

**SECTION 31 20 11**  
**EARTHWORK (SHORT FORM)**

**PART 1 - GENERAL**

**1.1:DESCRIPTION:**

This section specifies the requirements for furnishing all equipment, materials, labor and techniques for earthwork including excavation, fill, backfill and site restoration utilizing fertilizer, seed and/or sod.

**1.2 DEFINITIONS:**

A. Unsuitable Materials:

1. Fills: Topsoil, frozen materials; construction materials and materials subject to decomposition; clods of clay and stones larger than 75 mm (3 inches); organic materials, including silts, which are unstable; and inorganic materials, including silts, too wet to be stable.
2. Existing Subgrade (except footings): Same materials as above paragraph, that are not capable of direct support of slabs, pavement, and similar items, with the possible exception of improvement by compaction, proofrolling, or similar methods of improvement.
3. Existing Subgrade (footings only): Same as Paragraph 1, but no fill or backfill. If materials differ from design requirements, excavate to acceptable strata subject to COR's approval.

B. Earthwork: Earthwork operations required within the new construction area. It also includes earthwork required for auxiliary structures and buildings and sewer and other trenchwork throughout the job site.

C. Degree of Compaction: Degree of compaction is expressed as a percentage of maximum density obtained by the test procedure presented in ASTM D698.

D. The term fill means fill or backfill as appropriate.

**1.3 RELATED WORK:**

- A. Materials testing and inspection during construction: Section 01 45 29, TESTING LABORATORY SERVICES.
- B. Safety Requirements: Section 00 72 00, GENERAL CONDITIONS, Article, ACCIDENT PREVENTION.

- C. Protection of existing utilities, fire protection services, existing equipment, roads, and pavements: Section 01 00 00, GENERAL REQUIREMENTS.
- D. Subsurface Investigation: Section 01 00 00, GENERAL REQUIREMENTS, Article, PHYSICAL DATA.
- E. Deleted.

#### **1.4 CLASSIFICATION OF EXCAVATION:**

- A. Unclassified Excavation: Removal and disposal of pavements and other man-made obstructions visible on the surface; utilities, and other items including underground structures indicated to be demolished and removed; together with any type of materials regardless of character of material and obstructions encountered.
- B. Deleted.
- C. Rock Excavation:
  - 1. Solid ledge rock (igneous, metamorphic, and sedimentary rock).
  - 2. Bedded or conglomerate deposits so cemented as to present characteristics of solid rock which cannot be excavated without blasting; or the use of a modern power excavator (shovel, backhoe, or similar power excavators) of no less than 0.75 m<sup>3</sup> (1 cubic yard) capacity, properly used, having adequate power and in good running condition.
  - 3. Boulders or other detached stones each having a volume of 0.4 m<sup>3</sup> (1/2 cubic yard) or more.

#### **1.5 MEASUREMENT AND PAYMENT FOR EXCAVATION:**

Measurement: The unit of measurement for excavation and borrow will be the cubic yard, computed by the average end area method from cross sections taken before and after the excavation and borrow operations, including the excavation for ditches, gutters, and channel changes, when the material is acceptably utilized or disposed of as herein specified. Quantities should be computed by a Registered Professional Land Surveyor or Registered Civil Engineer, specified in Section 01 00 00, GENERAL REQUIREMENTS. The measurement will include authorized excavation of satisfactory subgrade soil, and the volume of loose, scattered rocks and boulders collected within the limits of the work; allowance will be made on the same basis for selected backfill ordered as replacement. The measurement will not include the volume of subgrade material or other material used for purposes other than directed. The volume of overburden stripped from borrow pits and the

volume of excavation for ditches to drain borrow its, unless used as borrow material, will not be measured for payment. The measurement will not include the volume of any excavation performed prior to taking of elevations and measurements of the undisturbed grade.

