

**SECTION 31 20 00
EARTH MOVING**

PART 1 - GENERAL

1.1 DESCRIPTION OF WORK

- A. This section specifies the requirements for furnishing all equipment, materials, labor, tools, and techniques for earthwork including, but not limited to, the following:
1. Site preparation.
 2. Excavation.
 3. Underpinning.
 4. Filling and backfilling.
 5. Grading.
 6. Soil Disposal.
 7. Clean Up.

1.2 DEFINITIONS

- A. Unsuitable Materials:
1. Select Fills: Topsoil; frozen materials; construction materials and materials subject to decomposition; clods of clay and stones larger than 75 mm (3 inches); organic material, including silts, which are unstable; and inorganic materials, including silts, too wet to be stable and any material with a liquid limit and plasticity index exceeding 40 and 12 respectively. Unsatisfactory soils also include satisfactory soils not maintained within 2 percent of optimum moisture content at time of compaction (less than 3 percent for native expansive soil), as defined by ASTM [D 1557].
 2. Existing Subgrade (Except Footing Subgrade): Same materials as 1.2.A.1, that are not capable of direct support of slabs, pavement, and similar items with possible exception of improvement by compaction, proofrolling, lime-treatment, or similar methods.
 3. Existing Subgrade (Footings Only): Same as paragraph 1, but no fill or backfill. If materials differ from design requirements, excavate to acceptable strata subject to Resident Engineer's approval.
- B. Building Earthwork: Earthwork operations required in area enclosed by a line located 1500 mm (5 feet) outside of principal building perimeter. It also includes earthwork required for auxiliary structures and buildings.
- C. Trench Earthwork: Trenchwork required for utility lines.
- D. Site Earthwork: Earthwork operations required in area outside of a line located 1500 mm (5 feet) outside of principal building perimeter and within new construction area with exceptions noted above.
- E. Degree of compaction: Degree of compaction is expressed as a percentage of maximum density obtained by laboratory test procedure ASTM D1557. This percentage of maximum density is obtained through use of data provided from results of field test procedures presented in ASTM D1556, ASTM D2167, and ASTM D2922.

- F. Fill: Satisfactory soil materials used to raise existing grades. In the Construction Documents, the term "fill" means fill or backfill as appropriate.
- G. Backfill: Soil materials or controlled low strength material used to fill an excavation.
- H. Unauthorized excavation: Removal of materials beyond indicated sub-grade elevations or indicated lines and dimensions without written authorization by the Resident Engineer. No payment will be made for unauthorized excavation or remedial work required to correct unauthorized excavation.
- I. Authorized additional excavation: Removal of additional material authorized by the Resident Engineer that unsuitable bearing materials are encountered at required sub-grade elevations. Removal of unsuitable material and its replacement as directed will be paid on basis of Conditions of the Contract relative to changes in work.
- J. Subgrade: The undisturbed earth or the compacted soil layer immediately below granular sub-base, drainage fill, or topsoil materials.
- K. Structure: Buildings, foundations, slabs, tanks, curbs, mechanical and electrical appurtenances, or other man-made stationary features constructed above or below the ground surface.
- L. Borrow: Satisfactory soil imported from off-site for use as fill or backfill.
- M. Drainage course: Layer supporting slab-on-grade used to minimize capillary flow of pore water.
- N. Bedding course: Layer placed over the excavated sub-grade in a trench before laying pipe. Bedding course shall extend up to the springline of the pipe.
- O. Sub-base Course: Layer placed between the sub-grade and base course for asphalt paving or layer placed between the sub-grade and a concrete pavement or walk.
- P. Utilities include on-site underground pipes, conduits, ducts, and cables as well as underground services within buildings.
- Q. Debris: Debris includes all materials located within the designated work area not covered in the other definitions and shall include but not be limited to items like vehicles, equipment, appliances, building materials or remains thereof, tires, any solid or liquid chemicals or products stored or found in containers or spilled on the ground.
- R. Contaminated soils: Soil that contains contaminants as defined and determined by the Resident Engineer or the Government's testing agency.

