

**DEPARTMENT OF VETERANS AFFAIRS  
VHA MASTER SPECIFICATIONS**

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**SECTION 01 33 23****SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES****PART 1 - GENERAL****1.1 DESCRIPTION**

- A. This specification defines the general requirements and procedures for submittals. A submittal is information submitted for VA review to establish compliance with the contract documents.
- B. Detailed submittal requirements are found in the technical sections of the contract specifications. The Contracting Officer may request submittals in addition to those specified when deemed necessary to adequately describe the work covered in the respective technical specifications at no additional cost to the government.
- C. VA approval of a submittal does not relieve the Contractor of the responsibility for any error which may exist. The Contractor is responsible for fully complying with all contract requirements and the satisfactory construction of all work, including the need to check, confirm, and coordinate the work of all subcontractors for the project. Non-compliant material incorporated in the work will be removed and replaced at the Contractor's expense.

**1.2 DEFINITIONS**

- A. Preconstruction Submittals: Submittals which are required prior to issuing contract notice to proceed or starting construction. For example, Certificates of insurance; Surety bonds; Site-specific safety plan; Construction progress schedule; Schedule of values; Submittal register; List of proposed subcontractors.
- B. Shop Drawings: Drawings, diagrams, and schedules specifically prepared to illustrate some portion of the work. Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be integrated and coordinated.
- C. Product Data: Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions, and brochures, which describe and illustrate size, physical appearance, and other characteristics of materials, systems, or equipment for some portion of the work. Samples of warranty language when the contract requires extended product warranties.

- D. Samples: Physical examples of materials, equipment, or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged. Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project. Field samples and mock-ups constructed to establish standards by which the ensuing work can be judged.
- E. Design Data: Calculations, mix designs, analyses, or other data pertaining to a part of work.
- F. Test Reports: Report which includes findings of a test required to be performed by the Contractor on an actual portion of the work. Report which includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.
- G. Certificates: Document required of Contractor, or of a manufacturer, supplier, installer, or subcontractor through Contractor. The purpose is to document procedures, acceptability of methods, or personnel qualifications for a portion of the work.
- H. Manufacturer's Instructions: Pre-printed material describing installation of a product, system, or material, including special notices and MSDS concerning impedances, hazards, and safety precautions.
- I. Manufacturer's Field Reports: Documentation of the testing and verification actions taken by manufacturer's representative at the job site on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must indicate whether the material, product, or system has passed or failed the test.
- J. Operation and Maintenance Data: Manufacturer data that is required to operate, maintain, troubleshoot, and repair equipment, including manufacturer's help, parts list, and product line documentation. This data shall be incorporated in an operations and maintenance manual.
- K. Closeout Submittals: Documentation necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a phase of construction on a multi-phase contract.

### **1.3 SUBMITTAL REGISTER**

- A. The submittal register will list items of equipment and materials for which submittals are required by the specifications. This list may not

be all inclusive and additional submittals may be required by the specifications. The Contractor is not relieved from supplying submittals required by the contract documents but which have been omitted from the submittal register.

- B. The submittal register will serve as a scheduling document for submittals and will be used to control submittal actions throughout the contract period.
- C. The VA will provide the initial submittal register in electronic format. Thereafter, the Contractor shall track all submittals by maintaining a complete list, including completion of all data columns, including dates on which submittals are received and returned by the VA.
- D. The Contractor shall update the submittal register as submittal actions occur and maintain the submittal register at the project site until final acceptance of all work by Contracting Officer.
- E. The Contractor shall submit formal monthly updates to the submittal register in electronic format. Each monthly update shall document actual submission and approval dates for each submittal.

#### **1.4 SUBMITTAL SCHEDULING**

- A. Submittals are to be scheduled, submitted, reviewed, and approved prior to the acquisition of the material or equipment.
- B. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. Allow time for potential resubmittal.
- C. No delay costs or time extensions will be allowed for time lost in late submittals or resubmittals.
- D. All submittals are required to be approved prior to the start of the specified work activity.

#### **1.5 SUBMITTAL PREPARATION**

- A. Each submittal is to be complete and in sufficient detail to allow ready determination of compliance with contract requirements.
- B. Collect required data for each specific material, product, unit of work, or system into a single submittal. Prominently mark choices, options, and portions applicable to the submittal. Partial submittals will not be accepted for expedition of construction effort. Submittal will be returned without review if incomplete.

- C. If available product data is incomplete, provide Contractor-prepared documentation to supplement product data and satisfy submittal requirements.
- D. All irrelevant or unnecessary data shall be removed from the submittal to facilitate accuracy and timely processing. Submittals that contain the excessive amount of irrelevant or unnecessary data will be returned with review.
- E. Provide a transmittal form for each submittal with the following information:
  - 1. Project title, location and number.
  - 2. Construction contract number.
  - 3. Date of the drawings and revisions.
  - 4. Name, address, and telephone number of subcontractor, supplier, manufacturer, and any other subcontractor associated with the submittal.
  - 5. List paragraph number of the specification section and sheet number of the contract drawings by which the submittal is required.
  - 6. When a resubmission, add alphabetic suffix on submittal description. For example, submittal 18 would become 18A, to indicate resubmission.
  - 7. Product identification and location in project.
- F. The Contractor is responsible for reviewing and certifying that all submittals are in compliance with contract requirements before submitting for VA review. Proposed deviations from the contract requirements are to be clearly identified. All deviations submitted must include a side by side comparison of item being proposed against item specified. Failure to point out deviations will result in the VA requiring removal and replacement of such work at the Contractor's expense.
- G. Stamp, sign, and date each submittal transmittal form indicating action taken.
- H. Stamp used by the Contractor on the submittal transmittal form to certify that the submittal meets contract requirements is to be similar to the following:

CONTRACTOR
(Firm Name)
_____ Approved
_____ Approved with corrections as noted on submittal data and/or attached sheets(s)
SIGNATURE: _____
TITLE: _____
DATE: _____

#### 1.6 SUBMITTAL FORMAT AND TRANSMISSION

- A. Provide submittals in electronic format, with the exception of material samples. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer.
- B. Compile the electronic submittal file as a single, complete document. Name the electronic submittal file specifically according to its contents.
- C. Electronic files must be of sufficient quality that all information is legible. Generate PDF files from original documents so that the text included in the PDF file is both searchable and can be copied. If documents are scanned, Optical Character Resolution (OCR) routines are required.

- D. E-mail electronic submittal documents smaller than 5MB in size to e-mail addresses as directed by the Contracting Officer.
- E. Provide electronic documents over 5MB through an electronic FTP file sharing system. Confirm that the electronic FTP file sharing system can be accessed from the VA computer network. The Contractor is responsible for setting up, providing, and maintaining the electronic FTP file sharing system for the construction contract period of performance.
- F. Provide hard copies of submittals when requested by the Contracting Officer. Up to 3 additional hard copies of any submittal may be requested at the discretion of the Contracting Officer, at no additional cost to the VA.

#### **1.7 SAMPLES**

- A. Submit two sets of physical samples showing range of variation, for each required item.
- B. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified.
- C. When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.
- D. Before submitting samples, the Contractor is to ensure that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.
- E. The VA reserves the right to disapprove any material or equipment which previously has proven unsatisfactory in service.
- F. Physical samples supplied maybe requested back for use in the project after reviewed and approved.

#### **1.8 OPERATION AND MAINTENANCE DATA**

- A. Submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.
- B. In the event the Contractor fails to deliver O&M Data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the item with which such O&M Data are applicable.

**1.9 TEST REPORTS**

SRE may require specific test after work has been installed or completed which could require contractor to repair test area at no additional cost to contract.

**1.10 VA REVIEW OF SUBMITTALS AND RFIS**

- A. The VA will review all submittals for compliance with the technical requirements of the contract documents. The Architect-Engineer for this project will assist the VA in reviewing all submittals and determining contractual compliance. Review will be only for conformance with the applicable codes, standards and contract requirements.
- B. Period of review for submittals begins when the VA COR receives submittal from the Contractor.
- C. Period of review for each resubmittal is the same as for initial submittal.
- D. VA review period is 15 working days for submittals.
- E. VA review period is 10 working days for RFIs.
- F. The VA will return submittals to the Contractor with the following notations:
  - 1. "Approved": authorizes the Contractor to proceed with the work covered.
  - 2. "Approved as noted": authorizes the Contractor to proceed with the work covered provided the Contractor incorporates the noted comments and makes the noted corrections.
  - 3. "Disapproved, revise and resubmit": indicates noncompliance with the contract requirements or that submittal is incomplete. Resubmit with appropriate changes and corrections. No work shall proceed for this item until resubmittal is approved.
  - 4. "Not reviewed": indicates submittal does not have evidence of being reviewed and approved by Contractor or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals after taking appropriate action.

**1.11 APPROVED SUBMITTALS**

- A. The VA approval of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing, and other information are satisfactory.

- B. VA approval of a submittal does not relieve the Contractor of the responsibility for any error which may exist. The Contractor is responsible for fully complying with all contract requirements and the satisfactory construction of all work, including the need to check, confirm, and coordinate the work of all subcontractors for the project. Non-compliant material incorporated in the work will be removed and replaced at the Contractor's expense.
- C. After submittals have been approved, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.
- D. Retain a copy of all approved submittals at project site, including approved samples.

**1.12 WITHHOLDING OF PAYMENT**

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

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**SECTION 01 35 26  
SAFETY REQUIREMENTS**

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**SECTION 01 35 26  
SAFETY REQUIREMENTS**

**1.1 APPLICABLE PUBLICATIONS:**

A. Latest publications listed below form part of this Article to extent referenced. Publications are referenced in text by basic designations only.

B. American Society of Safety Engineers (ASSE):

A10.1-2011.....Pre-Project & Pre-Task Safety and Health  
Planning

A10.34-2012.....Protection of the Public on or Adjacent to  
Construction Sites

A10.38-2013.....Basic Elements of an Employer's Program to  
Provide a Safe and Healthful Work Environment  
American National Standard Construction and  
Demolition Operations

C. American Society for Testing and Materials (ASTM):

E84-2013.....Surface Burning Characteristics of Building  
Materials

D. The Facilities Guidelines Institute (FGI):

FGI Guidelines-2010Guidelines for Design and Construction of  
Healthcare Facilities

E. National Fire Protection Association (NFPA):

10-2013.....Standard for Portable Fire Extinguishers

30-2012.....Flammable and Combustible Liquids Code

51B-2014.....Standard for Fire Prevention During Welding,  
Cutting and Other Hot Work

70-2014.....National Electrical Code

70B-2013.....Recommended Practice for Electrical Equipment  
Maintenance

70E-2015 .....Standard for Electrical Safety in the Workplace

99-2012.....Health Care Facilities Code

241-2013.....Standard for Safeguarding Construction,  
Alteration, and Demolition Operations

F. The Joint Commission (TJC)

TJC Manual .....Comprehensive Accreditation and Certification  
Manual

G. U.S. Nuclear Regulatory Commission

10 CFR 20 .....Standards for Protection Against Radiation

H. U.S. Occupational Safety and Health Administration (OSHA):

29 CFR 1904 .....Reporting and Recording Injuries & Illnesses

29 CFR 1910 .....Safety and Health Regulations for General  
Industry

29 CFR 1926 .....Safety and Health Regulations for Construction  
Industry

CPL 2-0.124.....Multi-Employer Citation Policy

I. VHA Directive 2005-007

**1.2 DEFINITIONS:**

A. Critical Lift. A lift with the hoisted load exceeding 75% of the crane's maximum capacity; lifts made out of the view of the operator (blind picks); lifts involving two or more cranes; personnel being hoisted; and special hazards such as lifts over occupied facilities, loads lifted close to power-lines, and lifts in high winds or where other adverse environmental conditions exist; and any lift which the crane operator believes is critical.

B. OSHA "Competent Person" (CP). One who is capable of identifying existing and predictable hazards in the surroundings and working conditions which

are unsanitary, hazardous or dangerous to employees, and who has the authorization to take prompt corrective measures to eliminate them (see 29 CFR 1926.32(f)).

C. "Qualified Person" means one who, by possession of a recognized degree, certificate, or professional standing, or who by extensive knowledge, training and experience, has successfully demonstrated his ability to solve or resolve problems relating to the subject matter, the work, or the project.

D. High Visibility Accident. Any mishap which may generate publicity or high visibility.

E. Accident/Incident Criticality Categories:

No impact - near miss incidents that should be investigated but are not required to be reported to the VA;

Minor incident/impact - incidents that require first aid or result in minor equipment damage (less than \$5000). These incidents must be investigated but are not required to be reported to the VA;

Moderate incident/impact - Any work-related injury or illness that results in:

1. Days away from work (any time lost after day of injury/illness onset);
2. Restricted work;
3. Transfer to another job;
4. Medical treatment beyond first aid;
5. Loss of consciousness;
6. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (1) through (5) above or,
7. any incident that leads to major equipment damage (greater than \$5000).

These incidents must be investigated and are required to be reported to the VA;

Major incident/impact - Any mishap that leads to fatalities, hospitalizations, amputations, and losses of an eye as a result of contractors' activities. Or any incident which leads to major property damage (greater than \$20,000) and/or may generate publicity or high visibility. These incidents must be investigated and are required to be reported to the VA as soon as practical, but not later than 2 hours after the incident.

- E. Medical Treatment. Treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even through provided by a physician or registered personnel.

### **1.3 REGULATORY REQUIREMENTS:**

- A. In addition to the detailed requirements included in the provisions of this contract, comply with 29 CFR 1926, comply with 29 CFR 1910 as incorporated by reference within 29 CFR 1926, comply with ASSE A10.34, and all applicable [federal, state, and local] laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern except with specific approval and acceptance by the Contracting Officer Representative.

### **1.4 ACCIDENT PREVENTION PLAN (APP):**

- A. The APP (aka Construction Safety & Health Plan) shall interface with the Contractor's overall safety and health program. Include any portions of the Contractor's overall safety and health program referenced in the APP in the applicable APP element and ensure it is site-specific. The Government considers the Prime Contractor to be the "controlling authority" for all worksite safety and health of each

subcontractor(s). Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out.