**1.6 MEASUREMENT AND PAYMENT FOR ROCK EXCAVATION: NOT USED**

**1.7 SUBMITTALS:**

- A. Submit in accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES.
- B. Rock Excavation Report:
  - 1. Certification of rock quantities excavated.
  - 2. Excavation method.
  - 3. Labor.
  - 4. Equipment.
  - 5. Land Surveyor's or Civil Engineer's name and official registration stamp.
  - 6. Plot plan showing elevations.
- C. Contractor shall submit procedure and location for disposal of unused satisfactory material. Proposed source of borrow material. Notification of encountering rock in the project. Advance notice on the opening of excavation or borrow areas. Advance notice on shoulder construction for rigid pavements.
- D. Deleted.
- E. Qualifications of the commercial testing laboratory or Contractor's Testing facility shall be submitted.

**1.8 APPLICABLE PUBLICATIONS:**

- A. Publications listed below form a part of this specification to the extent referenced. Publications are referenced in the text by the basic designation only.
- B. American Nursery and Landscape Association (ANLA):  
2004.....American Standard for Nursery Stock
- C. American Association of State Highway and Transportation Officials (AASHTO):  
T99-10.....Moisture-Density Relations of Soils Using a 2.5 kg (5.5 lb) Rammer and a 305 mm (12 inch) Drop  
T180-10.....Standard Method of Test for Moisture-Density Relations of Soils Using a 4.54-kg [10 lb] Rammer and a 457 mm (18 inch) Drop

D. American Society for Testing and Materials (ASTM):

- C33-03.....Concrete Aggregate
- D698-e1.....Laboratory Compaction Characteristics of Soil  
Using Standard Effort
- D1140-00.....Amount of Material in Soils Finer than the No.  
200 (75-micrometer) Sieve
- D1556-00.....Standard Test Method for Density and Unit  
Weight of Soil in Place by the Sand-Cone Method
- D1557-09.....Laboratory Compaction Characteristics of Soil  
Using Modified Effort
- D2167-94 (2001).....Standard Test Method for Density and Unit  
Weight of Soil in Place by the Rubber Balloon  
Method
- D2487-06.....Standard Classification of Soil for Engineering  
Purposes (Unified Soil Classification System)
- D6938-10.....Standard Test Methods for Density of Soil and  
Soil-Aggregate in Place by Nuclear Methods  
(Shallow Depth)

E. Standard Specifications of New York State State  
Department of Transportation, latest revision.

**PART 2 - PRODUCTS**

**2.1 MATERIALS:**

- A. Fills: Materials approved from on site and off site sources having a minimum dry density of 1760 kg/m<sup>3</sup> (110 pcf), a maximum Plasticity Index of 6, and a maximum Liquid Limit of 30.
- B. Granular Fill:
  - 1. Under concrete slab, granular fill shall consist of clean, poorly graded crushed rock, crushed gravel, or uncrushed gravel placed beneath a building slab with or without a vapor barrier to cut off the capillary flow of pore water to the area immediately below. Fine aggregate grading shall conform to ASTM C33 with a maximum of 3 percent by weight passing ASTM D1140, 75 micrometers (No. 200) sieve, or 37.5 mm (1-1/2 inches) and no more than 2 percent by weight passing the 4.75 mm (No. 4) size sieve or coarse aggregate Size 57, 67, or 77.
  - 2. Bedding for sanitary and storm sewer pipe, crushed stone or gravel graded from 13 mm (1/2 inch) to 4.75 mm (No. 4).

- C. Fertilizer: (5-10-5) delivered to site in unopened containers that clearly display the manufacturer's label, indicating the analysis of the contents.
- D. Seed: Grass mixture comparable to existing turf delivered to site in unopened containers that clearly display the manufacturer's label, indicating the analysis of the contents.
- E. Sod: Comparable species with existing turf. Use State Certified or State Approved sod when available. Deliver sod to site immediately after cutting and in a moist condition. Thickness of cut must be 19 mm to 32 mm (3/4 inch to 1 1/4 inches) excluding top growth. There shall be no broken pads and torn or uneven ends
- F. Requirements For Offsite Soils: Offsite soils brought in for use as backfill shall be tested for TPH, BTEX and full TCLP including ignitability, corrosivity and reactivity. Backfill shall contain less than 100 parts per million (ppm) of total hydrocarbons (TPH) and less than 10 ppm of the sum of Benzene, Toluene, Ethyl Benzene, and Xylene (BTEX) and shall not fail the TCLP test. TPH concentrations shall be determined by using EPA 600/4-79/020 Method 418.1. BTEX concentrations shall be determined by using EPA SW-846.3-3a Method 5030/8020. TCLP shall be performed in accordance with EPA SW-846.3-3a Method 1311. Provide Borrow Site Testing for TPH, BTEX and TCLP from a composite sample of material from the borrow site, with at least one test from each borrow site. Material shall not be brought on site until tests have been approved by the COR.
- G. Buried Warning and Identification Tape: Polyethylene plastic and metallic core or metallic-faced, acid- and alkali-resistant polyethylene plastic warning tape manufactured specifically for warning and identification of buried utility lines. Provide tape on rolls, 3 inch minimum width, color coded as specific below for the intended utility with warning and identification imprinted in bold black letters continuously over the entire tape length. Warning and identification to read, "CAUTION, BURIED (intended service) LINE BELOW" or similar wording. Color and printing shall be permanent, Unaffected by moisture or soil. Warning tape color codes:
  - Red: Electric
  - Yellow: Gas, Oil, Dangerous Materials
  - Orange: Telephone and Other Communications
  - Blue: Water Systems