1.3 RELATED WORK

- A. Materials testing and inspection during construction: Section 01 45 29, TESTING LABORATORY SERVICES.
- B. Safety requirements: Section 00 72 00, GENERAL CONDITIONS, Article, ACCIDENT PREVENTION.
- C. Protection of existing utilities, fire protection services, existing equipment, roads, and pavements: Section 01 00 00, GENERAL REQUIREMENTS.
- D. Subsurface Investigation: Section 01 00 00, GENERAL REQUIREMENTS, Article, PHYSICAL DATA.
- E. Erosion Control: Section 01 57 19, TEMPORARY ENVIRONMENTAL CONTROLS.

- F. Site preparation: Section 31 23 19, DEWATERING, and Section 02 41 00, DEMOLITION.
- G. Foundation system requirements: Section 31 23 23.33, FLOWABLE FILL.
- H. Paving sub-grade requirements: Section 32 12 16, ASPHALT PAVING.

1.4 CLASSIFICATION OF EXCAVATION

- A. Unclassified Excavation: Removal and disposal of pavements and other man-made obstructions visible on surface; utilities, and other items including underground structures indicated to be demolished and removed; together with any type of materials regardless of character of material and obstructions encountered.

1.5 SUBMITTALS

- A. Submit in accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES.
- B. Furnish to Resident Engineer:
 - 1. Contactor shall furnish resumes with all personnel involved in the project including Project Manager, Superintendent, and on-site Engineer. Project Manager and Superintendent should have at least 3 years of experience on projects of similar size.
 - 2. Soil samples.
 - a. Classification in accordance with ASTM D2487 for each on-site or borrow soil material proposed for fill, backfill, engineered fill, or structural fill.
 - b. Laboratory compaction curve in accordance with ASTM[**D 1557** for each on site or borrow soil material proposed for fill, backfill, engineered fill, or structural fill.
 - c. Test reports for compliance with ASTM D 2940 requirements for subbase material.
 - d. Pre-excavation photographs and videotape in the vicinity of the existing structures to document existing site features, including surfaces finishes, cracks, or other structural blemishes that might be misconstrued as damage caused by earthwork operations.
 - e. The Contractor shall submit a scale plan daily that defines the location, limits, and depths of the area excavated.

1.6 APPLICABLE PUBLICATIONS

- A. Publications listed below form a part of this specification to extent referenced. Publications are referenced in text by basic designation only.
- B. American Association of State Highway and Transportation Officials (AASHTO):
 - 1. T99-01(2004) Moisture-Density Relations of Soils Using a 2.5 kg (5.5 lb) Rammer and a 305 mm (12 inch) Drop
 - 2. T180-01(2004) Moisture-Density Relations of Soils using a 4.54 kg (10 lb) Rammer and a 457 mm (18 inch) Drop
- C. American Society for Testing and Materials (ASTM)
 - 1. D448-03a Standard Classification for Sizes of Aggregate for Road and Bridge Construction

2. D1556-00 Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method
 3. D1557-09 Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft³ (2700 kN m/m³))
 4. D2167-94 (2001) Standard Test Method for Density and Unit Weight of Soil in Place by the Rubber Balloon Method
 5. D2487-06 Standard Classification of Soil for Engineering Purposes (Unified Soil Classification System)
 6. D2922-05 Standard Test Methods for Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
 7. D2940-03 Standard Specifications for Graded Aggregate Material for Bases or Subbases for Highways or Airports
- D. Society of Automotive Engineers (SAE):
1. J732-92 Specification Definitions - Loaders
 2. J1179-02 Hydraulic Excavator and Backhoe Digging Forces