B. The APP shall be prepared as follows:

1. Written in English by a qualified person who is employed by the Prime Contractor articulating the specific work and hazards pertaining to the contract (model language can be found in ASSE A10.33). Specifically articulating the safety requirements found within these VA contract safety specifications.
2. Address both the Prime Contractors and the subcontractors work operations.
3. State measures to be taken to control hazards associated with materials, services, or equipment provided by suppliers.
4. Address all the elements/sub-elements and in order as follows:
  - a. **SIGNATURE SHEET.** Title, signature, and phone number of the following:
    - 1) Plan preparer (Qualified Person such as corporate safety staff person or contracted Certified Safety Professional with construction safety experience);
    - 2) Plan approver (company/corporate officers authorized to obligate the company);
    - 3) Plan concurrence (e.g., Chief of Operations, Corporate Chief of Safety, Corporate Industrial Hygienist, project manager or superintendent, project safety professional). Provide concurrence of other applicable corporate and project personnel (Contractor).
  - b. **BACKGROUND INFORMATION.** List the following:
    - 1) Contractor;

- 2) Contract number;
  - 3) Project name;
  - 4) Brief project description, description of work to be performed, and location; phases of work anticipated (these will require an AHA).
- c. STATEMENT OF SAFETY AND HEALTH POLICY.** Provide a copy of current corporate/company Safety and Health Policy Statement, detailing commitment to providing a safe and healthful workplace for all employees. The Contractor's written safety program goals, objectives, and accident experience goals for this contract should be provided.
- d. RESPONSIBILITIES AND LINES OF AUTHORITIES.** Provide the following:
- 1) A statement of the employer's ultimate responsibility for the implementation of his SOH program;
  - 2) Identification and accountability of personnel responsible for safety at both corporate and project level. Contracts specifically requiring safety or industrial hygiene personnel shall include a copy of their resumes.
  - 3) The names of Competent and/or Qualified Person(s) and proof of competency/qualification to meet specific OSHA Competent/Qualified Person(s) requirements must be attached.;
  - 4) Requirements that no work shall be performed unless a designated competent person is present on the job site;
  - 5) Requirements for pre-task Activity Hazard Analysis (AHAs);
  - 6) Lines of authority;
  - 7) Policies and procedures regarding noncompliance with safety requirements (to include disciplinary actions for violation of safety requirements) should be identified;
- e. SUBCONTRACTORS AND SUPPLIERS.** If applicable, provide procedures for coordinating SOH activities with other employers on the job site:

- 1) Identification of subcontractors and suppliers (if known);
- 2) Safety responsibilities of subcontractors and suppliers.

**f. TRAINING.**

- 1) Site-specific SOH orientation training at the time of initial hire or assignment to the project for every employee before working on the project site is required.
- 2) Mandatory training and certifications that are applicable to this project (e.g., explosive actuated tools, crane operator, rigger, crane signal person, fall protection, electrical lockout/NFPA 70E, machine/equipment lockout, confined space, etc...) and any requirements for periodic retraining/recertification are required.
- 3) Procedures for ongoing safety and health training for supervisors and employees shall be established to address changes in site hazards/conditions.
- 4) OSHA 10-hour training is required for all workers on site and the OSHA 30-hour training is required for Trade Competent Persons (CPs)

**g. SAFETY AND HEALTH INSPECTIONS.**

- 1) Specific assignment of responsibilities for a minimum daily job site safety and health inspection during periods of work activity: Who will conduct (e.g., "Site Safety and Health CP"), proof of inspector's training/qualifications, when inspections will be conducted, procedures for documentation, deficiency tracking system, and follow-up procedures.
- 2) Any external inspections/certifications that may be required (e.g., contracted CSP or CSHT)

**h. ACCIDENT/INCIDENT INVESTIGATION & REPORTING.** The Contractor shall conduct mishap investigations of all Moderate and Major as well as all High Visibility Incidents. The APP shall include accident/incident investigation procedure and identify person(s)

responsible to provide the following to the Contracting Officer Representative:

- 1) Exposure data (man-hours worked);
- 2) Accident investigation reports;
- 3) Project site injury and illness logs.

**i. PLANS (PROGRAMS, PROCEDURES) REQUIRED.** Based on a risk assessment of contracted activities and on mandatory OSHA compliance programs, the Contractor shall address all applicable occupational, patient, and public safety risks in site-specific compliance and accident prevention plans. These Plans shall include but are not be limited to procedures for addressing the risks associates with the following:

- 1) Emergency response;
- 2) Contingency for severe weather;
- 3) Fire Prevention;
- 4) Medical Support;
- 5) Posting of emergency telephone numbers;
- 6) Prevention of alcohol and drug abuse;
- 7) Site sanitation(housekeeping, drinking water, toilets);
- 8) Night operations and lighting;
- 9) Hazard communication program;
- 10) Welding/Cutting "Hot" work;
- 11) Electrical Safe Work Practices (Electrical LOTO/NFPA 70E);
- 12) General Electrical Safety;
- 13) Hazardous energy control (Machine LOTO);
- 14) Site-Specific Fall Protection & Prevention;
- 15) Excavation/trenching;

- 16) Asbestos abatement;
- 17) Lead abatement;
- 18) Crane Critical lift;
- 19) Respiratory protection;
- 20) Health hazard control program;
- 21) Radiation Safety Program;
- 22) Abrasive blasting;
- 23) Heat/Cold Stress Monitoring;
- 24) Crystalline Silica Monitoring (Assessment);
- 25) Demolition plan (to include engineering survey);
- 26) Formwork and shoring erection and removal;
- 27) PreCast Concrete;
- 28) Public (Mandatory compliance with ANSI/ASSE A10.34-2012).

- C. Submit the APP to the Contracting Officer Representative for review for compliance with contract requirements in accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA AND SAMPLES 15 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP.
- D. Once accepted by the Contracting Officer Representative, the APP and attachments will be enforced as part of the contract. Disregarding the provisions of this contract or the accepted APP will be cause for stopping of work, at the discretion of the Contracting Officer in accordance with FAR Clause 52.236-13, *Accident Prevention*, until the matter has been rectified.
- E. Once work begins, changes to the accepted APP shall be made with the knowledge and concurrence of the Contracting Officer Representative. Should any severe hazard exposure, i.e. imminent danger, become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting

Officer within 24 hours of discovery. Eliminate/remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public and the environment.

#### **1.5 ACTIVITY HAZARD ANALYSES (AHAS):**

- A. AHAs are also known as Job Hazard Analyses, Job Safety Analyses, and Activity Safety Analyses. Before beginning each work activity involving a type of work presenting hazards not experienced in previous project operations or where a new work crew or sub-contractor is to perform the work, the Contractor(s) performing that work activity shall prepare an AHA (Example electronic AHA forms can be found on the US Army Corps of Engineers web site)
- B. AHAs shall define the activities being performed and identify the work sequences, the specific anticipated hazards, site conditions, equipment, materials, and the control measures to be implemented to eliminate or reduce each hazard to an acceptable level of risk.
- C. Work shall not begin until the AHA for the work activity has been accepted by the Contracting Officer Representative and discussed with all engaged in the activity, including the Contractor, subcontractor(s), and Government on-site representatives at preparatory and initial control phase meetings.
  - 1. The names of the Competent/Qualified Person(s) required for a particular activity (for example, excavations, scaffolding, fall protection, other activities as specified by OSHA and/or other State and Local agencies) shall be identified and included in the AHA. Certification of their competency/qualification shall be submitted to the Government Designated Authority (GDA) for acceptance prior to the start of that work activity.
  - 2. The AHA shall be reviewed and modified as necessary to address changing site conditions, operations, or change of competent/qualified person(s).
    - a. If more than one Competent/Qualified Person is used on the AHA activity, a list of names shall be submitted as an attachment to the AHA. Those listed must be Competent/Qualified for the type of

work involved in the AHA and familiar with current site safety issues.

- b. If a new Competent/Qualified Person (not on the original list) is added, the list shall be updated (an administrative action not requiring an updated AHA). The new person shall acknowledge in writing that he or she has reviewed the AHA and is familiar with current site safety issues.
3. Submit AHAs to the Contracting Officer Representative for review for compliance with contract requirements in accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA AND SAMPLES for review at least 15 calendar days prior to the start of each phase. Subsequent AHAs as shall be formatted as amendments to the APP. The analysis should be used during daily inspections to ensure the implementation and effectiveness of the activity's safety and health controls.
4. The AHA list will be reviewed periodically (at least monthly) at the Contractor supervisory safety meeting and updated as necessary when procedures, scheduling, or hazards change.
5. Develop the activity hazard analyses using the project schedule as the basis for the activities performed. All activities listed on the project schedule will require an AHA. The AHAs will be developed by the contractor, supplier, or subcontractor and provided to the prime contractor for review and approval and then submitted to the Contracting Officer Representative.

#### **1.6 PRECONSTRUCTION CONFERENCE:**

- A. Contractor representatives who have a responsibility or significant role in implementation of the accident prevention program, as required by 29 CFR 1926.20(b)(1), on the project shall attend the preconstruction conference to gain a mutual understanding of its implementation. This includes the project superintendent, subcontractor superintendents, and any other assigned safety and health professionals.

- B. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the contract. This list of proposed AHAs will be reviewed at the conference and an agreement will be reached between the Contractor and the Contracting Officer's representative as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, review, and acceptance of AHAs to preclude project delays.

**1.7 "SITE SAFETY AND HEALTH OFFICER" (SSHO) AND "COMPETENT PERSON" (CP):**

- A. The Prime Contractor shall designate a minimum of one SSHO at each project site that will be identified as the SSHO to administer the Contractor's safety program and government-accepted Accident Prevention Plan. Each subcontractor shall designate a minimum of one CP in compliance with 29 CFR 1926.20 (b)(2) that will be identified as a CP to administer their individual safety programs.
- B. Further, all specialized Competent Persons for the work crews will be supplied by the respective contractor as required by 29 CFR 1926 (i.e. Asbestos, Electrical, Cranes, & Derricks, Demolition, Fall Protection, Fire Safety/Life Safety, Ladder, Rigging, Scaffolds, and Trenches/Excavations).
- C. These Competent Persons can have collateral duties as the subcontractor's superintendent and/or work crew lead persons as well as fill more than one specialized CP role (i.e. Asbestos, Electrical, Cranes, & Derricks, Demolition, Fall Protection, Fire Safety/Life Safety, Ladder, Rigging, Scaffolds, and Trenches/Excavations). However, the SSHO has be a separate qualified individual from the Prime Contractor's Superintendent and/or Quality Control Manager with duties only as the SSHO.
- D. The SSHO or an equally-qualified Designated Representative/alternate will maintain a presence on the site during construction operations in accordance with FAR Clause 52.236-6: *Superintendence by the Contractor*. CPs will maintain presence during their construction activities in

accordance with above mentioned clause. A listing of the designated SSHO and all known CPs shall be submitted prior to the start of work as part of the APP with the training documentation and/or AHA as listed in Section 1.8 below.

- E. The repeated presence of uncontrolled hazards during a contractor's work operations will result in the designated CP as being deemed incompetent and result in the required removal of the employee in accordance with FAR Clause 52.236-5: Material and Workmanship, Paragraph (c).

#### **1.8 TRAINING:**

- A. The designated Prime Contractor SSHO must meet the requirements of all applicable OSHA standards and be capable (through training, experience, and qualifications) of ensuring that the requirements of 29 CFR 1926.16 and other appropriate Federal, State and local requirements are met for the project. As a minimum the SSHO must have completed the OSHA 30-hour Construction Safety class and have five (5) years of construction industry safety experience or three (3) years if he/she possesses a Certified Safety Professional (CSP) or certified Construction Safety and Health Technician (CSHT) certification or have a safety and health degree from an accredited university or college.
- B. All designated CPs shall have completed the OSHA 30-hour Construction Safety course within the past 5 years.
- C. In addition to the OSHA 30 Hour Construction Safety Course, all CPs with high hazard work operations such as operations involving asbestos, electrical, cranes, demolition, work at heights/fall protection, fire safety/life safety, ladder, rigging, scaffolds, and trenches/excavations shall have a specialized formal course in the hazard recognition & control associated with those high hazard work operations. Documented "repeat" deficiencies in the execution of safety requirements will require retaking the requisite formal course.
- D. All other construction workers shall have the OSHA 10-hour Construction Safety Outreach course and any necessary safety training to be able to identify hazards within their work environment.

- E. Submit training records associated with the above training requirements to the Contracting Officer Representative for review for compliance with contract requirements in accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA AND SAMPLES 15 calendar days prior to the date of the preconstruction conference for acceptance.
- F. Prior to any worker for the contractor or subcontractors beginning work, they shall undergo a safety briefing provided by the SSHO or his/her designated representative. As a minimum, this briefing shall include information on the site-specific hazards, construction limits, VAMC safety guidelines, means of egress, break areas, work hours, locations of restrooms, use of VAMC equipment, emergency procedures, accident reporting etc... Documentation shall be provided to the Resident Engineer that individuals have undergone contractor's safety briefing.
- G. Ongoing safety training will be accomplished in the form of weekly documented safety meeting.

#### **1.9 INSPECTIONS:**

- A. The SSHO shall conduct frequent and regular safety inspections (daily) of the site and each of the subcontractors CPs shall conduct frequent and regular safety inspections (daily) of the their work operations as required by 29 CFR 1926.20(b)(2). Each week, the SSHO shall conduct a formal documented inspection of the entire construction areas with the subcontractors' "Trade Safety and Health CPs" present in their work areas. Coordinate with, and report findings and corrective actions weekly to Contracting Officer.
- B. A Certified Safety Professional (CSP) with specialized knowledge in construction safety or a certified Construction Safety and Health Technician (CSHT) shall randomly conduct a monthly site safety inspection. The CSP or CSHT can be a corporate safety professional or independently contracted. The CSP or CSHT will provide their certificate number on the required report for verification as necessary.

1. Results of the inspection will be documented with tracking of the identified hazards to abatement.
2. The Contracting Officer Representative will be notified immediately prior to start of the inspection and invited to accompany the inspection.
3. Identified hazard and controls will be discussed to come to a mutual understanding to ensure abatement and prevent future reoccurrence.
4. A report of the inspection findings with status of abatement will be provided to the Contracting Officer Representative within one week of the onsite inspection.