White: Steam Systems

- H. Warning Tape for Metallic Piping: Acid and alkali-resistant polyethylene plastic tape conforming to the width, color, and printing requirements specified above. Minimum thickness of tape shall be 0.076 mm (0.003 inch). Tape shall have a minimum strength of 10.3 MPa (1500 psi) lengthwise, and 8.6 MPa (1250 psi) crosswise, with a maximum 350 percent elongation.
- I. Detectable Warning Tape for Non-Metallic Piping: Polyethylene plastic tape conforming to the width, color, and printing requirements specified above. Minimum thickness of the tape shall be 0.102 mm (0.004 inch). Tape shall have a minimum strength of 10.3 MPa (1500 psi) lengthwise and 8.6 MPa (1250 psi) crosswise. Tape shall be manufactured with integral wires, foil backing, or other means of enabling detection by a metal detector when tape is buried up to 0.9 m (3 feet) deep. Encase metallic element of the tape in a protective jacket or provide with other means of corrosion protection.
- J. Detection Wire For Non-Metallic Piping: Detection wire shall be Insulated single strand, solid copper with a minimum of 12 AWG.

### **PART 3 - EXECUTION**

#### **3.1 SITE PREPARATION:**

- A. Clearing: Clearing within the limits of earthwork operations as described or designated by the COR. Work includes removal of trees, shrubs, fences, foundations, incidental structures, paving, debris, trash and any other obstructions. Remove materials from the Medical Center.
- B. Grubbing: Remove stumps and roots 75 mm (3 inches) and larger diameter. Undisturbed sound stumps, roots up to 75 mm (3 inches) diameter, and nonperishable solid objects which will be a minimum of 900 mm (3 feet) below subgrade or finished embankment may be left.
- C. Trees and Shrubs: Trees and shrubs, not shown for removal, may be removed from the areas within 4500 mm (15 feet) of new construction and 2250 mm (7'-6") of utility lines if such removal is approved in advance by the COR. Remove materials from the Medical Center. Box, and otherwise protect from damage, existing trees and shrubs which are not shown to be removed in the construction area. Repair immediately damage to existing trees and shrubs by trimming, cleaning and painting damaged areas, including the roots, in accordance with standard industry

horticultural practice for the geographic area and plant species.

Building materials shall not be stored closer to trees and shrubs that are to remain, than the farthest extension of their limbs.

- D. Stripping Topsoil: Unless otherwise indicated on the drawings, the limits of earthwork operations shall extend anywhere the existing grade is filled or cut or where construction operations have compacted or otherwise disturbed the existing grade or turf. Strip topsoil as defined herein, or as indicated in the geotechnical report, from within the limits of earthwork operations as specified above unless specifically indicated or specified elsewhere in the specifications or shown on the drawings. Topsoil shall be fertile, friable, natural topsoil of loamy character and characteristic of the locality. Topsoil shall be capable of growing healthy horticultural crops of grasses. Stockpile topsoil and protect as directed by the COR. Eliminate foreign material, such as weeds, roots, stones, subsoil, frozen clods, and similar foreign materials, larger than 0.014 m<sup>3</sup> (1/2 cubic foot) in volume, from soil as it is stockpiled. Retain topsoil on the station. Remove foreign materials larger than 50 mm (2 inches) in any dimension from topsoil used in final grading. Topsoil work, such as stripping, stockpiling, and similar topsoil work, shall not, under any circumstances, be carried out when the soil is wet so that the tilth of the soil will be destroyed.