PART 2 - PRODUCTS

2.1 MATERIALS

- A. General: Provide borrow soil material when sufficient satisfactory soil materials are not available from excavations.
- B. Select Fills: Material in compliance with ASTM D2487 Soil Classification Groups GW, GP, GM, SW, SP, SM, SC, and ML, or any combination of these groups; free of rock or gravel larger than 75 mm (3 inches) in any dimension, debris, waste, frozen materials, vegetation, and other deleterious matter. Material approved from on site or off site sources having a minimum dry density of 1760 kg/m³ (110 pcf), a maximum Plasticity Index of 12, and a maximum Liquid Limit of 40, at least 20 percent fines (particles passing the No. 200 sieve).
- C. Engineered Fill: Naturally or artificially graded mixture of compliance with ASTM D2487 Soil Classification Groups GW, GP, GM, SW, SP, SM, SC, and ML, or any combination of these groups, or as approved by the Engineer or material with at least 90 percent passing a 37.5-mm (1 1/2-inch) sieve and not more than 12 percent passing a 75-μm (No. 200) sieve, per ASTM D2940. Also, the Liquid Limit shall be less than 40, the Plasticity Index shall be less than 12, and shall not contain rocks greater than three inches in maximum dimension.
- D. Bedding: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D2940; except with 100 percent passing a 25 mm (1 inch) sieve and not more than 8 percent passing a 75-μm (No. 200) sieve.
- E. Drainage Fill: Washed, narrowly graded mixture of crushed stone, or crushed or uncrushed gravel; ASTM D448; coarse-aggregate grading Size 57; with 100 percent passing a 37.5 mm (1 1/2-inch) sieve and 0 to 5 percent passing a 2.36 mm (No. 8) sieve.
- F. Granular Fill:

1. Under concrete slab, crushed stone or gravel graded from 25 mm (1 inch) to 4.75 mm (No. 4), per ASTM D 2940.
2. Bedding for sanitary and storm sewer pipe, crushed stone or gravel graded from 13 mm (1/2 inch) to 4.75 mm (No 4), per ASTM D 2940.

PART 3 - EXECUTION

3.1 SITE PREPARATION

- A. Clearing: Clear within limits of earthwork operations as shown. Work includes removal of trees, shrubs, fences, foundations, incidental structures, paving, debris, trash, and other obstructions. Remove materials from Medical Center.
- B. Grubbing: Remove stumps and roots 75 mm (3 inch) and larger diameter. Undisturbed sound stumps, roots up to 75 mm (3 inch) diameter, and nonperishable solid objects a minimum of 900 mm (3 feet) below subgrade or finished embankment may be left.
- C. Trees and Shrubs: Trees and shrubs, not shown for removal, may be removed from areas within 4500 mm (15 feet) of new construction and 2250 mm (7.5 feet) of utility lines when removal is approved in advance by Resident Engineer. Remove materials from Medical Center. Trees and shrubs, shown to be transplanted, shall be dug with a ball of earth and burlapped in accordance with latest issue of, "American Standard for Nursery Stock" of the American Association of Nurserymen, Inc. Transplant trees and shrubs to a permanent or temporary position within two hours after digging. Maintain trees and shrubs held in temporary locations by watering as necessary and feeding semiannually with liquid fertilizer with a minimum analysis of 5 percent nitrogen, 10 percent phosphorus, and 5 percent potash. Maintain plants moved to permanent positions as specified for plants in temporary locations until conclusion of contract. Box, and otherwise protect from damage, existing trees and shrubs which are not shown to be removed in construction area. Immediately repair damage to existing trees and shrubs by trimming, cleaning and painting damaged areas, including roots, in accordance with standard industry horticultural practice for the geographic area and plant species. Do not store building materials closer to trees and shrubs, that are to remain, than farthest extension of their limbs.
- D. Stripping Topsoil: Strip topsoil from within limits of earthwork operations as specified. Topsoil shall be a fertile, friable, natural topsoil of loamy character and characteristic of locality. Topsoil shall be capable of growing healthy horticultural crops of grasses. Stockpile topsoil and protect as directed by Resident Engineer. Eliminate foreign materials, such as weeds, roots, stones, subsoil, frozen clods, and similar foreign materials larger than 0.014 m³ (1/2 cubic foot) in volume, from soil as it is stockpiled. Retain topsoil on station. Remove foreign materials larger than 50 mm (2 inches) in any dimension from topsoil used in final grading. Topsoil work, such as stripping, stockpiling, and similar topsoil work shall not, under any circumstances, be carried out when soil is wet so that the composition of the soil will be destroyed.
- E. Concrete Slabs and Paving: Score deeply or saw cut to insure a neat, straight cut, sections of existing concrete slabs and paving to be removed where excavation or trenching occurs. Extend pavement section to be removed a minimum of 300 mm (12 inches) on each side of widest part of trench excavation and insure final score lines are approximately parallel unless otherwise indicated. Remove material from Medical Center.
- F. Lines and Grades: Registered Professional Land Surveyor or Registered Civil Engineer, specified in Section 01 00 00, GENERAL REQUIREMENTS, shall establish lines and grades.
 1. Grades shall conform to elevations indicated on plans within the tolerances herein specified. Generally grades shall be established to provide a smooth surface, free from irregular surface