**1.10 ACCIDENTS, OSHA 300 LOGS, AND MAN-HOURS:**

- A. The prime contractor shall establish and maintain an accident reporting, recordkeeping, and analysis system to track and analyze all injuries and illnesses, high visibility incidents, and accidental property damage (both government and contractor) that occur on site. Notify the Contracting Officer Representative as soon as practical, but no more than four hours after any accident meeting the definition of a Moderate or Major incidents, High Visibility Incidents, , or any weight handling and hoisting equipment accident. Within notification include contractor name; contract title; type of contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (to include type of construction equipment used, PPE used, etc.). Preserve the conditions and evidence on the accident site until the Contracting Officer Representative determine whether a government investigation will be conducted.
- B. Conduct an accident investigation for all Minor, Moderate and Major incidents as defined in paragraph DEFINITIONS, and property damage accidents resulting in at least \$20,000 in damages, to establish the root cause(s) of the accident. Complete the VA Form 2162 (or equivalent), and provide the report to Contracting Officer Representative within 5 calendar days of the accident. The Contracting Officer Representative will provide copies of any required or special forms.

- C. A summation of all man-hours worked by the contractor and associated sub-contractors for each month will be reported to the Contracting Officer Representative monthly.
- D. A summation of all Minor, Moderate, and Major incidents experienced on site by the contractor and associated sub-contractors for each month will be provided to the Contracting Officer Representative monthly. The contractor and associated sub-contractors' OSHA 300 logs will be made available to the Contracting Officer Representative as requested.

**1.11 PERSONAL PROTECTIVE EQUIPMENT (PPE):**

- A. PPE is governed in all areas by the nature of the work the employee is performing. For example, specific PPE required for performing work on electrical equipment is identified in NFPA 70E, Standard for Electrical Safety in the Workplace.
- B. Mandatory PPE includes:
  - 1. Hard Hats - unless written authorization is given by the Contracting Officer Representative in circumstances of work operations that have limited potential for falling object hazards such as during finishing work or minor remodeling. With authorization to relax the requirement of hard hats, if a worker becomes exposed to an overhead falling object hazard, then hard hats would be required in accordance with the OSHA regulations.
  - 2. Safety glasses - unless written authorization is given by the Contracting Officer Representative in circumstances of no eye hazards, appropriate safety glasses meeting the ANSI Z.87.1 standard must be worn by each person on site.
  - 3. Appropriate Safety Shoes - based on the hazards present, safety shoes meeting the requirements of ASTM F2413-11 shall be worn by each person on site unless written authorization is given by the Contracting Officer Representative in circumstances of no foot hazards.
  - 4. Hearing protection - Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks.

## 1.12 INFECTION CONTROL

- A. Infection Control is critical in all medical center facilities. Interior construction activities causing disturbance of existing dust, or creating new dust, must be conducted within ventilation-controlled areas that minimize the flow of airborne particles into patient areas.
- B. An AHA associated with infection control will be performed by VA personnel in accordance with FGI Guidelines (i.e. Infection Control Risk Assessment (ICRA)). The ICRA procedure found on the American Society for Healthcare Engineering (ASHE) website will be utilized. Risk classifications of Class II or lower will require approval by the Contracting Officer Representative before beginning any construction work. Risk classifications of Class III or higher will require a permit before beginning any construction work. Infection Control permits will be issued by the Project Engineer. The Infection Control Permits will be posted outside the appropriate construction area. More than one permit may be issued for a construction project if the work is located in separate areas requiring separate classes. The primary project scope area for this project is: **Class II**, however, work outside the primary project scope area may vary. The required infection control precautions with each class are as follows:

### 1. Class I requirements:

#### a. During Construction Work:

- 1) Notify the // Resident Engineer // Project Manager // and Facility Safety // Manager // Officer // or Contracting Officer Representative // or Government Designated Authority //

- 2) Execute work by methods to minimize raising dust from construction operations.
- 3) Ceiling tiles: Immediately replace a ceiling tiles displaced for visual inspection.

b. Upon Completion:

- 1) Clean work area upon completion of task
- 2) Notify the Contracting Officer Representative

2. Class II requirements:

a. During Construction Work:

- 1) Notify the Contracting Officer Representative
- 2) Provide active means to prevent airborne dust from dispersing into atmosphere such as wet methods or tool mounted dust collectors where possible.
- 3) Water mist work surfaces to control dust while cutting.
- 4) Seal unused doors with duct tape.
- 5) Block off and seal air vents.
- 6) Remove or isolate HVAC system in areas where work is being performed.

b. Upon Completion:

- 1) Wipe work surfaces with cleaner/disinfectant.
- 2) Contain construction waste before transport in tightly covered containers.
- 3) Wet mop and/or vacuum with HEPA filtered vacuum before leaving work area.
- 4) Upon completion, restore HVAC system where work was performed
- 5) Notify the Contracting Officer Representative // or Government Designated Authority

3. Class III requirements:

a. During Construction Work:

- 1) Obtain permit from the Contracting Officer Representative
- 2) Remove or Isolate HVAC system in area where work is being done to prevent contamination of duct system.
- 3) Complete all critical barriers i.e. sheetrock, plywood, plastic, to seal area from non-work area or implement control cube method (cart with plastic covering and sealed connection to work site with HEPA vacuum for vacuuming prior to exit) before construction begins. Install construction barriers and ceiling protection carefully, outside of normal work hours.
- 4) Maintain negative air pressure, 0.01 inches of water gauge, within work site utilizing HEPA equipped air filtration units and continuously monitored with a digital display, recording and alarm instrument, which must be calibrated on installation, maintained with periodic calibration and monitored by the contractor.
- 5) Contain construction waste before transport in tightly covered containers.
- 6) Cover transport receptacles or carts. Tape covering unless solid lid.

b. Upon Completion:

- 1) Do not remove barriers from work area until completed project is inspected by the Contracting Officer Representative and thoroughly cleaned by the VA Environmental Services Department.
- 2) Remove construction barriers and ceiling protection carefully to minimize spreading of dirt and debris associated with construction, outside of normal work hours.
- 3) Vacuum work area with HEPA filtered vacuums.
- 4) Wet mop area with cleaner/disinfectant.

5) Upon completion, restore HVAC system where work was performed.

6) Return permit to the Contracting Officer Representative

4. Class IV requirements:

a. During Construction Work:

1) Obtain permit from the Contracting Officer Representative

2) Isolate HVAC system in area where work is being done to prevent contamination of duct system.

3) Complete all critical barriers i.e. sheetrock, plywood, plastic, to seal area from non work area or implement control cube method (cart with plastic covering and sealed connection to work site with HEPA vacuum for vacuuming prior to exit) before construction begins. Install construction barriers and ceiling protection carefully, outside of normal work hours.

4) Maintain negative air pressure, 0.01 inches of water gauge, within work site utilizing HEPA equipped air filtration units and continuously monitored with a digital display, recording and alarm instrument, which must be calibrated on installation, maintained with periodic calibration and monitored by the contractor.5) Seal holes, pipes, conduits, and punctures.

6) Construct anteroom and require all personnel to pass through this room so they can be vacuumed using a HEPA vacuum cleaner before leaving work site or they can wear cloth or paper coveralls that are removed each time they leave work site.

7) All personnel entering work site are required to wear shoe covers. Shoe covers must be changed each time the worker exits the work area.

b. Upon Completion:

1) Do not remove barriers from work area until completed project is inspected by the Contracting Officer Representative with thorough cleaning by the VA Environmental Services Dept.

- 2) Remove construction barriers and ceiling protection carefully to minimize spreading of dirt and debris associated with construction, outside of normal work hours.
- 3) Contain construction waste before transport in tightly covered containers.
- 4) Cover transport receptacles or carts. Tape covering unless solid lid.
- 5) Vacuum work area with HEPA filtered vacuums.
- 6) Wet mop area with cleaner/disinfectant.
- 7) Upon completion, restore HVAC system where work was performed.
- 8) Return permit to the Contracting Officer Representative

Barriers shall be erected as required based upon classification (Class III & IV requires barriers) and shall be constructed as follows:

1. Class III and IV - closed door with masking tape applied over the frame and door is acceptable for projects that can be contained in a single room.
2. Construction, demolition or reconstruction not capable of containment within a single room must have the following barriers erected and made presentable on hospital occupied side:
  - a. Class III & IV (where dust control is the only hazard, and an agreement is reached with the Resident Engineer and Medical Center) - Airtight plastic barrier that extends from the floor to ceiling. Seams must be sealed with duct tape to prevent dust and debris from escaping
  - b. Class III & IV - Drywall barrier erected with joints covered or sealed to prevent dust and debris from escaping.
  - c. Class III & IV - Seal all penetrations in existing barrier airtight

- d. Class III & IV - Barriers at penetration of ceiling envelopes, chases and ceiling spaces to stop movement air and debris
  - e. Class IV only - Anteroom or double entrance openings that allow workers to remove protective clothing or vacuum off existing clothing
  - f. Class III & IV - At elevators shafts or stairways within the field of construction, overlapping flap minimum of two feet wide of polyethylene enclosures for personnel access.
- C. Products and Materials:
- 1. Sheet Plastic: Fire retardant polystyrene, 6-mil thickness meeting local fire codes
  - 2. Barrier Doors: Self Closing One-hour fire-rated solid core wood in steel frame, painted
  - 3. Dust proof two-hour fire-rated
  - 4. High Efficiency Particulate Air-Equipped filtration machine rated at 95% capture of 0.3 microns including pollen, mold spores and dust particles. HEPA filters should have ASHRAE 85 or other prefilter to extend the useful life of the HEPA. Provide both primary and secondary filtrations units. Maintenance of equipment and replacement of the HEPA filters and other filters will be in accordance with manufacturer's instructions.
  - 5. Exhaust Hoses: Heavy duty, flexible steel reinforced; Ventilation Blower Hose
  - 6. Adhesive Walk-off Mats: Provide minimum size mats of 24 inches x 36 inches
  - 7. Disinfectant: Hospital-approved disinfectant or equivalent product
  - 8. Portable Ceiling Access Module
- D. Before any construction on site begins, all contractor personnel involved in the construction or renovation activity shall be educated and trained in infection prevention measures established by the medical center.

- E. A dust control program will be establish and maintained as part of the contractor's infection preventive measures in accordance with the FGI Guidelines for Design and Construction of Healthcare Facilities. Prior to start of work, prepare a plan detailing project-specific dust protection measures with associated product data, including periodic status reports, and submit to Project Engineer for review for compliance with contract requirements in accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA AND SAMPLES.
- F. Medical center Infection Control personnel will monitor for airborne disease (e.g. aspergillosis) during construction. A baseline of conditions will be established by the medical center prior to the start of work and periodically during the construction stage to determine impact of construction activities on indoor air quality with safe thresholds established.
- H. In general, the following preventive measures shall be adopted during construction to keep down dust and prevent mold.
1. Contractor shall verify that construction exhaust to exterior is not reintroduced to the medical center through intake vents, or building openings. HEPA filtration is required where the exhaust dust may reenter the medical center.
  2. Exhaust hoses shall be exhausted so that dust is not reintroduced to the medical center.
  3. Adhesive Walk-off/Carpet Walk-off Mats shall be used at all interior transitions from the construction area to occupied medical center area. These mats shall be changed as often as required to maintain clean work areas directly outside construction area at all times.
  4. Vacuum and wet mop all transition areas from construction to the occupied medical center at the end of each workday. Vacuum shall utilize HEPA filtration. Maintain surrounding area frequently. Remove debris as it is created. Transport these outside the construction area in containers with tightly fitting lids.
  5. The contractor shall not haul debris through patient-care areas without prior approval of the Resident Engineer and the Medical Center. When, approved, debris shall be hauled in enclosed dust

- proof containers or wrapped in plastic and sealed with duct tape. No sharp objects should be allowed to cut through the plastic. Wipe down the exterior of the containers with a damp rag to remove dust. All equipment, tools, material, etc. transported through occupied areas shall be made free from dust and moisture by vacuuming and wipe down.
6. There shall be no standing water during construction. This includes water in equipment drip pans and open containers within the construction areas. All accidental spills must be cleaned up and dried within 12 hours. Remove and dispose of porous materials that remain damp for more than 72 hours.
  7. At completion, remove construction barriers and ceiling protection carefully, outside of normal work hours. Vacuum and clean all surfaces free of dust after the removal.

I. Final Cleanup:

1. Upon completion of project, or as work progresses, remove all construction debris from above ceiling, vertical shafts and utility chases that have been part of the construction.
2. Perform HEPA vacuum cleaning of all surfaces in the construction area. This includes walls, ceilings, cabinets, furniture (built-in or free standing), partitions, flooring, etc.
3. All new air ducts shall be cleaned prior to final inspection.

J. Exterior Construction

1. Contractor shall verify that dust will not be introduced into the medical center through intake vents, or building openings. HEPA filtration on intake vents is required where dust may be introduced.
2. Dust created from disturbance of soil such as from vehicle movement will be wetted with use of a water truck as necessary
3. All cutting, drilling, grinding, sanding, or disturbance of materials shall be accomplished with tools equipped with either local exhaust ventilation (i.e. vacuum systems) or wet suppression controls.

**1.13 TUBERCULOSIS SCREENING**

A. Contractor shall provide written certification that all contract employees assigned to the work site have had a pre-placement tuberculin screening within 90 days prior to assignment to the worksite and been found have negative TB screening reactions. Contractors shall be required to show documentation of negative TB screening reactions for any additional workers who are added after the 90-day requirement before they will be allowed to work on the work site. NOTE: This can be the Center for Disease Control (CDC) and Prevention and two-step skin testing or a Food and Drug Administration (FDA)-approved blood test.

1. Contract employees manifesting positive screening reactions to the tuberculin shall be examined according to current CDC guidelines prior to working on VHA property.
2. Subsequently, if the employee is found without evidence of active (infectious) pulmonary TB, a statement documenting examination by a physician shall be on file with the employer (construction contractor), noting that the employee with a positive tuberculin screening test is without evidence of active (infectious) pulmonary TB.
3. If the employee is found with evidence of active (infectious) pulmonary TB, the employee shall require treatment with a subsequent statement to the fact on file with the employer before being allowed to return to work on VHA property.

**1.14 FIRE SAFETY**

A. Fire Safety Plan: Establish and maintain a site-specific fire protection program in accordance with 29 CFR 1926. Prior to start of work, prepare a plan detailing project-specific fire safety measures, including periodic status reports, and submit to Contracting Officer Representative for review for compliance with contract requirements in

accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA AND SAMPLES. This plan may be an element of the Accident Prevention Plan.