1. Deleted

2. Concrete Slabs and Paving: Score deeply or saw cut to insure a neat, straight cut, sections of existing concrete slabs and paving to be removed where excavation or trenching occurs. Extend pavement section to be removed a minimum of 300 mm (12 inches) on each side of widest part of trench excavation and insure final score lines are approximately parallel unless otherwise indicated. Remove material from the Medical Center.

- E. Disposal: All materials removed from the property shall be disposed of at a legally approved site, for the specific materials, and all removals shall be in accordance with all applicable Federal, State and local regulations. No burning of materials is permitted onsite.

### **3.2 EXCAVATION:**

- A. Shoring, Sheet piling and Bracing: Shore, brace, or slope to its angle of repose banks of excavations to protect workmen, banks, adjacent paving, structures, and utilities, in compliance with OSHA requirements.

1. Extend shoring and bracing to the bottom of the excavation. Shore excavations that are carried below the elevations of adjacent existing foundations.
  2. If the bearing of any foundation is disturbed by excavating, improper shoring or removal of shoring, placing of backfill, and similar operations, provide a concrete fill support under disturbed foundations, as directed by COR, at no additional cost to the Government. Do not remove shoring until permanent work in excavation has been inspected and approved by COR.
- B. Excavation Drainage: Operate pumping equipment, and/or provide other materials, means and equipment as required, to keep excavations free of water and subgrades dry, firm, and undisturbed until approval of permanent work has been received from COR. When subgrade for foundations has been disturbed by water, remove the disturbed material to firm undisturbed material after the water is brought under control. Replace disturbed subgrade in trenches by mechanically tamped sand or gravel. When removed disturbed material is located where it is not possible to install and properly compact disturbed subgrade material with mechanically compacted sand or gravel, the COR should be contacted to consider the use of flowable fill. Groundwater flowing toward or into excavations shall be controlled to prevent sloughing of excavation slopes and walls, boils, uplift and heave in the excavation and to eliminate interference with orderly progress of construction. French drains, sumps, ditches or trenches will not be permitted within 0.9 m (3 feet) of the foundation of any structure, except with specific written approval, and after specific contractual provisions for restoration of the foundation area have been made. Control measures shall be taken by the time the excavation reaches the water level in order to maintain the integrity of the in situ material. While the excavation is open, the water level shall be maintained continuously, at least 2 feet below the working level. Measure and record performance of dewatering system at same time each day by use of observation wells or piezometers installed in conjunction with the dewatering system. Relieve hydrostatic head in pervious zones below subgrade elevation in layered soils to prevent uplift.
- C. Deleted.
- D. Building Earthwork:



1. Excavation shall be accomplished as required by drawings and specifications.
2. Excavate foundation excavations to solid undisturbed subgrade.
3. Remove loose or soft material to solid bottom.
4. Fill excess cut under footings or foundations with 25 MPa (3000 psi) concrete, poured separately from the footings.
3. Do not tamp earth for backfilling in footing bottoms, except as specified.

E. Trench Earthwork:

1. Utility trenches (except sanitary and storm sewer):
  - a. Excavate to a width as necessary for sheeting and bracing and proper performance of the work.
  - b. Grade bottom of trenches with bell-holes, scooped-out to provide a uniform bearing.
  - c. Support piping on suitable undisturbed earth unless a mechanical support is shown. Unstable material removed from the bottom of the trench or excavation shall be replaced with select granular material placed in layers not exceeding 150 mm (6 inches) loose thickness.
  - d. The length of open trench in advance of pipe laying shall not be greater than is authorized by the COR.
  - e. Provide buried utility lines with utility identification tape. Bury tape 300 mm (12 inches) below finished grade; under pavements and slabs, bury tape 150 mm (6 inches) below top of subgrade
  - f. Bury detection wire directly above non-metallic piping at a distance not to exceed 300 mm (12 inches) above the top of pipe. The wire shall extend continuously and unbroken, from manhole to manhole. The ends of the wire shall terminate inside the manholes at each end of the pipe, with a minimum of 0.9 m (3 feet) of wire, coiled, remaining accessible in each manhole. The wire shall remain insulated over it's entire length. The wire shall enter manholes between the top of the corbel and the frame, and extend up through the chimney seal between the frame and the chimney seal. For force mains, the wire shall terminate in the valve pit at the pump station end of the pipe.
  - g. Bedding shall be of the type and thickness shown. Initial backfill material shall be placed and compacted with approved

