout
5. Certification - Hydrated Lime
6. Certification - Fine Aggregate (sand)
7. Certification - Coarse Aggregate (sand)
8. Certification - Color admixture
9. Laboratory Test Reports
10. Manufacturer's Literature and Data - Cement
11. Manufacturer's Literature and Data - Hydrated Lime
12. Manufacturer's Literature and Data - Admixtures
13. Manufacturer's Literature and Data - Liquid acrylic resin

04 20 00 UNIT MASONRY:

1. Samples
2. Shop Drawings
3. Certifications
4. Manufacturer's Literature and Data

05 50 00 METAL FABRICATIONS:

1. Shop Drawings
2. Certifications
3. Design Calculations
4. Setting drawings and instructions for installation of anchors

06 10 00 ROUGH CARPENTRY:

1. Shop Drawings
2. Certification by NYS P.E. - Pre-fabricated trusses

06 64 00 PLASTIC PANELING:

1. Samples
2. Manufacturer's Literature and Data
3. Certifications

07 17 50 WATER REPELLENTS:

1. Product Data
2. Manufacturer's Installation Instructions
3. Certifications

07 21 12 THERMAL INSULATION:

1. Manufacturer's Literature and Data
2. Certifications

07 22 00 ROOF AND DECK INSULATION:

1. Product Data
2. Samples
3. Laboratory Test Reports
4. Documentation of supervisors' and inspectors' qualifications.

07 40 00 ROOFING AND SIDING PANELS:

1. Samples
2. Shop Drawings
3. Manufacturer's Literature and Data

07 60 00 FLASHING AND SHEET METAL:

1. Shop Drawings
2. Manufacturer's Literature and Data
3. Certifications

07 84 00 FIRESTOPPING:

1. Manufacturer's Literature and Data
2. List of FM, UL, or WH classification
3. Certified Test Reports

07 92 00 JOINT SEALEANTS:

1. Installation Instructions (each product used)
2. Cured color samples of exposed sealants
3. Literature & Data - Caulking compound
4. Literature & Data - Primers
5. Literature & Data - Sealing compound (each type)

08 11 13 HOLLOW METAL DOORS AND FRAMES:

1. Manufacturer's Literature and Data

08 33 00 COILING DOORS:

1. Shop Drawings
2. Manufacturer's Literature and Data
3. Certifications

08 51 13 ALUMINUM WINDOWS:

1. Shop Drawings
2. Manufacturer's Literature and Data
3. Certifications
4. Test Reports
5. Samples

08 71 00 DOOR HARDWARE:

1. Hardware Schedule
2. Manufacturer's Literature and Data
3. Samples
4. Certifications

08 80 00 GLAZING:

1. Certifications
2. Warranty
3. Manufacturer's Literature and Data
4. Samples
5. Test Report

08 90 00 LOUVERS AND VENTS:

1. Shop Drawings
2. Manufacturer's Literature and Data

09 06 00 SCHEDULE OF FINISHES:

1. Samples

09 29 00 GYPSUM BOARD:

1. Manufacturer's Literature and Data
2. Shop Drawings
3. Samples
4. Test Report

09 91 00 PAINTING:

1. Manufacturer's Literature and Data
2. MPI Approved Product List
3. Sample Panels
4. Identity Markers
5. Manufacturer's Certification(s)

13 60 00 EMERGENCY WASTEWATER STORAGE TANK:

1. Manufacturer's Literature and Data
2. Shop Drawings
3. Structural Calculations
4. Certifications
5. O&M Manuals

13 70 00 UTILITY HORIZONTAL DIRECTIONAL DRILLING (HDD):

1. Manufacturer's Literature and Data
2. Work Plan
3. As-Built Drawings
4. Drilling Logs
5. Pre-Project Photographs and/or video

22 05 11 COMMON WORK RESULTS FOR PLUMBING:

1. Rearrangement Drawings
2. Shop Drawings
3. Previous installations experience
4. Manufacturer's Literature and Data
5. Coordinated Layout Drawings
6. Maintenance Data and Operating Instructions

22 05 23 GENERAL-DUTY VALVES FOR PLUMBING PIPING:

1. Manufacturer's Literature and Data

22 07 11 PLUMBING INSULATION:

1. Shop Drawings
2. Samples

22 11 00 FACILITY WATER DISTRIBUTION:

1. Manufacturer's Literature and Data

22 13 00 SANITARY SEWERAGE FACILITIES:

1. Manufacturer's Literature and Data
2. Shop Drawings

22 13 36 PACKAGE WASTEWATER PUMP UNIT:

1. Shop Drawings
2. Electrical ladder logic drawings
3. Manufacturer's Literature and Data
4. O&M Manuals
5. Certifications

23 10 00 FACILITY FUEL SYSTEMS

1. Shop Drawings

23 05 11 COMMON WORK RESULTS FOR HVAC:

1. Rearrangement Drawings
2. Electrical ladder logic drawings
3. Shop Drawings
4. Layout Drawings
5. Manufacturer's Literature and Data
6. HVAC Maintenance and Operating Data

23 07 11 HVAC INSULATION:

1. Manufacturer's Literature and Data
2. Shop Drawings
3. Samples

23 34 00 HVAC FANS:

1. Manufacturer's Literature and Data
2. Certifications
3. Motor Ratings
4. Shop Drawings

26 05 11 REQUIREMENTS FOR ELECTRICAL INSTALLATIONS:

1. Shop Drawings
2. Manufacturers Literature
3. Certifications
4. Test Reports
5. Samples
6. Warranty

26 05 21 LOW VOLTAGE CABLES AND CONDUCTORS(600 VOLTS AND BELOW):

1. Shop Drawings
2. Certifications
3. Test Reports

26 05 26 GROUNDING AND BONDING OF ELECTRICAL SYSTEMS:

1. Shop Drawings
2. Certifications
3. Test Reports

26 05 33 RACEWAYS AND BOXES FOR ELECTRICAL EQUIPMENT:

1. Shop Drawings
2. Certifications

26 05 41 UNDERGROUND ELECTRICAL CONSTRUCTION:

1. Shop Drawings
2. Certifications
3. Test Reports

26 24 16 PANELBOARDS:

1. Shop Drawings
2. Certifications
3. Test Reports

26 27 26 WIRING DEVICES:

1. Shop Drawings
2. Certifications

26 29 11 MOTOR STARTERS:

1. Shop Drawings
2. Manufacturers Literature
3. Certifications

4. Test Reports

26 29 21 DISCONNECT SWITCHES:

1. Shop Drawings
2. Certifications

26 32 13 ENGINE GENERATOR:

1. Shop Drawings
2. Certifications
3. Test Reports
4. Spare Parts
5. Training

26 36 23 AUTOMATIC TRANSFER SWITCH:

1. Shop Drawings
2. Manufacturers Literature
3. Certifications
4. Test Reports
5. Samples
6. Warranty

26 43 13 TRANSIENT VOLTAGE SURGE SUPPRESSION:

1. Shop Drawings
2. Certifications
3. Test Reports

26 51 00 INTERIOR LIGHTING:

1. Manufacturers Literature
2. Certifications
3. Spare Lamps

26 56 00 EXTERIOR LIGHTING:

1. Shop Drawings
2. Certifications

28 31 00 FIRE DETECTION AND ALARM

1. Shop Drawings
2. Manufacturer's Literature
3. Certifications
4. Test Reports
5. Training
6. Spare Parts

28 31 00 FIRE DETECTION AND ALARM:

1. Shop Drawings

2. Manufacturers Literature
3. Certifications
4. Test Reports
5. Training
6. Spare Parts

31 20 11 EARTH MOVING:

1. Rock Excavation Report(s) (if required)
2. Soil Classification & Compaction Curves

32 05 23 CEMENT AND CONCRETE FOR EXTERIOR IMPROVMENTS:

1. Certificates and Data - Expansion Joint Filler
2. Certificates and Data - Sealing Compound
3. Certificates and Data - Reinforcement
4. Certificates and Data - Curing Materials
5. Data and Test - Subbase Material(s)

32 12 16 ASPHALT PAVING:

1. Data and Test Repots
2. Certifications
3. MSDS (all chemicals used on ground)

32 17 23 PAVEMENT MARKINGS:

1. Certification and Data - Paint

32 90 00 PLANTING:

1. Literature & Data
2. Samples
3. Qualifications
4. Certifications
5. Test Reports
6. Maintenance Instructions
7. Special conditions and proposal variance requests

33 10 00 WATER UTILITIES:

1. Manufacturer's Literature and Data
2. Certifications

33 30 00 SANITARY SEWERAGE UTILITIES:

1. Manufacturer's Literature and Data
2. Shop Drawings
3. Work Plans

33 46 13 FOUNDATION DRIANS:

1. Manufacturer's Literature and Data