- B. Site and Building Access: Maintain free and unobstructed access to facility emergency services and for fire, police and other emergency response forces in accordance with NFPA 241.
- C. Separate temporary facilities, such as trailers, storage sheds, and dumpsters, from existing buildings and new construction by distances in accordance with NFPA 241. For small facilities with less than 6 m (20 feet) exposing overall length, separate by 3m (10 feet).
- D. Temporary Construction Partitions:
  - 1. Install and maintain temporary construction partitions to provide smoke-tight separations between the areas that are described in phasing requirements and adjoining areas. Construct partitions of gypsum board or treated plywood (flame spread rating of 25 or less in accordance with ASTM E84) on both sides of fire retardant treated wood or metal steel studs. Extend the partitions through suspended ceilings to floor slab deck or roof. Seal joints and penetrations. At door openings, install Class C, ¼ hour fire/smoke rated doors with self-closing devices.
  - 2. Install one-hour fire-rated temporary construction partitions as shown on drawings to maintain integrity of existing exit stair enclosures, exit passageways, fire-rated enclosures of hazardous areas, horizontal exits, smoke barriers, vertical shafts and openings enclosures.
  - 3. Close openings in smoke barriers and fire-rated construction to maintain fire ratings. Seal penetrations with listed through-penetration firestop materials in accordance with Section 07 84 00, FIRESTOPPING.
- E. Temporary Heating and Electrical: Install, use and maintain installations in accordance with 29 CFR 1926, NFPA 241 and NFPA 70.

- F. Means of Egress: Do not block exiting for occupied buildings, including paths from exits to roads. Minimize disruptions and coordinate with Contracting Officer Representative.
- G. Egress Routes for Construction Workers: Maintain free and unobstructed egress. Inspect daily. Report findings and corrective actions weekly to Contracting Officer Representative.
- H. Fire Extinguishers: Provide and maintain extinguishers in construction areas and temporary storage areas in accordance with 29 CFR 1926, NFPA 241 and NFPA 10.
- I. Flammable and Combustible Liquids: Store, dispense and use liquids in accordance with 29 CFR 1926, NFPA 241 and NFPA 30.
- L. Existing Fire Protection: Do not impair automatic sprinklers, smoke and heat detection, and fire alarm systems, except for portions immediately under construction, and temporarily for connections. Provide fire watch for impairments more than 4 hours in a 24-hour period. Request interruptions in accordance with Article, OPERATIONS AND STORAGE AREAS, and coordinate with Contracting Officer Representative // or Government Designated Authority. All existing or temporary fire protection systems (fire alarms, sprinklers) located in construction areas shall be tested as coordinated with the medical center. Parameters for the testing and results of any tests performed shall be recorded by the medical center and copies provided to the Resident Engineer.
- M. Smoke Detectors: Prevent accidental operation. Remove temporary covers at end of work operations each day. Coordinate with Contracting Officer Representative.
- N. Hot Work: Perform and safeguard hot work operations in accordance with NFPA 241 and NFPA 51B. Coordinate with Facility Safety Office. Obtain permits from VAFD at least 24 hours in advance. Designate contractor's responsible project-site fire prevention program manager to permit hot work.

- O. Fire Hazard Prevention and Safety Inspections: Inspect entire construction areas weekly. Coordinate with, and report findings and corrective actions weekly to Contracting Officer Representative.
- P. Smoking: Smoking is prohibited in and adjacent to construction areas inside existing buildings and additions under construction. In separate and detached buildings under construction, smoking is prohibited except in designated smoking rest areas.
- Q. Dispose of waste and debris in accordance with NFPA 241. Remove from buildings daily.
- R. If required, submit documentation to the COR that personnel have been trained in the fire safety aspects of working in areas with impaired structural or compartmentalization features.

#### **1.15 ELECTRICAL**

- A. All electrical work shall comply with NFPA 70 (NEC), NFPA 70B, NFPA 70E, 29 CFR Part 1910 Subpart J - General Environmental Controls, 29 CFR Part 1910 Subpart S - Electrical, and 29 CFR 1926 Subpart K in addition to other references required by contract.
- B. All qualified persons performing electrical work under this contract shall be licensed journeyman or master electricians. All apprentice electricians performing under this contract shall be deemed unqualified persons unless they are working under the immediate supervision of a licensed electrician or master electrician.
- C. All electrical work will be accomplished de-energized and in the Electrically Safe Work Condition ( refer to NFPA 70E for Work Involving Electrical Hazards, including Exemptions to Work Permit). Any Contractor, subcontractor or temporary worker who fails to fully comply with this requirement is subject to immediate termination in accordance with FAR clause 52.236-5(c). Only in rare circumstance where achieving an electrically safe work condition prior to beginning work would increase or cause additional hazards, or is infeasible due to equipment design or operational limitations is energized work permitted. The Contracting Officer Representative with approval of the Medical Center Director will make the determination if the circumstances would meet

the exception outlined above. An AHA and permit specific to energized work activities will be developed, reviewed, and accepted by the VA prior to the start of that activity.

1. Development of a Hazardous Electrical Energy Control Procedure is required prior to de-energization. A single Simple Lockout/Tagout Procedure for multiple work operations can only be used for work involving qualified person(s) de-energizing one set of conductors or circuit part source. Task specific Complex Lockout/Tagout Procedures are required at all other times.
  2. Verification of the absence of voltage after de-energization and lockout/tagout is considered "energized electrical work" (live work) under NFPA 70E, and shall only be performed by qualified persons wearing appropriate shock protective (voltage rated) gloves and arc rate personal protective clothing and equipment, using Underwriters Laboratories (UL) tested and appropriately rated contact electrical testing instruments or equipment appropriate for the environment in which they will be used.
  3. Personal Protective Equipment (PPE) and electrical testing instruments will be readily available for inspection by the The Contracting Officer Representative.
- D.** Before beginning any electrical work, an Activity Hazard Analysis (AHA) will be conducted to include Shock Hazard and Arc Flash Hazard analyses (NFPA Tables can be used only as a last alternative and it is strongly suggested a full Arc Flash Hazard Analyses be conducted). Work shall not begin until the AHA for the work activity and permit for energized work has been reviewed and accepted by the Contracting Officer Representative and discussed with all engaged in the activity, including the Contractor, subcontractor(s), and Government on-site representatives at preparatory and initial control phase meetings.
- E.** Ground-fault circuit interrupters. GFCI protection shall be provided where an employee is operating or using cord- and plug-connected tools related to construction activity supplied by 125-volt, 15-, 20-, or 30-ampere circuits. Where employees operate or use equipment supplied by greater than 125-volt, 15-, 20-, or 30- ampere circuits, GFCI protection or an assured equipment grounding conductor program shall be

implemented in accordance with NFPA 70E - 2015, Chapter 1, Article 110.4(C)(2)..

#### **1.16 FALL PROTECTION**

- A. The fall protection (FP) threshold height requirement is 6 ft (1.8 m) for ALL WORK, unless specified differently or the OSHA 29 CFR 1926 requirements are more stringent, to include steel erection activities, systems-engineered activities (prefabricated) metal buildings, residential (wood) construction and scaffolding work.
  - 1. The use of a Safety Monitoring System (SMS) as a fall protection method is prohibited.
  - 2. The use of Controlled Access Zone (CAZ) as a fall protection method is prohibited.
  - 3. A Warning Line System (WLS) may ONLY be used on floors or flat or low-sloped roofs (between 0 - 18.4 degrees or 4:12 slope) and shall be erected around all sides of the work area (See 29 CFR 1926.502(f) for construction of WLS requirements). Working within the WLS does not require FP. No worker shall be allowed in the area between the roof or floor edge and the WLS without FP. FP is required when working outside the WLS.
  - 4. Fall protection while using a ladder will be governed by the OSHA requirements.

#### **1.17 SCAFFOLDS AND OTHER WORK PLATFORMS**

- A. All scaffolds and other work platforms construction activities shall comply with 29 CFR 1926 Subpart L.
- B. The fall protection (FP) threshold height requirement is 6 ft (1.8 m) as stated in Section 1.16.
- C. The following hierarchy and prohibitions shall be followed in selecting appropriate work platforms.
  - 1. Scaffolds, platforms, or temporary floors shall be provided for all work except that can be performed safely from the ground or similar footing.

2. Ladders less than 20 feet may be used as work platforms only when use of small hand tools or handling of light material is involved.
  3. Ladder jacks, lean-to, and prop-scaffolds are prohibited.
  4. Emergency descent devices shall not be used as working platforms.
- D. Contractors shall use a scaffold tagging system in which all scaffolds are tagged by the Competent Person. Tags shall be color-coded: green indicates the scaffold has been inspected and is safe to use; red indicates the scaffold is unsafe to use. Tags shall be readily visible, made of materials that will withstand the environment in which they are used, be legible and shall include:
1. The Competent Person's name and signature;
  2. Dates of initial and last inspections.
- E. Mast Climbing work platforms: When access ladders, including masts designed as ladders, exceed 20 ft (6 m) in height, positive fall protection shall be used.

**1.18 EXCAVATION AND TRENCHES**

- A. Not Used

**1.19 CRANES**

- A. Not Used

**1.20 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)**

- A. All installation, maintenance, and servicing of equipment or machinery shall comply with 29 CFR 1910.147 except for specifically referenced operations in 29 CFR 1926 such as concrete & masonry equipment [1926.702(j)], heavy machinery & equipment [1926.600(a)(3)(i)], and process safety management of highly hazardous chemicals (1926.64). Control of hazardous electrical energy during the installation, maintenance, or servicing of electrical equipment shall comply with Section 1.15 to include NFPA 70E and other VA specific requirements discussed in the section.

**1.21 CONFINED SPACE ENTRY**

- A. All confined space entry shall comply with 29 CFR 1926, Subpart AA except for specifically referenced operations in 29 CFR 1926 such as excavations/trenches [1926.651(g)].
- B. A site-specific Confined Space Entry Plan (including permitting process) shall be developed and submitted to the Government Designated Authority.

**1.22 WELDING AND CUTTING**

As specified in section 1.14, Hot Work: Perform and safeguard hot work operations in accordance with NFPA 241 and NFPA 51B. Coordinate with Government Designated Authority. Obtain permits from VAFD at least 24 hours in advance.

**1.23 LADDERS**

- A. All Ladder use shall comply with 29 CFR 1926 Subpart X.
- B. All portable ladders shall be of sufficient length and shall be placed so that workers will not stretch or assume a hazardous position.
- C. Manufacturer safety labels shall be in place on ladders
- D. Step Ladders shall not be used in the closed position
- E. Top steps or cap of step ladders shall not be used as a step
- F. Portable ladders, used as temporary access, shall extend at least 3 ft (0.9 m) above the upper landing surface.
  - 1. When a 3 ft (0.9-m) extension is not possible, a grasping device (such as a grab rail) shall be provided to assist workers in mounting and dismounting the ladder.
  - 2. In no case shall the length of the ladder be such that ladder deflection under a load would, by itself, cause the ladder to slip from its support.
- G. Ladders shall be inspected for visible defects on a daily basis and after any occurrence that could affect their safe use. Broken or damaged ladders shall be immediately tagged "DO NOT USE," or with

similar wording, and withdrawn from service until restored to a condition meeting their original design.

#### 1.24 FLOOR & WALL OPENINGS

- A. All floor and wall openings shall comply with 29 CFR 1926 Subpart M.
- B. Floor and roof holes/openings are any that measure over 2 in (51 mm) in any direction of a walking/working surface which persons may trip or fall into or where objects may fall to the level below. Skylights located in floors or roofs are considered floor or roof hole/openings.
- C. All floor, roof openings or hole into which a person can accidentally walk or fall through shall be guarded either by a railing system with toeboards along all exposed sides or a load-bearing cover. When the cover is not in place, the opening or hole shall be protected by a removable guardrail system or shall be attended when the guarding system has been removed, or other fall protection system.
  - 1. Covers shall be capable of supporting, without failure, at least twice the weight of the worker, equipment and material combined.
  - 2. Covers shall be secured when installed, clearly marked with the word "HOLE", "COVER" or "Danger, Roof Opening-Do Not Remove" or color-coded or equivalent methods (e.g., red or orange "X"). Workers must be made aware of the meaning for color coding and equivalent methods.
  - 3. Roofing material, such as roofing membrane, insulation or felts, covering or partly covering openings or holes, shall be immediately cut out. No hole or opening shall be left unattended unless covered.
  - 4. Non-load-bearing skylights shall be guarded by a load-bearing skylight screen, cover, or railing system along all exposed sides.
  - 5. Workers are prohibited from standing/walking on skylights.

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**SECTION 01 42 19**  
**REFERENCE STANDARDS**

**PART 1 - GENERAL**

**1.1 DESCRIPTION**

This section specifies the availability and source of references and standards specified in the project manual under paragraphs APPLICABLE PUBLICATIONS and/or shown on the drawings.

**1.2 AVAILABILITY OF SPECIFICATIONS LISTED IN THE GSA INDEX OF FEDERAL SPECIFICATIONS, STANDARDS AND COMMERCIAL ITEM DESCRIPTIONS FPMR PART 101-29 (FAR 52.211-1) (AUG 1998)**

- A. The GSA Index of Federal Specifications, Standards and Commercial Item Descriptions, FPMR Part 101-29 and copies of specifications, standards, and commercial item descriptions cited in the solicitation may be obtained for a fee by submitting a request to - GSA Federal Supply Service, Specifications Section, Suite 8100, 470 East L'Enfant Plaza, SW, Washington, DC 20407, Telephone (202) 619-8925, Facsimile (202) 619-8978.
  
- B. If the General Services Administration, Department of Agriculture, or Department of Veterans Affairs issued this solicitation, a single copy of specifications, standards, and commercial item descriptions cited in this solicitation may be obtained free of charge by submitting a request to the addressee in paragraph (a) of this provision. Additional copies will be issued for a fee.