tampers to a height of at least one foot above the utility pipe or conduit. The backfill shall be brought up evenly on both sides of the pipe for the full length of the pipe. Care shall be taken to ensure thorough compaction of the fill under the haunches of the pipe. Except as specified otherwise in the individual piping section, provide bedding for buried piping in accordance with AWWA C600, Type 4, except as specified herein. Backfill to top of pipe shall be compacted to 95 percent of ASTM D 698 maximum density. Plastic piping shall have bedding to spring line of pipe. Provide materials as follows:

- 1) Class I: Angular, 6 to 40 mm (0.25 to 1.5 inches), graded stone, including a number of fill materials that have regional significance such as coral, slag, cinders, crushed stone, and crushed shells.
- 2) Class II: Coarse sands and gravels with maximum particle size of 40 mm (1.5 inches), including various graded sands and gravels containing small percentages of fines, generally granular and noncohesive, either wet or dry. Soil Types GW, GP, SW, and SP are included in this class as specified in ASTM D 2487.
- 3) Deleted.
- 4) Deleted.

2. Deleted.

F. Site Earthwork: Excavation shall be accomplished as required by drawings and specifications. Remove subgrade materials that are determined by the COR as unsuitable, and replace with acceptable material. If there is a question as to whether material is unsuitable or not, the Contractor shall obtain samples of the material, under the direction of the COR, and the materials shall be examined by an independent testing laboratory for soil classification to determine whether it is unsuitable or not. When unsuitable material is encountered and removed, the contract price and time will be adjusted in accordance with Articles, DIFFERING SITE CONDITIONS, CHANGES and CHANGES-SUPPLEMENT of the GENERAL CONDITIONS as applicable. Adjustments to be based on meters (yardage) in cut section only.

G. Finished elevation of subgrade shall be as follows:

1. Pavement Areas - bottom of the pavement or base course as applicable.

2. Planting and Lawn Areas - 100 mm (4 inches) below the finished grade, unless otherwise specified or indicated on the drawings.

### **3.3 FILLING AND BACKFILLING:**

- A. General: Do not fill or backfill until all debris, unsatisfactory soil materials, obstructions, and deleterious materials have been removed from the excavation. Use excavated materials or borrow for fill and backfill, as applicable. Do not use unsuitable excavated materials. Do not backfill until foundation walls have been completed above grade and adequately braced, waterproofing or dampproofing applied, and pipes coming in contact with backfill have been installed, and inspected and approved by COR.
- B. Deleted.
- C. Placing: Place material in horizontal layers not exceeding 200 mm (8 inches) in loose depth and then compacted. Do not place material on surfaces that are muddy, frozen, or contain frost.
- D. Compaction: Use approved equipment (hand or mechanical) well suited to the type of material being compacted. Do not operate mechanized vibratory compaction equipment within 3000 mm (10 feet) of new or existing building walls without the prior approval of the COR. Moisten or aerate material as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction with the equipment used. Compact each layer to not less than 95 percent of the maximum density determined in accordance with the following test method ASTM D698. Backfill adjacent to any and all types of structures shall be placed and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials to prevent wedging action or eccentric loading upon or against the structure.
- E. Borrow Material: Borrow material shall be selected to meet the requirements and conditions of the particular fill or embankment for which it is to be used. Borrow material shall be obtained from the borrow areas within the limits of the project site, selected by the Contractor or from approved private sources. Unless otherwise provided in the contract, the Contractor shall obtain from the owners the right to procure material, pay royalties and other charges involved, and bear the expense of developing the sources, including rights-of-way for hauling. Borrow material from approved sources on Government-controlled land may be obtained without payment of royalties. Unless

specifically provided, no borrow shall be obtained within the limits of the project site without prior written approval. Necessary clearing, grubbing, and satisfactory drainage of borrow pits and the disposal of debris thereon shall be considered related operations to the borrow excavation.