- changes. Grading shall comply with compaction requirements and grade cross sections, lines, and elevations indicated. Where spot grades are indicated the grade shall be established based on interpolation of the elevations between the spot grades while maintaining appropriate transition at structures and paving and uninterrupted drainage flow into inlets.
2. Locations of existing elevations indicated on plans are from a site survey that measured spot elevations. Contractor is responsible to notify Resident Engineer of any differences between existing elevations shown on plans and those encountered on site by Surveyor/Engineer described above. Notify Resident Engineer of any differences between existing or constructed grades, as compared to those shown on the plans.
 3. Subsequent to establishment of lines and grades, Contractor will be responsible for any additional cut and/or fill required to ensure that site is graded to conform to elevations indicated on plans.
 4. Finish grading is specified in Section 32 90 00, PLANTING.
- G. Disposal: All materials removed from the property shall be disposed of at a legally approved site, for the specific materials, and all removals shall be in accordance with all applicable Federal, State and local regulations. No burning of materials is permitted onsite.

3.2 EXCAVATION

- A. Shoring, Sheet piling and Bracing: Shore, brace, or slope, its angle of repose or to an angle considered acceptable by the Resident Engineer, banks of excavations to protect workmen, banks, adjacent paving, structures, and utilities.
1. Design of the temporary support of excavation system is the responsibility of the Contractor.
 2. Construction of the support of excavation system shall not interfere with the permanent structure and may begin only after a review by the Resident Engineer.
 3. Extend shoring and bracing to a minimum of 1500 mm (5 feet) below the bottom of excavation. Shore excavations that are carried below elevations of adjacent existing foundations.
 4. If bearing material of any foundation is disturbed by excavating, improper shoring or removal of existing or temporary shoring, placing of backfill, and similar operations, the Contractor shall **provide a concrete fill support** under disturbed foundations, as directed by Resident Engineer, at no additional cost to the Government. Do not remove shoring until permanent work in excavation has been inspected and approved by Resident Engineer.
- B. Excavation Drainage: Operate pumping equipment, and/or provide other materials, means and equipment as required to keep excavation free of water and subgrade dry, firm, and undisturbed until approval of permanent work has been received from Resident Engineer. Approval by the Resident Engineer is also required before placement of the permanent work on all subgrades.
- C. Subgrade Protection: Protect subgrades from softening, undermining, washout, or damage by rain or water accumulation. Reroute surface water runoff from excavated areas and not allow water to accumulate in excavations. Do not use excavated trenches as temporary drainage ditches. When subgrade for foundations has been disturbed by water, remove disturbed material to firm undisturbed material after water is brought under control. Replace disturbed subgrade in trenches with concrete or material approved by the Resident Engineer.
- D. Proofrolling

1. After rough grade has been established in cut areas and prior to placement of fill in fill areas under building and pavements, proofroll exposed subgrade with a fully loaded dump truck to check for pockets of soft material.
2. Proofrolling shall consist of at least two complete passes with one pass being in a direction perpendicular to preceding one. Remove any areas that deflect, rut, or pump excessively during proofrolling, or that fail to consolidate after successive passes to suitable soils and replaced with compacted fill. Maintain subgrade until succeeding operation has been accomplished.

E. Building Earthwork

1. Excavation shall be accomplished as required by drawings and specifications.
2. Excavate foundation excavations to solid undisturbed subgrade.
3. Remove loose or soft materials to a solid bottom.
4. Fill excess cut under footings or foundations with 25 MPa (3000 psi) concrete poured separately from the footings.
5. Do not tamp earth for backfilling in footing bottoms, except as specified.
6. Slope grades to direct water away from excavations and to prevent ponding.
7. Maintain bottom and sides of excavation in a moist condition.