**1.3 AVAILABILITY FOR EXAMINATION OF SPECIFICATIONS NOT LISTED IN THE GSA INDEX OF FEDERAL SPECIFICATIONS, STANDARDS AND COMMERCIAL ITEM DESCRIPTIONS (FAR 52.211-4) (JUN 1988)**

The specifications and standards cited in this solicitation can be examined at the following location:

DEPARTMENT OF VETERANS AFFAIRS  
Office of Construction & Facilities Management  
Facilities Quality Service (00CFM1A)  
425 Eye Street N.W, (sixth floor)  
Washington, DC 20001  
Telephone Numbers: (202) 632-5249 or (202) 632-5178  
Between 9:00 AM - 3:00 PM

**1.4 AVAILABILITY OF SPECIFICATIONS NOT LISTED IN THE GSA INDEX OF FEDERAL SPECIFICATIONS, STANDARDS AND COMMERCIAL ITEM DESCRIPTIONS (FAR 52.211-3) (JUN 1988)**

The specifications cited in this solicitation may be obtained from the associations or organizations listed below.

- AA Aluminum Association Inc.  
<http://www.aluminum.org>
- AABC Associated Air Balance Council  
<http://www.aabchg.com>
- AAMA American Architectural Manufacturer's Association  
<http://www.aamanet.org>
- AAN American Nursery and Landscape Association  
<http://www.anla.org>
- AASHTO American Association of State Highway and Transportation Officials  
<http://www.aashto.org>
- AATCC American Association of Textile Chemists and Colorists  
<http://www.aatcc.org>
- ACGIH American Conference of Governmental Industrial Hygienists  
<http://www.acgih.org>
- ACI American Concrete Institute  
<http://www.aci-int.net>
- ACPA American Concrete Pipe Association  
<http://www.concrete-pipe.org>
- ACPPA American Concrete Pressure Pipe Association  
<http://www.acppa.org>
- ADC Air Diffusion Council  
<http://flexibleduct.org>
- AGA American Gas Association  
<http://www.aga.org>

AGC Associated General Contractors of America  
<http://www.agc.org>

AGMA American Gear Manufacturers Association, Inc.  
<http://www.agma.org>

AHAM Association of Home Appliance Manufacturers  
<http://www.aham.org>

AIA American Institute of Architects  
<http://www.aia.org>

AISC American Institute of Steel Construction  
<http://www.aisc.org>

AISI American Iron and Steel Institute  
<http://www.steel.org>

AITC American Institute of Timber Construction  
<http://www.aitc-glulam.org>

AMCA Air Movement and Control Association, Inc.  
<http://www.amca.org>

ANLA American Nursery & Landscape Association  
<http://www.anla.org>

ANSI American National Standards Institute, Inc.  
<http://www.ansi.org>

APA The Engineered Wood Association  
<http://www.apawood.org>

ARI Air-Conditioning and Refrigeration Institute  
<http://www.ari.org>

ASAE American Society of Agricultural Engineers  
<http://www.asae.org>

ASCE American Society of Civil Engineers  
<http://www.asce.org>

ASHRAE American Society of Heating, Refrigerating, and  
Air-Conditioning Engineers  
<http://www.ashrae.org>

ASME American Society of Mechanical Engineers  
<http://www.asme.org>

ASSE American Society of Sanitary Engineering  
<http://www.asse-plumbing.org>

ASTM American Society for Testing and Materials  
<http://www.astm.org>

AWI Architectural Woodwork Institute  
<http://www.awinet.org>

AWS American Welding Society  
<http://www.aws.org>

AWWA American Water Works Association  
<http://www.awwa.org>

BHMA Builders Hardware Manufacturers Association  
<http://www.buildershardware.com>

BIA Brick Institute of America  
<http://www.bia.org>

CAGI Compressed Air and Gas Institute  
<http://www.cagi.org>

CGA Compressed Gas Association, Inc.  
<http://www.cganet.com>

CI The Chlorine Institute, Inc.  
<http://www.chlorineinstitute.org>

CISCA Ceilings and Interior Systems Construction Association  
<http://www.cisca.org>

CISPI Cast Iron Soil Pipe Institute  
<http://www.cispi.org>

CLFMI Chain Link Fence Manufacturers Institute  
<http://www.chainlinkinfo.org>

CPMB Concrete Plant Manufacturers Bureau  
<http://www.cpmb.org>

CRA California Redwood Association  
<http://www.calredwood.org>

CRSI Concrete Reinforcing Steel Institute  
<http://www.crsi.org>

CTI Cooling Technology Institute  
<http://www.cti.org>

DHI Door and Hardware Institute  
<http://www.dhi.org>

EGSA Electrical Generating Systems Association  
<http://www.egsa.org>

EEI Edison Electric Institute  
<http://www.eei.org>

EPA Environmental Protection Agency  
<http://www.epa.gov>

ETL ETL Testing Laboratories, Inc.  
<http://www.etl.com>

FAA Federal Aviation Administration  
<http://www.faa.gov>

FCC Federal Communications Commission  
<http://www.fcc.gov>

FPS The Forest Products Society  
<http://www.forestprod.org>

GANNA Glass Association of North America  
<http://www.cssinfo.com/info/gana.html/>

FM Factory Mutual Insurance  
<http://www.fmglobal.com>

GA Gypsum Association  
<http://www.gypsum.org>

GSA General Services Administration  
<http://www.gsa.gov>

HI Hydraulic Institute  
<http://www.pumps.org>

HPVA Hardwood Plywood & Veneer Association  
<http://www.hpva.org>

ICBO International Conference of Building Officials  
<http://www.icbo.org>

ICEA Insulated Cable Engineers Association Inc.  
<http://www.icea.net>

\ICAC Institute of Clean Air Companies  
<http://www.icac.com>

IEEE Institute of Electrical and Electronics Engineers  
<http://www.ieee.org>

IMSA International Municipal Signal Association  
<http://www.imsasafety.org>

IPCEA Insulated Power Cable Engineers Association

NBMA Metal Buildings Manufacturers Association  
<http://www.mbma.com>

MSS Manufacturers Standardization Society of the Valve and Fittings Industry Inc.  
<http://www.mss-hq.com>

NAAMM National Association of Architectural Metal Manufacturers  
<http://www.naamm.org>

NAPHCC Plumbing-Heating-Cooling Contractors Association  
<http://www.phccweb.org.org>

NBS National Bureau of Standards  
See - NIST

NBBPVI National Board of Boiler and Pressure Vessel Inspectors  
<http://www.nationboard.org>

NEC National Electric Code  
See - NFPA National Fire Protection Association

NEMA National Electrical Manufacturers Association  
<http://www.nema.org>

NFPA National Fire Protection Association  
<http://www.nfpa.org>

NHLA National Hardwood Lumber Association  
<http://www.natlhardwood.org>

NIH National Institute of Health  
<http://www.nih.gov>

NIST National Institute of Standards and Technology  
<http://www.nist.gov>

NLMA Northeastern Lumber Manufacturers Association, Inc.  
<http://www.nelma.org>

NPA National Particleboard Association  
18928 Premiere Court  
Gaithersburg, MD 20879  
(301) 670-0604

NSF National Sanitation Foundation  
<http://www.nsf.org>

NWWDA Window and Door Manufacturers Association  
<http://www.nwwda.org>

OSHA Occupational Safety and Health Administration  
Department of Labor  
<http://www.osha.gov>

PCA Portland Cement Association  
<http://www.portcement.org>

PCI Precast Prestressed Concrete Institute  
<http://www.pci.org>

PPI The Plastic Pipe Institute  
<http://www.plasticpipe.org>

PEI Porcelain Enamel Institute, Inc.  
<http://www.porcelainenamel.com>

PTI Post-Tensioning Institute  
<http://www.post-tensioning.org>

RFCI The Resilient Floor Covering Institute  
<http://www.rfci.com>

RIS Redwood Inspection Service  
See - CRA

RMA Rubber Manufacturers Association, Inc.  
<http://www.rma.org>

SCMA Southern Cypress Manufacturers Association  
<http://www.cypressinfo.org>

SDI Steel Door Institute  
<http://www.steeldoor.org>

SOI Secretary of the Interior  
[http://www.cr.nps.gov/local-law/arch\\_stnds\\_8\\_2.htm](http://www.cr.nps.gov/local-law/arch_stnds_8_2.htm)

IGMA Insulating Glass Manufacturers Alliance  
<http://www.igmaonline.org>

SJI Steel Joist Institute  
<http://www.steeljoist.org>

SMACNA Sheet Metal and Air-Conditioning Contractors  
National Association, Inc.  
<http://www.smacna.org>

SSPC The Society for Protective Coatings  
<http://www.sspc.org>

STI Steel Tank Institute  
<http://www.steeltank.com>

SWI Steel Window Institute  
<http://www.steelwindows.com>

TCA Tile Council of America, Inc.  
<http://www.tileusa.com>

TEMA Tubular Exchange Manufacturers Association  
<http://www.tema.org>

TPI Truss Plate Institute, Inc.  
583 D'Onofrio Drive; Suite 200  
Madison, WI 53719  
(608) 833-5900

UBC The Uniform Building Code  
See ICBO

UL Underwriters' Laboratories Incorporated  
<http://www.ul.com>

ULC Underwriters' Laboratories of Canada  
<http://www.ulc.ca>

WCLIB West Coast Lumber Inspection Bureau  
6980 SW Varns Road, P.O. Box 23145  
Portland, OR 97223  
(503) 639-0651

WRCLA Western Red Cedar Lumber Association  
P.O. Box 120786  
New Brighton, MN 55112  
(612) 633-4334

WWPA Western Wood Products Association  
<http://www.wwpa.org>

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**SECTION 01 45 00**  
**QUALITY CONTROL**

**PART 1 - GENERAL**

**1.1 DESCRIPTION**

This section specifies requirements for Contractor Quality Control (CQC) for Design-Bid-Build (DBB) or Design-Build (DB) construction projects. This section can be used for both project types.

**1.2 APPLICABLE PUBLICATIONS**

- A. The publication listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.
- B. ASTM International (ASTM)
  - 1. ASTM D3740 - (2012a) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
  - 2. ASTM E29 - (2014a) Standard Specification for Agencies Engaged in the Testing and/or Inspection of Materials Used in Construction

**1.3 SUBMITTALS**

Government approval is required for all submittals. CQC inspection reports shall be submitted under this Specification section and follow the [Applicable CQC Control Phase (Preparatory, Initial, or Follow-Up)]: [Applicable Specification section] naming convention.

- 1. Preconstruction Submittals
  - a. Interim CQC Plan
  - b. CQC Plan
  - c. Additional Requirements for Design Quality Control (DQC) Plan
- 2. Design Data
  - a. Discipline-Specific Checklists
  - b. Design Quality Control
- 3. Test Reports
  - a. Verification Statement

**PART 2 PRODUCTS - NOT USED****PART 3 - EXECUTION****3.1 GENERAL REQUIREMENTS**

Establish and maintain an effective quality control (QC) system that complies with the FAR Clause 52.246.12 titled "Inspection of Construction". QC consists of plans, procedures, and organization necessary to produce an end product which complies with the Contract requirements. The QC system covers all design and construction operations, both onsite and offsite, and be keyed to the proposed design and construction sequence. The project superintendent will be held responsible for the quality of work and is subject to removal by the Contracting Office or Authorized designee for non-compliance with the quality requirements specified in the Contract. In this context the highest level manager responsible for the overall construction activities at the site, including quality and production is the project superintendent. The project superintendent maintains a physical presence at the site at all times and is responsible for all construction and related activities at the site, except as otherwise acceptable to the Contracting Officer.

**3.2 CQC PLAN:**

- A. Submit no later than 15 days after receipt of Notice to Proceed (NTP) the CQC Plan proposed to implement the requirements of the FAR Clause 52.246.12 titled "Inspection of Construction". The Government will consider an Interim CQC Plan for the first 15 days of operation, which must be accepted within 10 business days of NTP. Design and/or construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an Interim plan applicable to the particular feature of work to be started. Work outside of the accepted Interim CQC Plan will not be permitted to begin until acceptance of a CQC Plan or another Interim CQC Plan containing the additional work scope is accepted.
- B. Content of the CQC Plan: Include, as a minimum, the following to cover all design and construction operations, both onsite and offsite, including work by subcontractors, designers of record consultants, architects/engineers (A/E), fabricators, suppliers, and purchasing agents:

1. A description of the QC organization, including a chart showing lines of authority and acknowledgement that the CQC staff will implement the three phase control system for all aspects of the work specified. Include a CQC System Manager that reports to the project superintendent.
2. The name, qualifications (in resume format) duties, responsibilities, and authorities of each person assigned a CQC function.
3. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the Contract. Letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities will to the Contracting Officer or Authorized designee. be issued by the CQC System Manager. Furnish copies of these letters
4. Procedures for scheduling, reviewing, certifying, and managing submittals including those of subcontractors, designers of record, consultants, A/E's offsite fabricators, suppliers and purchasing agents. These procedures must be in accordance with Section 01 33 23 Shop Drawings, Product Data, and Samples.
5. Control, verification, and acceptance of testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities approved by the Contracting Officer or Authorized designee are required to be used)
6. Procedures for tracking Preparatory, Initial, and Follow-Up control phases and control, verification, and acceptance tests including documentation.
7. Procedures for tracking design and construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected.
8. Reporting procedures, including proposed reporting formats.
9. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks has

separate control requirements, and is identified by different trades or disciplines, or it is work by the same trade in a different environment. Although each section of specifications can generally be considered as a definable feature of work, there are frequently more than one definable feature under a particular section. This list will be agreed upon during the Coordination meeting.

10. Coordinate schedule work with Special Inspections required by Section 01 45 35 Special Inspections, the Statement of Special Inspections and Schedule of Special Inspections. Where the applicable Code issue by the International Code Council (ICC) calls for inspections by the Building Official, the Contractor must include the inspections in the CQC Plan and must perform the inspections required by the applicable ICC. The Contractor must perform these inspections using independent qualified inspectors. Include the Special Inspection Plan requirements in the CQC Plan.

C. Additional Requirements for Design Quality Control (DQC) Plan: The following additional requirements apply to the DQC Plan for DB projects only and not DBB projects:

1. Submit and maintain a DQC Plan as an effective QC program which assures that all services required by this contract are performed and provided in a manner that meets professional architectural and engineering quality standards. As a minimum, all documents must be technically reviewed by competent, independent reviewers identified in the DQC Plan. The same element that produced the product may not perform the independent technical review (ITR). Correct errors and deficiencies in the design documents prior to submitting them to the Government.
2. Include the design schedule in the master project schedule, showing the sequence of events involved in carrying out the project design tasks within the specific Contract period. This should be at a detailed level of scheduling sufficient to identify all major design tasks, including those that control the flow of work. Include review and correction periods associated with each item. This should be a forward planning as well as a project monitoring tool. The schedule reflects calendar days and not dates for each activity. If the schedule is changed, submit a revised schedule reflecting the change within 7 calendar days. Include in the DQC Plan the discipline-specific checklists to be used during the design and quality control

of each submittal. Submit at each design phase as part of the project documentation these completed discipline-specific checklists.

