

**SECTION 00 13 40  
LIST OF SUBMITTALS**

The following is a general list of submittals required for the project provided only for the convenience of the contractor. It is the contractor's responsibility to review each specification section and provide all submittals required.

**01 00 00 GENERAL REQUIREMENTS**

1. Security Plan
2. Electronic Security Memorandum
3. Vehicle Authorization Requests
4. Construction/Phasing Schedule
5. Utility Interrupt Request(s)
6. Existing Conditions Survey Report
7. Re-Survey Conditions Report
8. Professional Surveying Certification
9. Certification of Control Points
10. Certification of Line, Grades and Plumbness
11. As-Built Drawings
12. Monthly Electrical Usage
13. O&M Manuals - 4 hard copies, one electronic
14. Training Course Outline and Associate Material

**01 32 16.15 PROJECT SCHEDULES**

1. Consultant Qualification Proposal
2. Monthly Computer-produced Time/Cost Schedule and Reports
3. Interim Project Schedule
4. Final Project Schedule
5. Work Activity/Event Cost Schedule
6. Activities/Events Responsibility Code
7. Planned Working Hours and Days
8. Monthly Certificate for Payment

**01 35 26 SAFETY REQUIREMENTS**

1. Accident Prevention Plan
2. Certification Competent/Qualified Person(s)
3. Activity Hazard Analyses
4. Training Records
5. Safety Briefing Documentation
6. Safety Inspection Reports
7. Accident Notification(S) (If required)
8. VA Form 2162 (If required)
9. Work Hours Report (Monthly)
10. Dust Control Plan
11. Infection Control Plan
12. Fire Safety Plan
13. Hot Work Permit(s)
14. Trench and Excavation Permit(s)
15. Lift Plan
16. Confined Space Entry Plan

**01 45 29 TESTING LABORATORY SERVICES**

1. Certification of Accreditation - Laboratories
2. Inspection and Testing Reports

**01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS**

1. Compliance Issue Reports
2. Environmental Protection Plan
3. NYSDEC SPDES General Permit Documentation and Certifications
  - a. Contractor/Subcontractor
  - b. Trained Contractor
  - c. Qualified Inspector
  - d. Qualified Professional
  - e. Inspector Reports
  - f. Monthly Summary Reports
  - g. Inspection Log Book Location
  - h. Notice Of Termination (NOT)
  - i. SWPPP Revisions and Amendments
4. Noise Level(s) Recorded

**01 74 19 CONSTRUCTION WASTE MANAGEMENT**

1. Demolition Debris Management Plan
2. Means/Methods for Material Handling
3. Designated Manager
4. Monthly Summary
5. Debris Diversion and Disposal Summary

**05 50 00 METAL FABRICATIONS**

1. Manufacturer's Literature and Data
2. Shop Drawings - Each Item
3. Manufacturer's Certificates
4. Design Calculations
5. Setting Drawings and Installation Instructions

**09 91 00 PAINTING**

1. Manufacturer's Literature and Data
2. Sample Panels - Show Each Type of Finish and Color Specified, Panels to Show Color Composition Board, Panel to Show Transparent Finishes, Attach Labels to Panel
3. Certificates - Manufacturer's Paint Substituted for Federal Specification Paints Meets or Exceeds Performance of Paint Specified
4. Painter Qualifications

**26 05 11 REQUIREMENTS FOR ELECTRICAL INSTALLATIONS**

1. List of installations of materials and equipment
2. Service organization name and address
3. Job specific work plan
4. Maintenance and Operation Manuals
5. Sample - Wire & Cable (each type)
6. Sample - Conduit Coupling, Bushing, and Termination (each type)
7. Sample - Conduit Supporters

8. Sample - Duct Sealing Compound
9. Sample - Type of wire and cable splicing and terminating material
10. Warranties

**26 05 19 LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES**

1. Literature and Data - Power Conductors and Cables
2. Manufacturers Certifications
3. Contractor Certification - Installation & Installation

**26 05 26 GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS**

1. Shop Drawing(s) - Location & Routing
2. Certified Test Reports
3. Certification - Materials & Installation
4. Certification - Testing

**26 05 41 UNDERGROUND ELECTRICAL CONSTRUCTION**

1. Shop drawings - Pullboxes and ducts
2. Certification - Materials & Installation
3. Certification - Testing

**27 05 11 REQUIREMENTS FOR COMMUNICATIONS INSTALLATIONS**

1. Literature and data - Products
2. Installer Qualifications
3. Test Reports
4. Plan and Profile Drawings - Existing Route with Conflict
5. Plan and Profile Drawings - Relocation
6. Sample - Wire & Cable (each type)
7. Sample - Conduit Coupling, Bushing, and Termination (each type)
8. Sample - Conduit Supporters.
9. Sample - Duct Sealing Compound
10. Warranty Certificate (if required)
11. Low Voltage Certificate of inspection
12. Notice Work Inspected & Completed in Compliance with Documents

**27 05 26 GROUNDING AND BONDING FOR COMMUNICATIONS SYSTEMS**

1. Literature and data - Products
2. Shop Drawing(s) - Location & Routing
3. Certified Test Reports
4. Certification - Materials & Installation
5. Certification - Testing

**27 10 00 COMMUNICATION AND SIGNAL WIRING**

1. Certifications from OEM
2. Emergency Maintenance and Logistic Assistance Contact
3. Conduit Size Requirements
4. Literature and Data - Submit in accordance with Section 27 05 11.