F. Trench Earthwork

1. Utility trenches (except sanitary and storm sewer):
 - a. Excavate to a width as necessary for sheeting and bracing and proper performance of the work.
 - b. Grade bottom of trenches with bell holes scooped out to provide a uniform bearing.
 - c. Support piping on undisturbed earth unless a mechanical support is shown.
 - d. Length of open trench in advance of piping laying shall not be greater than is authorized by Resident Engineer.
2. Sanitary and storm sewer trenches:
 - a. Trench width below a point 150 mm (6 inches) above top of pipe shall be 600 mm (24 inches) maximum for pipe up to and including 300 mm (12 inches) diameter, and four-thirds diameter of pipe plus 200 mm (8 inches) for pipe larger than 300 mm (12 inches). Width of trench above that level shall be as necessary for sheeting and bracing and proper performance of the work.
 - b. Bed bottom quadrant of pipe on undisturbed soil or granular fill.
 - 1) Undisturbed: Bell holes shall be no larger than necessary for jointing. Backfill up to a point 300 mm (12 inches) above top of pipe shall be clean earth placed and tamped by hand.
 - 2) Granular Fill: Depth of fill shall be a minimum of 75 mm (3 inches) plus one sixth of pipe diameter below pipe to 300 mm (12 inches) above top of pipe. Place and tamp fill material by hand.

- c. Place and compact as specified remainder of backfill using acceptable excavated materials. Do not use unsuitable materials.
 - d. Use granular fill for bedding where rock or rocky materials are excavated.
 - G. Site Earthwork: Earth excavation includes excavating pavements and obstructions visible on surface; underground structures, utilities, and other items indicated to be removed; together with soil, boulders, and other materials not classified as rock or unauthorized excavation. Excavation shall be accomplished as required by drawings and specifications. Excavate to indicated elevations and dimensions within a tolerance of plus or minus 25 mm (1 inch). Extend excavations a sufficient distance from structures for placing and removing concrete formwork, for installing services and other construction, complying with OSHA requirements, and for inspections. Remove subgrade materials that are determined by Resident Engineer as unsuitable, and replace with acceptable material. If there is a question as to whether material is unsuitable or not, the contractor shall obtain samples of the material, and the materials shall be examined by the Resident Engineer for soil classification to determine whether it is unsuitable or not. When unsuitable material is encountered and removed, contract price and time will be adjusted in accordance with Articles, DIFFERING SITE CONDITIONS, CHANGES and CHANGES-SUPPLEMENT of the GENERAL CONDITIONS as applicable. Adjustments to be based on volume in cut section only.
- 1. Site Grading:
 - a. Provide a smooth transition between adjacent existing grades and new grades.
 - b. Cut out soft spots, fill low spots, and trim high spots to comply with required surface tolerances.
 - c. Slope grades to direct water away from buildings and to prevent ponds from forming where not designed. Finish subgrades to required elevations within the following tolerances:
 - 1) Lawn or Unpaved Areas: Plus or minus 25 mm (1 inch).
 - 2) Walks: Plus or minus 25 mm (1 inch).
 - 3) Pavements: Plus or minus 13 mm (1 inch).
 - d. Grading Inside Building Lines: Finish subgrade to a tolerance of 13 mm (1/2 inch) when tested with a 3000 mm (10 foot) straightedge.

3.3 FILLING AND BACKFILLING

- A. General: Do not fill or backfill until all debris, water, unsatisfactory soil materials, obstructions, and deleterious materials have been removed from excavation. For fill and backfill, use excavated materials and borrow meeting the criteria specified herein, as applicable. Borrow will be supplied at no additional cost to the Government. Do not use unsuitable excavated materials. Do not backfill until foundation walls have been completed above grade and adequately braced, waterproofing or dampproofing applied, foundation drainage, and pipes coming in contact with backfill have been installed and work inspected and approved by Resident Engineer.
- B. Placing: Place materials in horizontal layers not exceeding 200 mm (8 inches) in loose depth for material compacted by heavy compaction equipment, and not more than 100 mm (4 inches) in loose depth for material compacted by hand-operated tampers and then compacted. Place backfill and fill materials evenly on all sides of structures to required elevations, and uniformly

along the full length of each structure. Place no material on surfaces that are muddy, frozen, or contain frost.