3. Implement the DQC Plan by a DQC Manager who has the responsibility of being cognizant of and assuring that all documents on the project have been coordinated. This individual must be a person who has verifiable engineering or architectural design experience and is a Professional Engineer or Registered Architect within the state of Construction location. Notify the Contracting Officer or Authorized designee, in writing, of the name of the individual, and the name of an alternate person assigned to the position.

D. Acceptance of Plan: Acceptance of the Contractor's plan is required prior to the start of design and construction. Acceptance is conditional and will be predicated on satisfactory performance during the design and construction. The Government reserves the right to require the Contractor to make changes in the CQC Plan and operations including removal of personnel as necessary, to obtain the quality specified.

E. Notification of Changes: After acceptance of the CQC Plan, notify the Contracting Officer or Authorized designee in writing of any proposed change. Proposed changes are subject to acceptance by the Government prior to implementation by the Contractor.

### **3.3 COORDINATION MEETING:**

After the Preconstruction Conference Post-award Conference before start of design or construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer or Authorized designee to discuss the Contractor's quality control system. Submit the CQC Plan a minimum of 5 business days prior to the Coordination Meeting. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CC operations, design activities (if applicable), control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting will be prepared by the Government, signed by both the Contractor and Contracting Officer or Authorized designee and will become a part of

the contract file. There can be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

**3.4 QUALITY CONTROL ORGANIZATION:**

- A. Personnel Requirements: The requirements for the CQC organization are a Safety and Health Manager, CQC System Manager, a Design Quality Manager (if applicable), and sufficient number of additional qualified personnel to ensure safety and Contract compliance. The Safety and Health Manager shall satisfy the requirements of Specification 01 35 26 Safety Requirements and reports directly to a senior project (or corporate) official independent from the CQC System Manager. The Safety and Health Manager will also serve as a member of the CQC Staff. Personnel identified in the technical provisions as requiring specialized skills to assure the required work is being performed properly will also be included as part of the CQC organization. The Contractor's CQC staff maintains a presence at the site at all times during progress of the work and have complete authority and responsibility to take any action necessary to ensure Contract compliance. The CQC staff will be subject to acceptance by the Contracting Officer or Authorized designee. Provide adequate office space, filing systems, and other resources as necessary to maintain an effective and fully functional CQC organization. Promptly complete and furnish all letters, material submittals, shop drawings submittals, schedules and all other project documentation to the CQC organization. The CQC organization is responsible to maintain these documents and records at the site at all times, except as otherwise acceptable to the Government.
- B. CQC System Manager: Identify as CQC System Manager an individual within the onsite work organization that is responsible for overall management of CQC and has the authority to act in all CQC matters for the Contractor. Identify in the plan an alternate to serve in the event of the CDQC System Manager's absence. The requirements for the alternate are the same as the CQC System Manager.

**3.5 SUBMITTALS AND DELIVERABLES:** Submittals have to comply with the requirements in Section 01 33 23 Shop Drawings, Product Data, and Samples. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the contract requirements. When Section 01 91 00 General Commissioning Requirements is included in the contract, the submittals required by the section have to be coordinated with the Section 01 33 23 Shop Drawings, Product Data, and Samples to ensure adequate time is allowed for each type of submittal required.

**3.6 CONTROL:**

A. CQC is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control are required to be conducted by the CQC System Manager for each definable feature of the construction work as follows:

1. Preparatory Phase: This phase is performed prior to beginning work on each definable feature of work after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase includes:
  - a. A review of each paragraph of applicable specifications, references codes, and standards. Make available during the preparatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.
  - b. Review of the Contract drawings.
  - c. Check to assure that all materials and equipment have been tested, submitted, and approved.
  - d. Review of provisions that have been made to provide required control inspection and testing.
  - e. Review Special Inspections required by Section 01 45 35 Special Inspections, that Statement of Special Inspections and the Schedule of Specials Inspections.
  - f. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the Contract.

- g. Examination of required materials, equipment, and sample work to assure that they are on hand conform to approved shop drawings or submitted data, and are properly stored.
  - h. Review of the appropriate Activity Hazard Analysis (AHA) to assure safety requirements are met.
  - i. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards - contract defined or industry standard if not contract defined - for that feature of work.
  - j. Check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.
  - k. Discussion of the initial control phase.
  - l. The Government needs to be notified at least 48 hours or 2 business days in advance of beginning the Preparatory control phase. Include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. Document the results of the Preparatory phase actions by separate minutes prepared by the CQC System Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.
- B. Initial Phase: This phase is accomplished at the beginning of a definable feature of work. Accomplish the following:
- 1. Check work to ensure that it is in full compliance with contract requirements. Review minutes of the Preparatory meeting.
  - 2. Verify adequacy of controls to ensure full contract compliance. Verify the required control inspection and testing is in compliance with the contract.
  - 3. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.
  - 4. Resolve all differences.
  - 5. Check safety to include compliance with an upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.

6. The Government needs to be notified at least 48 hours or 2 business days in advance of beginning the initial phase for definable features of work. Prepare separate minutes of this phase by the CQC System Manager and attach to the daily CQC report. Indicate the exact location of initial phase for definable feature of work for future reference and comparison with Follow-Up phases.
  7. The initial phase for each definable feature of work is repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.
  8. Coordinate scheduled work with Special Inspections required by Section 01 45 35 Special Inspections, the Statement of Special Inspections, and the Schedule of Special Inspections.
- C. Follow-Up Phase: Perform daily checks to assure control activities, including control testing, are providing continued compliance with contract requirements until the completion of the particular feature of work. Record the checks in the CQC documentation. Conduct final Follow-Up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work. Do not build upon nor conceal non-conforming work. Coordinate scheduled work with Special Inspections required by Section 01 45 35 Special Inspections, the Statement of Special Inspections, and the Schedule of Special Inspections
- D. Additional Preparatory and Initial Phases on the same definable features of work if: the quality ongoing work is unacceptable; if there are changes in the applicable CQC staff, onsite production supervision or work crew; if work on a definable feature is resumed after a substantial period of inactivity, or if other problems develop.

### 3.7 TESTS

- A. Testing Procedure: Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance test when specified. Procure the services of a Department of Veteran Affairs approved testing laboratory or establish an approved testing laboratory at the project site. Perform the following activities and record and provide the following data:
1. Verify that testing procedures comply with contract requirements.

2. Verify that facilities and testing equipment are available and comply with testing standards.
  3. Check test instrument calibration data against certified standards.
  4. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
  5. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the unique sequential control number identifying the test. If approved by the Contracting Officer or Authorized designee, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer or Authorized designee. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.
- B. Testing Laboratories: All testing laboratories must be validated through the procedures contained in Specification section 01 45 29 Testing Laboratory Services.
1. Capability Check: The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt and steel is required to meet criteria detailed in ASTM D3740 and ASTM E329.
  2. Capability Recheck: If the selected laboratory fails the capability check, the Contractor will be assessed a charge equal to value of recheck to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the Contract amount due the Contractor.
- C. Onsite Laboratory: The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests, and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

### 3.8 COMPLETION INSPECTION

- A. Punch-Out Inspection: Conduct an inspection of the work by the CQC system Manager near the end of the work, or any increment of the work established by a time stated FAR 52.211-10 - Commencement, Prosecution, and Completion of Work, or by the specifications. Prepare and include in the CQC documentation a punch list of items which do not conform to the approved drawings and specifications. Include within the list of deficiencies the estimated date by which the deficiencies will be corrected. Make a second inspection the CQC System Manager or staff to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government Pre-Final Inspection.
- B. Pre-Final Inspection: The Government will perform the Pre-Final Inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. Ensure that all items on this list have been corrected before notifying the Government, so that a Final Acceptance Inspection with the customer can be scheduled. Correct any items noted on the Pre-Final Inspection in a timely manner. These inspections and any deficiency corrections required by this paragraph need to be accomplished within the time slated for completion of the entire work or any particular increment of the work if the project is divided into increments by separate construction completion dates.
- C. Final Acceptance Inspection: The Contractor's QC Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Authorized designee is required to be in attendance at the Final Acceptance Inspection. Additional Government personnel can also be in attendance. The Final Acceptance Inspection will be formally scheduled by the Contracting Officer's or Authorized designee based upon results of the Pre-Final Inspection. Notify the Contracting Officer through the Resident Engineer office at least 14 days prior to the Final Acceptance Inspection and include the Contractor's assurance that all specific items previously identified at the Contractor as being unacceptable, along with all remaining work performed under the contract, will be complete and acceptable by the date schedule for the Final Acceptance Inspection. Failure of the

Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with FAR Clause 52.246-12 titled "Inspection of Construction".

### 3.9 DOCUMENTATION

- A. Quality Control Activities: Maintain current records providing factual evidence that required QC activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:
1. The name and area of responsibility of the Contractor/Subcontractor
  2. Operating plant/equipment with hours worked, idle, or down for repair.
  3. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
  4. Test and control activities performed with results and references to specification/drawing requirements. Identify the Control Phase (Preparatory, Initial, and/or Follow-Up). List deficiencies noted, along with corrective action.
  5. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specification/drawing requirements.
  6. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.
  7. Offsite surveillance activities, including actions taken.
  8. Job safety evaluations stating what was checked, results, and instructions or corrective actions.
  9. Instructions given/received and conflicts in plans and specifications.
  10. Provide documentation of design quality control activities. For independent design reviews, provide, as a minimum, identification of the Independent Technical Reviewer (ITR) team, the ITR review comments, responses, and the record of resolution of the comments.
- B. Verification Statement: Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials

incorporated in the work and workmanship comply with the Contract. Furnish the original and one copy of these records in report form to the Government daily with 1 week after the date covered by the report, except that reports need not be submitted for day son which no work is performed. As a minimum, prepare and submit on report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the CQC System Manager. Include copies of test reports and copies of reports prepared by all subordinate QC personnel within the CQC System Manager Report.

### 3.10 **SAMPLE FORMS**



014500 Referenced  
Example Form Templa

- 3.11 **NOTIFICATION OF NONCOMPLIANCE:** The Contracting Officer or Authorized designee will notify the Contractor of any detected noncompliance with the foregoing requirements. The Contractor should take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site will be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer can issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

--- End of Section ---

**SECTION 01 74 19**  
**CONSTRUCTION WASTE MANAGEMENT**

**PART 1 - GENERAL**

**1.1 DESCRIPTION**

- A. This section specifies the requirements for the management of non-hazardous building construction and demolition waste.
- B. Waste disposal in landfills shall be minimized to the greatest extent possible. Of the inevitable waste that is generated, as much of the waste material as economically feasible shall be salvaged, recycled or reused.
- C. Contractor shall use all reasonable means to divert construction and demolition waste from landfills and incinerators, and facilitate their salvage and recycle not limited to the following:
  - 1. Waste Management Plan development and implementation.
  - 2. Techniques to minimize waste generation.
  - 3. Sorting and separating of waste materials.
  - 4. Salvage of existing materials and items for reuse or resale.
  - 5. Recycling of materials that cannot be reused or sold.
- D. At a minimum the following waste categories shall be diverted from landfills:
  - 1. Soil.
  - 2. Inerts (eg, concrete, masonry and asphalt).
  - 3. Clean dimensional wood and palette wood.
  - 4. Green waste (biodegradable landscaping materials).
  - 5. Engineered wood products (plywood, particle board and I-joists, etc).
  - 6. Metal products (eg, steel, wire, beverage containers, copper, etc).
  - 7. Cardboard, paper and packaging.
  - 8. Bitumen roofing materials.
  - 9. Plastics (eg, ABS, PVC).
  - 10. Carpet and/or pad.
  - 11. Gypsum board.
  - 12. Insulation.
  - 13. Paint.
  - 14. Fluorescent lamps.

**1.2 RELATED WORK**

- A. Section 02 41 00, DEMOLITION.
- B. Section 01 00 00, GENERAL REQUIREMENTS.

C. Lead Paint: Section 02 83 33.13, LEAD BASED PAINT REMOVAL AND DISPOSAL.

### 1.3 QUALITY ASSURANCE

- A. Contractor shall practice efficient waste management when sizing, cutting and installing building products. Processes shall be employed to ensure the generation of as little waste as possible. Construction /Demolition waste includes products of the following:
1. Excess or unusable construction materials.
  2. Packaging used for construction products.
  3. Poor planning and/or layout.
  4. Construction error.
  5. Over ordering.
  6. Weather damage.
  7. Contamination.
  8. Mishandling.
  9. Breakage.
- B. Establish and maintain the management of non-hazardous building construction and demolition waste set forth herein. Conduct a site assessment to estimate the types of materials that will be generated by demolition and construction.
- C. Contractor shall develop and implement procedures to recycle construction and demolition waste to a minimum of 50 percent.
- D. Contractor shall be responsible for implementation of any special programs involving rebates or similar incentives related to recycling. Any revenues or savings obtained from salvage or recycling shall accrue to the contractor.
- E. Contractor shall provide all demolition, removal and legal disposal of materials. Contractor shall ensure that facilities used for recycling, reuse and disposal shall be permitted for the intended use to the extent required by local, state, federal regulations. The Whole Building Design Guide website <http://www.wbdg.org/tools/cwm.php> provides a Construction Waste Management Database that contains information on companies that haul, collect, and process recyclable debris from construction projects.
- F. Contractor shall assign a specific area to facilitate separation of materials for reuse, salvage, recycling, and return. Such areas are to be kept neat and clean and clearly marked in order to avoid contamination or mixing of materials.

- G. Contractor shall provide on-site instructions and supervision of separation, handling, salvaging, recycling, reuse and return methods to be used by all parties during waste generating stages.
- H. Record on daily reports any problems in complying with laws, regulations and ordinances with corrective action taken.