- F. Opening and Drainage of Excavation and Borrow Pits: The Contractor shall notify the COR sufficiently in advance of the opening of any excavation or borrow pit to permit elevations and measurements of the undisturbed ground surface to be taken. Except as otherwise permitted, borrow pits and other excavation areas shall be excavated providing adequate drainage. Overburden and other spoil material shall be transported to designated spoil areas or otherwise disposed of as directed. Borrow pits shall be neatly trimmed and drained after the excavation is completed. The Contractor shall ensure that excavation of any area, operation of borrow pits, or dumping of spoil material results in minimum detrimental effects on natural environmental conditions.

#### **3.4 GRADING:**

- A. General: Uniformly grade the areas within the limits of this section, including adjacent transition areas. Smooth the finished surface within specified tolerance. Provide uniform levels or slopes between points where elevations are indicated, or between such points and existing finished grades. Provide a smooth transition between abrupt changes in slope.
- B. Cut rough or sloping rock to level beds for foundations. In unfinished areas fill low spots and level off with coarse sand or fine gravel.
- C. Slope backfill outside the building away from the building walls for a minimum distance of 3048 mm (10 feet) at a minimum five percent (5%) slope.
- D. The finished grade shall be 150 mm (6 inches) below bottom line of windows or other building wall openings unless greater depth is shown.
- E. Place crushed stone or gravel fill under concrete slabs on grade tamped and leveled. The thickness of the fill shall be 150 mm (6 inches), unless otherwise indicated.
- F. Finish subgrade in a condition acceptable to the COR at least one day in advance of the paving operations. Maintain finished subgrade in a smooth and compacted condition until the succeeding operation has been accomplished. Scarify, compact, and grade the subgrade prior to further

construction when approved compacted subgrade is disturbed by contractor's subsequent operations or adverse weather.

- G. Grading for Paved Areas: Provide final grades for both subgrade and base course to +/- 6 mm (0.25 inches) of indicated grades.

### **3.5 LAWN AREAS:**

- A. General: Harrow and till to a depth of 100 mm (4 inches), new or existing lawn areas to remain, which are disturbed during construction. Establish existing or design grades by dragging or similar operations. Do not carry out lawn areas earthwork out when the soil is wet so that the tilth of the soil will be destroyed. Plant bed must be approved by COR before seeding or sodding operation begins.
- B. Finished Grading: Begin finish grading after rough grading has had sufficient time for settlement. Scarify subgrade surface in lawn areas to a depth of 100 mm (4 inches). Apply topsoil so that after normal compaction, dragging and raking operations (to bring surface to indicated finish grades) there will be a minimum of 100 mm (4 inches) of topsoil over all lawn areas; make smooth, even surface and true grades, which will not allow water to stand at any point. Shape top and bottom of banks to form reverse curves in section; make junctions with undisturbed areas to conform to existing topography. Solid lines within grading limits indicate finished contours. Existing contours, indicated by broken lines are believed approximately correct but are not guaranteed.
- C. Deleted.
- D. Deleted.
- E. Deleted.
- F. Deleted.

### **3.6 DISPOSAL OF UNSUITABLE AND EXCESS EXCAVATED MATERIAL:**

- A. Disposal: Remove surplus satisfactory soil and waste material, including unsatisfactory soil, trash, and debris, and legally dispose of it off Medical Center property.
- B. Place excess excavated materials suitable for fill and/or backfill on site where directed.
- C. Remove from site and dispose of any excess excavated materials after all fill and backfill operations have been completed.
- D. Segregate all excavated contaminated soil designated by the COR from all other excavated soils, and stockpile on site on two 0.15 mm (6 mil) polyethylene sheets with a polyethylene cover. A designated area shall

be selected for this purpose. Dispose of excavated contaminated material in accordance with State and Local requirements.

**3.7 CLEAN-UP:**

Upon completion of earthwork operations, clean areas within contract limits, remove tools, and equipment. Provide site clear, clean, free of debris, and suitable for subsequent construction operations. Remove debris, rubbish, and excess material from the Medical Center.

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