**28 05 00 COMMON WORK RESULTS FOR ELECTRONIC SAFETY AND SECURITY**

1. Literature and Data - Product Data
2. Sample - Wire & Cable (each type)
3. Sample - Conduit Coupling, Bushing, and Termination (each type)
4. Sample - Conduit Supporters.

5. Sample - Duct Sealing Compound
6. Test Reports
7. Certifications - Installation
8. Shop Drawing(s) - Existing and Relocation
9. Warranty

**28 05 13 CONDUCTORS AND CABLES FOR ELECTRONIC SAFETY AND SECURITY**

1. Literature and Data - Cable and Wiring
2. Certification - Material (4 Copies)
3. Shop Drawing(s)- Layout, Locations, Routing, Labeling Schedules
4. Test And Inspection Reports
5. Maintenance Data

**28 05 26 GROUNDING AND BONDING FOR ELECTRONIC SAFETY AND SECURITY**

1. Literature and Data - Products
2. Shop drawing(s) - Location & Routing
3. Certified Test Reports
4. Certification - Materials & Installation
5. Certification - Testing

**31 20 00 EARTHWORK**

1. Resumes (all personnel)
2. Soil Classification & Compaction Curves - all materials
3. Test Report - Subbase Materials
4. Pre-Excavation Photos & Videos (all work areas)
5. Daily Excavation Plans - scaled plan
6. Procedure and location for disposal
7. Proposed source of borrow material(s)
8. Advance Notices
9. Results of underground utility locating survey
10. Shoring and Sheet Plan
11. Geotechnical Engineer's Monthly Reports

**31 23 19 DEWATERING**

1. Drawings and Design Data
2. Inspection Reports
3. All Required Permits

**32 05 23 CEMENT AND CONCRETE FOR EXTERIOR IMPROVMENTS**

1. Manufacture's Literature and Data
2. Shop Drawing(s)- Installation, Reinforcing, Jointing plan, Inlet & Outlet Structures
3. Certificates and Data - Expansion Joint Filler
4. Certificates and Data - Reinforcement
5. Certificates and Data - Curing Materials
6. Certificates and Data - Protective Coating
7. Concrete Mix Design(s)
8. Concrete Test Report(s)
9. Data and Test Reports
10. Select Subbase Job-mix
11. Qualifications - Installer and Land Surveyor
12. Staking Notes

**32 12 16 ASPHALT PAVING**

1. Data and Test Reports - Aggregate Base Course
2. Data and Test Reports - Asphalt Base/Surface Course
3. Data and Test Reports - Job-Mix Formula
4. Certifications - Asphalt Prime and Tack Coat
5. Certifications - Asphalt Cement
6. Certifications - Job-Mix Formula
7. Material Safety Data Sheets - All Chemicals Used on Ground

**32 17 23 PAVEMENT MARKINGS**

1. Drawing(s) - Configuration and dimensions
2. Manufacturer's Certificates and Data - Paint
3. Sample - Paint (8" Square) each type and color
4. Certification - Material
5. Qualifications of Installer(s)

**32 17 23.53 ASPHALT TENNIS COURT SURFACE COLOR COATING SYSTEM**

1. Manufacturer's Product Data
2. Sample - Paint (Submit Manufacturer's Color Coating)
3. Test Reports
4. Manufacturer's Certification
5. Manufacturer's Project References
6. Certification - Material
7. Qualifications of Applicator(s)
8. Warranty Documentation

**32 31 13 CHAIN LINK FENCES AND GATES**

1. Certification - Re-installation
2. Qualification - Installer(s)

**32 90 00 PLANTING**

1. Manufacturer's Literature and Data- Description, Photos, Installation, Warranty
2. Sample - Trees
3. Sample - Organic Mulch
4. Sample - Filter Fabric
5. Sample - Tree Wraps
6. Test Reports
7. Certification of Compliance - Plant Materials
8. Certification of Compliance - Seed and Turf
9. Qualification - Installer(s)
10. Qualification - Licensed Arborist
11. Operation and Maintenance Data
12. Tree Stabilizing Instructions

**33 10 00 WATER UTILITIES**

1. Certification - Factory Hydrostatic Testing
2. Certification - Welders (if required)
3. Hydrostatic Testing Reports
4. Water Samples & Test Results
5. Purging and Disinfection Reports
6. Product Data - all items

**33 40 00 STORM SEWER UTILITIES**

1. Literature & Data - Piping, Fittings & Joint Material
2. Literature & Data - Frames & Covers
3. Literature & Data - Frames & Grates
4. Literature & Data - Inline Drains
5. Literature & Data - Trench Drains
6. Literature & Data - Watertight Drainage Structure Connection(s)
7. Literature & Data - Inline Check Valve
8. Literature & Data - Geotextile Pipeline Weight System
9. Shop Drawing - All Precast Items
10. Buoyancy Calculations for all Drainage Structures
11. Inspection Report - Field Quality Control
12. Video Recording
13. Certification and Qualification - Geotextile Pipeline Weight
14. Drawings of Temporary Cofferdam
15. Test Reports

**33 51 00 NATURAL-GAS DISTRIBUTION**

1. Product Data and Samples
2. Shop Drawing(s)
3. Warranty

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