#### **1.4 TERMINOLOGY**

- A. Class III Landfill: A landfill that accepts non-hazardous resources such as household, commercial and industrial waste resulting from construction, remodeling, repair and demolition operations.
- B. Clean: Untreated and unpainted; uncontaminated with adhesives, oils, solvents, mastics and like products.
- C. Construction and Demolition Waste: Includes all non-hazardous resources resulting from construction, remodeling, alterations, repair and demolition operations.
- D. Dismantle: The process of parting out a building in such a way as to preserve the usefulness of its materials and components.
- E. Disposal: Acceptance of solid wastes at a legally operating facility for the purpose of land filling (includes Class III landfills and inert fills).
- F. Inert Backfill Site: A location, other than inert fill or other disposal facility, to which inert materials are taken for the purpose of filling an excavation, shoring or other soil engineering operation.
- G. Inert Fill: A facility that can legally accept inert waste, such as asphalt and concrete exclusively for the purpose of disposal.
- H. Inert Solids/Inert Waste: Non-liquid solid resources including, but not limited to, soil and concrete that does not contain hazardous waste or soluble pollutants at concentrations in excess of water-quality objectives established by a regional water board, and does not contain significant quantities of decomposable solid resources.
- I. Mixed Debris: Loads that include commingled recyclable and non-recyclable materials generated at the construction site.
- J. Mixed Debris Recycling Facility: A solid resource processing facility that accepts loads of mixed construction and demolition debris for the purpose of recovering re-usable and recyclable materials and disposing non-recyclable materials.
- K. Permitted Waste Hauler: A company that holds a valid permit to collect and transport solid wastes from individuals or businesses for the purpose of recycling or disposal.

- L. Recycling: The process of sorting, cleansing, treating, and reconstituting materials for the purpose of using the altered form in the manufacture of a new product. Recycling does not include burning, incinerating or thermally destroying solid waste.
  - 1. On-site Recycling - Materials that are sorted and processed on site for use in an altered state in the work, i.e. concrete crushed for use as a sub-base in paving.
  - 2. Off-site Recycling - Materials hauled to a location and used in an altered form in the manufacture of new products.
- M. Recycling Facility: An operation that can legally accept materials for the purpose of processing the materials into an altered form for the manufacture of new products. Depending on the types of materials accepted and operating procedures, a recycling facility may or may not be required to have a solid waste facilities permit or be regulated by the local enforcement agency.
- N. Reuse: Materials that are recovered for use in the same form, on-site or off-site.
- O. Return: To give back reusable items or unused products to vendors for credit.
- P. Salvage: To remove waste materials from the site for resale or re-use by a third party.
- Q. Source-Separated Materials: Materials that are sorted by type at the site for the purpose of reuse and recycling.
- R. Solid Waste: Materials that have been designated as non-recyclable and are discarded for the purposes of disposal.
- S. Transfer Station: A facility that can legally accept solid waste for the purpose of temporarily storing the materials for re-loading onto other trucks and transporting them to a landfill for disposal, or recovering some materials for re-use or recycling.

#### **1.5 SUBMITTALS**

- A. In accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA, and SAMPLES, furnish the following:
- B. Prepare and submit to the Resident Engineer a written demolition debris management plan. The plan shall include, but not be limited to, the following information:
  - 1. Procedures to be used for debris management.
  - 2. Techniques to be used to minimize waste generation.
  - 3. Analysis of the estimated job site waste to be generated:

- a. List of each material and quantity to be salvaged, reused, recycled.
- b. List of each material and quantity proposed to be taken to a landfill.
- 4. Detailed description of the Means/Methods to be used for material handling.
  - a. On site: Material separation, storage, protection where applicable.
  - b. Off site: Transportation means and destination. Include list of materials.
    - 1) Description of materials to be site-separated and self-hauled to designated facilities.
    - 2) Description of mixed materials to be collected by designated waste haulers and removed from the site.
  - c. The names and locations of mixed debris reuse and recycling facilities or sites.
  - d. The names and locations of trash disposal landfill facilities or sites.
  - e. Documentation that the facilities or sites are approved to receive the materials.
- C. Designated Manager responsible for instructing personnel, supervising, documenting and administer over meetings relevant to the Waste Management Plan.
- D. Monthly summary of construction and demolition debris diversion and disposal, quantifying all materials generated at the work site and disposed of or diverted from disposal through recycling.

**1.6 APPLICABLE PUBLICATIONS**

- A Publications listed below form a part of this specification to the extent referenced. Publications are referenced by the basic designation only. In the event that criteria requirements conflict, the most stringent requirements shall be met.
- B. U.S. Green Building Council (USGBC):  
LEED Green Building Rating System for New Construction

**1.7 RECORDS**

Maintain records to document the quantity of waste generated; the quantity of waste diverted through sale, reuse, or recycling; and the quantity of waste disposed by landfill or incineration. Records shall be kept in accordance with the LEED Reference Guide and LEED Template.

**PART 2 - PRODUCTS**

**2.1 MATERIALS**

- A. List of each material and quantity to be salvaged, recycled, reused.
- B. List of each material and quantity proposed to be taken to a landfill.
- C. Material tracking data: Receiving parties, dates removed, transportation costs, weight tickets, tipping fees, manifests, invoices, net total costs or savings.

**PART 3 - EXECUTION**

**3.1 COLLECTION**

- A. Provide all necessary containers, bins and storage areas to facilitate effective waste management.
- B. Clearly identify containers, bins and storage areas so that recyclable materials are separated from trash and can be transported to respective recycling facility for processing.
- C. Hazardous wastes shall be separated, stored, disposed of according to local, state, federal regulations.

**3.2 DISPOSAL**

- A. Contractor shall be responsible for transporting and disposing of materials that cannot be delivered to a source-separated or mixed materials recycling facility to a transfer station or disposal facility that can accept the materials in accordance with state and federal regulations.
- B. Construction or demolition materials with no practical reuse or that cannot be salvaged or recycled shall be disposed of at a landfill or incinerator.

**3.3 REPORT**

- A. With each application for progress payment, submit a summary of construction and demolition debris diversion and disposal including beginning and ending dates of period covered.
- B. Quantify all materials diverted from landfill disposal through salvage or recycling during the period with the receiving parties, dates removed, transportation costs, weight tickets, manifests, invoices. Include the net total costs or savings for each salvaged or recycled material.
- C. Quantify all materials disposed of during the period with the receiving parties, dates removed, transportation costs, weight tickets, tipping fees, manifests, invoices. Include the net total costs for each disposal.

- - - E N D - - -

**SECTION 01 81 13**  
**SUSTAINABLE CONSTRUCTION REQUIREMENTS**

**PART 1 - GENERAL**

**1.1 DESCRIPTION**

- A. This Section describes general requirements and procedures to comply with federal mandates and U.S. Department of Veterans Affairs (VA) policies for sustainable construction.
- B. The Design Professional has selected materials and utilized integrated design processes that achieve the Government's objectives. Contractor is responsible to maintain and support these objectives in developing means and methods for performing work and in proposing product substitutions or changes to specified processes. Obtain approval from Contracting Officer for all changes and substitutions to materials or processes. Proposed changes must meet, or exceed, materials or processes specified.

**1.2 RELATED WORK**

- A. Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.
- B. Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT.
- C. Section 01 81 13.01 SUSTAINABILITY CERTIFICATION REQUIREMENTS - LEED NC v3

**1.3 DEFINITIONS**

- A. Recycled Content: Recycled content of materials is defined according to Federal Trade Commission Guides for the Use of Environmental Marketing Claims (16 CFR Part 260). Recycled content value of a material assembly is determined by weight. Recycled fraction of assembly is multiplied by cost of assembly to determine recycled content value.
  - 1. "Post-Consumer" material is defined as waste material generated by households or by commercial, industrial, and institutional facilities in their role as end users of the product, which can no longer be used for its intended purpose.
  - 2. "Pre-Consumer" material is defined as material diverted from waste stream during the manufacturing process. Excluded is reutilization of materials such as rework, regrind, or scrap generated in a process and capable of being reclaimed within the same process that generated it.

- B. Biobased Products: Biobased products are derived from plants and other renewable agricultural, marine, and forestry materials and provide an alternative to conventional petroleum derived products. Biobased products include diverse categories such as lubricants, cleaning products, inks, fertilizers, and bioplastics.
- C. Low Pollutant-Emitting Materials: Materials and products which are minimally odorous, irritating, or harmful to comfort and well-being of installers and occupants.
- D. Volatile Organic Compounds (VOC): Chemicals that are emitted as gases from certain solids or liquids. VOCs include a variety of chemicals, some of which may have short- and long-term adverse health effects.

#### **1.4 REFERENCE STANDARDS**

- A. Carpet and Rug Institute Green Label Plus program.
- B. U.S. Department of Agriculture BioPreferred program (USDA BioPreferred).
- C. U.S. Environmental Protection Agency Comprehensive Procurement Guidelines (CPG).
- D. U.S. Environmental Protection Agency WaterSense Program (WaterSense).
- E. U.S. Environmental Protection Agency ENERGY STAR Program (ENERGY STAR).
- F. U. S. Department of Energy Federal Energy Management Program (FEMP).
- G. Green Electronic Council EPEAT Program (EPEAT).

#### **1.5 SUBMITTALS**

- A. All submittals to be provided by contractor to COR.
- B. Sustainability Action Plan:
  - 1. Submit documentation as required by this section; provide additional copies of typical submittals required under technical sections when sustainable construction requires copies of record submittals.
  - 2. Within 30 days after Preconstruction Meeting provide a narrative plan for complying with requirements stipulated within this section.
  - 3. Sustainability Action Plan must:
    - a. Make reference to sustainable construction submittals defined by this section.
    - b. Address all items listed under PERFORMANCE CRITERIA.
    - c. Indicate individual(s) responsible for implementing the plan.

- C. Low Pollutant-Emitting Materials Tracking Spreadsheet: Within 30 days after Preconstruction Meeting provide a preliminary Low Pollutant-Emitting Materials Tracking Spreadsheet. The Low Pollutant-Emitting Materials Tracking Spreadsheet must be an electronic file and include all materials on Project in categories described under Low Pollutant-Emitting Materials in 01 81 13.
- D. Construction Indoor Air Quality (IAQ) Management Plan:
1. Not more than 30 days after Preconstruction Meeting provide a Construction IAQ Management Plan as an electronic file including descriptions of the following:
    - a. Instruction procedures for meeting or exceeding minimum requirements of ANSI/SMACNA 008-2008, Chapter 3, including procedures for HVAC Protection, Source Control, Pathway Interruption, Housekeeping, and Scheduling.
    - b. Instruction procedures for protecting absorptive materials stored on-site or installed from moisture damage.
    - c. Schedule of submission of photographs of on-site construction IAQ management measures such as protection of ducts and on-site stored oil installed absorptive materials.
    - d. Instruction procedures if air handlers must be used during construction, including a description of filtration media to be used at each return air grille.
    - e. Instruction procedure for replacing all air-filtration media immediately prior to occupancy after completion of construction, including a description of filtration media to be used at each air handling or air supply unit.
    - f. Instruction procedures and schedule for implementing building flush-out.
- E. Product Submittals:
1. Recycled Content: Submit product data from manufacturer indicating percentages by weight of post-consumer and pre-consumer recycled content for products having recycled content (excluding MEP systems equipment and components).
  2. Biobased Content: Submit product data for products to be installed or used which are included in any of the USDA BioPreferred program's product categories. Data to include percentage of biobased content and source of biobased material.

3. Low Pollutant-Emitting Materials: Submit product data confirming compliance with relevant requirements for all materials on Project in categories described under Low Pollutant-Emitting Materials in 01 81 13.
  4. For applicable products and equipment, submit product documentation confirming ENERGY STAR label, FEMP certification, WaterSense, and/or EPEAT certification.
- F. Sustainable Construction Progress Reports: Concurrent with each Application for Payment, submit a Sustainable Construction Progress Report to confirm adherence with Sustainability Action Plan.
1. Include narratives of revised strategies for bringing work progress into compliance with plan and product submittal data.
  2. Include updated and current Low Pollutant-Emitting Materials Tracking Spreadsheet.
  3. Include construction waste tracking, in tons or cubic yards, including waste description, whether diverted or landfilled, hauler, and percent diverted for comingled quantities; and excluding land-clearing debris and soil. Provide haul receipts and documentation of diverted percentages for comingled wastes.
- G. Closeout Submittals: Within 14 days after Substantial Completion provide the following:
1. Final version of Low Pollutant-Emitting Materials Tracking Spreadsheet.
  2. Manufacturer's cut sheets and product data highlighting the Minimum Efficiency Reporting Value (MERV) for filtration media installed at return air grilles during construction if permanently installed air handling units are used during construction.
  3. Manufacturer's cut sheets and product data highlighting the Minimum Efficiency Reporting Value (MERV) for final filtration media in air handling units.
  4. Minimum 18 construction photographs including six photographs taken on three different occasions during construction of ANSI/SMACNA 008-2008, Chapter 3 approaches employed, along with a brief description of each approach, documenting implementation of IAQ management measures, such as protection of ducts and on-site stored or installed absorptive materials.
  5. Flush-out Documentation:
    - a. Product data for filtration media used during flush-out.

- b. Product data for filtration media installed immediately prior to occupancy.
- c. Signed statement describing building air flush-out procedures including dates when flush-out was begun and completed and statement that filtration media was replaced after flush-out.

#### **1.6 QUALITY ASSURANCE**

- A. Preconstruction Meeting: After award of Contract and prior to commencement of Work, schedule and conduct meeting with COR/Resident Engineer and Architect to discuss the Project Sustainable Action Plan content as it applies to submittals, project delivery, required Construction Indoor Air Quality (IAQ) Management Plan, and other Sustainable Construction Requirements. The purpose of this meeting is to develop a mutual understanding of the Sustainable Construction Requirements and coordination of contractor's management of these requirements with the Contracting Officer and the Construction Quality Manager.
- B. Construction Job Conferences: Status of compliance with Sustainable Construction Requirements of these specifications will be an agenda item at regular job meetings conducted during the course of work at the site.

#### **1.7 APPLICABLE PUBLICATIONS**

- A. Publications listed below form a part of this specification to extent referenced. Publications are referenced in text by basic designation only. Comply with applicable provisions and recommendations of the following, except as otherwise shown or specified.
- B. Green Seal Standard GS-11, Paints, 1st Edition, May 20, 1993.
- C. Green Seal Standard GC-03, Anti-Corrosive Paints, 2nd Edition, January 7, 1997.
- D. Green Seal Standard GC-36, Commercial Adhesives, October 19, 2000.
- E. South Coast Air Quality Management District (SCAQMD) Rule 1113, Architectural Coatings, rules in effect on January 1, 2004.
- F. South Coast Air Quality Management District (SCAQMD) Rule 1168, July 1, 2005 and rule amendment date of January 7, 2005.
- G. Sheet Metal and Air Conditioning National Contractors' Association (SMACNA) IAQ Guidelines for Occupied Buildings under Construction, 2nd Edition (ANSI/SMACNA 008-2008), Chapter 3.