- C. **Compaction:** Compact with approved tamping rollers, sheepsfoot rollers, pneumatic tired rollers, steel wheeled rollers, vibrator compactors, or other approved equipment (hand or mechanized) well suited to soil being compacted. Do not operate mechanized vibratory compaction equipment within 3000 mm (10 feet) of new or existing building walls without prior approval of Resident Engineer. Moisten or aerate material as necessary to provide moisture content that will readily facilitate obtaining specified compaction with equipment used. Compact soil to not less than the following percentages of maximum dry density, according to ASTM D1557 as specified below:

1. Fills, Embankments, and Backfill

- a. Under proposed structures, building slabs, steps, and paved areas, scarify and recompact top 12 inches of existing subgrade and each layer of backfill or fill material in accordance with ASTM D1557, Method A, 95 percent.
- b. Curbs, curbs and gutters, ASTM D1557, Method A, 95 percent.
- c. Under Sidewalks, scarify and recompact top 6 inches below subgrade and compact each layer of backfill or fill material in accordance with ASTM D1557, Method A, 95 percent.
- d. Landscaped areas, top 400 mm (16 inches), ASTM D1557 Method A, between 88 and 92 percent.
- e. Landscaped areas, below 400 mm (16 inches) of finished grade, ASTM D1557 Method A, between 88 and 92 percent.

2. Natural Ground (Cut or Existing)

- a. Under building slabs, steps and paved areas, top 6 inches, ASTM D1557, Method A, 95 percent.
- b. Curbs, curbs and gutters, top 6 inches, ASTM D1557, Method A, 95 percent.
- c. Under sidewalks, top 6 inches, ASTM D1557, Method A, 95 percent.

3.4 GRADING

- A. **General:** Uniformly grade the areas within the limits of this section, including adjacent transition areas. Smooth the finished surface within specified tolerance. Provide uniform levels or slopes between points where elevations are indicated, or between such points and existing finished grades. Provide a smooth transition between abrupt changes in slope.
- B. Cut rough or sloping rock to level beds for foundations. In pipe spaces or other unfinished areas, fill low spots and level off with coarse sand or fine gravel.
- C. Slope backfill outside building away from building walls for a minimum distance of 1800 mm (6 feet) at minimum 2% slope.
- D. Finish grade earth floors in pipe basements as shown to a level, uniform slope and leave clean.
- E. Finished grade shall be at least 150 mm (6 inches) below bottom line of window or other building wall openings unless greater depth is shown.
- F. Place crushed stone or gravel fill under concrete slabs on grade, tamped, and leveled. Thickness of fill shall be 150 mm (6 inches) unless otherwise shown.

- G. Finish subgrade in a condition acceptable to Resident Engineer at least one day in advance of paving operations. Maintain finished subgrade in a smooth and compacted condition until succeeding operation has been accomplished. Scarify, compact, and grade subgrade prior to further construction when approved compacted subgrade is disturbed by Contractor's subsequent operations or adverse weather.
- H. Grading for Paved Areas: Provide final grades for both subgrade and base course to +/- 6 mm (0.25 inches) of indicated grades.

3.5 DISPOSAL OF UNSUITABLE AND EXCESS EXCAVATED MATERIAL:

- A. Disposal: Remove surplus satisfactory soil and waste material, including unsatisfactory soil, trash, and debris, and legally dispose of it off Medical Center property.
- B. Place excess excavated materials suitable for fill and/or backfill on site where directed.
- C. Remove from site and dispose of any excess excavated materials after all fill and backfill operations have been completed.
- D. Segregate all excavated contaminated soil designated by the Resident Engineer from all other excavated soils, and stockpile on site on two 0.15 mm (6 mil) polyethylene sheets with a polyethylene cover. A designated area shall be selected for this purpose. Dispose of excavated contaminated material in accordance with State and Local requirements.

3.6 CLEAN UP

- A. Upon completion of earthwork operations, clean areas within contract limits, remove tools, and equipment. Provide site clear, clean, free of debris, and suitable for subsequent construction operations. Remove all debris, rubbish, and excess material from Medical Center.

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