- H. California Department of Public Health Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers, Version 1.1, Emission Testing method for California Specification 01350 (CDPH Standard Method V1.1-2010).
- I. Federal Trade Commission Guides for the Use of Environmental Marketing Claims (16 CFR Part 260).
- J. ASHRAE Standard 52.2-2007.

PART 2 - PRODUCTS

2.1 PERFORMANCE CRITERIA

- A. Construction waste diversion from landfill disposal must comprise at least 50 percent of total construction waste, excluding land clearing debris and soil. Alternative daily cover (ADC) does not qualify as material diverted from disposal.
- B. Low Pollutant-Emitting Materials:

1. Adhesives, sealants and sealant primers applied on site within the weatherproofing membrane must comply with VOC limits of SCAQMD Rule 1168:

a. Flooring Adhesives and Sealants:

- 1) Indoor carpet adhesives: 50 g/L.
- 2) Wood Flooring Adhesive: 100 g/L.
- 3) Rubber Floor Adhesives: 60 g/L.
- 4) Subfloor Adhesives: 50 g/L.
- 5) Ceramic Tile Adhesives and Grout: 65 g/L.
- 6) Cove Base Adhesives: 50 g/L.
- 7) Multipurpose Construction Adhesives: 70 g/L.
- 8) Porous Material (Except Wood) Substrate: 50 g/L.
- 9) Wood Substrate: 30 g/L.
- 10) Architectural Non-Porous Sealant Primer: 250 g/L.
- 11) Architectural Porous Sealant Primer: 775 g/L.
- 12) Other Sealant Primer: 750 g/L.
- 13) Structural Wood Member Adhesive: 140 g/L.
- 14) Sheet-Applied Rubber Lining Operations: 850 g/L.
- 15) Top and Trim Adhesive: 250 g/L.
- 16) Architectural Sealant: 250 g/L.
- 17) Other Sealant: 420 g/L.

b. Non-Flooring Adhesives and Sealants:

- 1) Drywall and Panel Adhesives: 50 g/L.
- 2) Multipurpose Construction Adhesives: 70 g/L.
- 3) Structural Glazing Adhesives: 100 g/L.
- 4) Metal-to-Metal Substrate Adhesives: 30 g/L.
- 5) Plastic Foam Substrate Adhesive: 50 g/L.
- 6) Porous Material (Except Wood) Substrate Adhesive: 50 g/L.
- 7) Wood Substrate Adhesive: 30 g/L.
- 8) Fiberglass Substrate Adhesive: 80 g/L.
- 9) Architectural Non-Porous Sealant Primer: 250 g/L.
- 10) Architectural Porous Sealant Primer: 775 g/L.
- 11) Other Sealant Primer: 750 g/L.
- 12) PVC Welding Adhesives: 510 g/L.
- 13) CPVC Welding Adhesives: 490 g/L.
- 14) ABS Welding Adhesives: 325 g/L.
- 15) Plastic Cement Welding Adhesives: 250 g/L.
- 16) Adhesive Primer for Plastic: 550 g/L.

- 17) Contact Adhesive: 80 g/L.
  - 18) Special Purpose Contact Adhesive: 250 g/L.
  - 19) Structural Wood Member Adhesive: 140 g/L.
  - 20) Sheet Applied Rubber Lining Operations: 850 g/L.
  - 21) Top and Trim Adhesive: 250 g/L.
  - 22) Architectural Sealants: 250 g/L.
  - 23) Other Sealants: 420 g/L.
2. Aerosol adhesives applied on site within the weatherproofing membrane must comply with the following Green Seal GS-36.
    - a. Aerosol Adhesive, General-Purpose Mist Spray: 65 percent VOCs by weight.
    - b. Aerosol Adhesive, General-Purpose Web Spray: 55 percent VOCs by weight.
    - c. Special-Purpose Aerosol Adhesive (All Types): 70 percent VOCs by weight.
  3. Paints and coatings applied on site within the weatherproofing membrane must comply with the following criteria:
    - a. VOC content limits for paints and coatings established in Green Seal Standard GS-11.
    - b. VOC content limit for anti-corrosive and anti-rust paints applied to interior ferrous metal substrates of 250 g/L established in Green Seal GC-03.
    - c. Clear wood finishes, floor coatings, stains, primers, sealers, and shellacs applied to interior elements must not exceed VOC content limits established in SCAQMD Rule 1113.
    - d. Comply with the following VOC content limits:
      - 1) Anti-Corrosive/Antirust Paints: 250 g/L.
      - 2) Clear Wood Finish, Lacquer: 550 g/L.
      - 3) Clear Wood Finish, Sanding Sealer: 350 g/L.
      - 4) Clear Wood Finish, Varnish: 350 g/L.
      - 5) Floor Coating: 100 g/L.
      - 6) Interior Flat Paint, Coating or Primer: 50 g/L.
      - 7) Interior Non-Flat Paint, Coating or Primer: 150 g/L.
      - 8) Sealers and Undercoaters: 200 g/L.
      - 9) Shellac, Clear: 730 g/L.
      - 10) Shellac, Pigmented: 550 g/L.
      - 11) Stain: 250 g/L.
      - 12) Clear Brushing Lacquer: 680 g/L.

- 13) Concrete Curing Compounds: 350 g/L.
  - 14) Japans/Faux Finishing Coatings: 350 g/L.
  - 15) Magnesite Cement Coatings: 450 g/L.
  - 16) Pigmented Lacquer: 550 g/L.
  - 17) Waterproofing Sealers: 250 g/L.
  - 18) Wood Preservatives: 350 g/L.
  - 19) Low-Solids Coatings: 120 g/L.
4. Carpet installed in building interior must comply with one of the following:
    - a. Meet testing and product requirements of the Carpet and Rug Institute Green Label Plus program.
    - b. Maximum VOC concentrations specified in CDPH Standard Method V1.1-2010, using office scenario at the 14 day time point.
  5. Each non-carpet flooring element installed in building interior which is not inherently non-emitting (stone, ceramic, powder-coated metals, plated or anodized metal, glass, concrete, clay brick, and unfinished or untreated solid wood flooring) must comply with one of the following:
    - a. Meet requirements of the FloorScore standard as shown with testing by an independent third-party.
    - b. Maximum VOC concentrations specified in CDPH Standard Method V1.1-2010, using office scenario at 14 day time point.
  6. Composite wood and agrifiber products used within the weatherproofing membrane must contain no added urea-formaldehyde resins.
  7. Laminating adhesives used to fabricate on-site and shop-applied composite wood and agrifiber assemblies must not contain added urea-formaldehyde.
- C. Recycled Content:
1. Any products being installed or used that are listed on EPA Comprehensive Procurement Guidelines designated product list must meet or exceed the EPA's recycled content recommendations. The EPA Comprehensive Procurement Guidelines categories include:
    - a. Building insulation.
    - b. Cement and concrete.
    - c. Consolidated and reprocessed latex paint.
    - d. Floor tiles.
    - e. Flowable fill.

- f. Laminated paperboard.
  - g. Modular threshold ramps.
  - h. Nonpressure pipe.
  - i. Patio blocks.
  - j. Railroad grade crossing surfaces.
  - k. Roofing materials.
  - l. Shower and restroom dividers/partitions.
  - m. Structural fiberboard.
  - n. Nylon carpet and nylon carpet backing.
  - o. Compost and fertilizer made from recovered organic materials.
  - p. Hydraulic mulch.
  - q. Lawn and garden edging.
  - r. Plastic lumber landscaping timbers and posts.
  - s. Park benches and picnic tables.
  - t. Plastic fencing.
  - u. Playground equipment.
  - v. Playground surfaces.
  - w. Bike racks.
- D. Biobased Content:
- 1. Materials and equipment being installed or used that are listed on the USDA BioPreferred program product category list must meet or exceed USDA's minimum biobased content threshold. Refer to individual specification sections for detailed requirements applicable to that section.
    - a. USDA BioPreferred program categories include:
      - 1) Adhesive and Mastic Removers.
      - 2) Carpets.
      - 3) Cleaners.
      - 4) Composite Panels.
      - 5) Corrosion Preventatives.
      - 6) Erosion Control Materials.
      - 7) Dust Suppressants.
      - 8) Fertilizers.
      - 9) Floor Cleaners and Protectors.
      - 10) Floor Coverings (Non-Carpet).
      - 11) Glass Cleaners.
      - 12) Hydraulic Fluids.
      - 13) Industrial Cleaners.

- 14) Interior Paints and Coatings.
- 15) Mulch and Compost Materials.
- 16) Multipurpose Cleaners.
- 17) Multipurpose Lubricants.
- 18) Packaging Films.
- 19) Paint Removers.
- 20) Plastic Insulating Foam.
- 21) Pneumatic Equipment Lubricants.
- 22) Roof Coatings.
- 23) Wastewater Systems Coatings.
- 24) Water Tank Coatings.
- 25) Wood and Concrete Sealers.
- 26) Wood and Concrete Stains.

E. Materials, products, and equipment being installed which fall into a category covered by the WaterSense program must be WaterSense-labeled or meet or exceed WaterSense program performance requirements, unless disallowed for infection control reasons.

1. WaterSense categories include:

- a. Bathroom Faucets
- b. Commercial Toilets
- c. Irrigation Controllers
- d. Pre-Rinse Spray Valves
- e. Residential Toilets
- f. Showerheads
- g. Spray Sprinkler Bodies
- h. Urinals

F. Materials, products, and equipment being installed which fall into any of the following product categories must be Energy Star-labeled.

1. Applicable Energy Star product categories as of 09/14/2017 include:

a. Appliances:

- 1) Air Purifiers and Cleaners.
- 2) Clothes Dryers (Residential).
- 3) Clothes Washers (Commercial & Residential).
- 4) Dehumidifiers.
- 5) Dishwashers (Residential).
- 6) Freezers (Residential).
- 7) Refrigerators (Residential).

b. Electronics and Information Technology:

- 1) Audio/Video Equipment.
  - 2) Computers.
  - 3) Data Center Storage.
  - 4) Digital Media Player.
  - 5) Enterprise Servers.
  - 6) Imaging Equipment.
  - 7) Monitors.
  - 8) Professional Displays.
  - 9) Set-Top and Cable Boxes.
  - 10) Telephones.
  - 11) Televisions.
  - 12) Uninterruptible Power Supplies.
  - 13) Voice over Internet Protocol (VoIP) Phones.
- c. Food Service Equipment (Commercial):
- 1) Dishwashers.
  - 2) Fryers.
  - 3) Griddles.
  - 4) Hot Food Holding Cabinets.
  - 5) Ice Makers.
  - 6) Ovens.
  - 7) Refrigerators and Freezers.
  - 8) Steam Cookers.
  - 9) Vending Machines.
- d. Heating and Cooling Equipment:
- 1) Air-Source Heat Pumps (Residential).
  - 2) Boilers.
  - 3) Ceiling Fans (Residential).
  - 4) Central Air Conditioners (Residential).
  - 5) Ductless Heating and Cooling (Residential).
  - 6) Furnaces (Residential).
  - 7) Water Heaters.
  - 8) Geothermal Heat Pumps (Residential).
  - 9) Light Commercial Heating and Cooling Equipment.
  - 10) Room Air Conditioners (Residential).
  - 11) Ventilation Fans (Residential).
- e. Other:
- 1) Decorative Light Strings.
  - 2) Electric Vehicle Supply Equipment.

- 3) Laboratory-Grade Refrigerators and Freezers.
- 4) Light Bulbs.
- 5) Light Fixtures.
- 6) Pool Pumps.
- 7) Roof Products.
- 8) Water Coolers.
- 9) Windows, Doors, and Skylights.

G. Materials, products, and equipment being installed which fall into any of the following categories must be FEMP-designated. FEMP-designated product categories as of 09/14/2017 include:

1. Boilers (Commercial).
2. Dishwashers (Commercial).
3. Electric Chillers, Air-Cooled (Commercial).
4. Electric Chillers, Water-Cooled (Commercial).
5. Exterior Lighting.
6. Fluorescent Ballasts.
7. Fluorescent Lamps, General Service.
8. Ice Machines, Water-Cooled.
9. Industrial Lighting (High/Low Bay).
10. Light Emitting Diode (LED) Luminaires.

H. Electronic products and equipment being installed which fall into any of the following categories shall be EPEAT registered. Electronic products and equipment covered by EPEAT program as of 09/14/2017 include:

1. Computers.
2. Displays.
3. Imaging Equipment.
4. Televisions.

### **PART 3 - EXECUTION**

#### **3.1 FIELD QUALITY CONTROL**

A. Construction Indoor Air Quality Management:

1. During construction, meet or exceed recommended control measures of ANSI/SMACNA 008-2008, Chapter 3.
2. Protect stored on-site and installed absorptive materials from moisture damage.

3. If permanently installed air handlers are used during construction, filtration media with a minimum efficiency reporting value (MERV) of 8 must be used at each return air grille, as determined by ASHRAE Standard 52.2-1999 (with errata but without addenda). Replace all filtration media immediately prior to occupancy.
4. Perform building flush-out as follows:
  - a. After construction ends, prior to occupancy and with interior finishes installed, perform a building flush-out by supplying a total volume of 14000 cu. ft. of outdoor air per sq. ft. of floor area while maintaining an internal temperature of at least 60 degrees Fahrenheit and a relative humidity no higher than 60 percent. OR
  - b. If occupancy is desired prior to flush-out completion, the space may be occupied following delivery of a minimum of 3500 cu. ft. of outdoor air per sq. ft. of floor area to the space. Once a space is occupied, it must be ventilated at a minimum rate of 0.30 cfm per sq. ft. of outside air or design minimum outside air rate determined until a total of 14000 cu. ft./sq. ft. of outside air has been delivered to the space. During each day of flush-out period, ventilation must begin a minimum of three hours prior to occupancy and continue during occupancy.

-----END-----

**SECTION 02 41 00**  
**DEMOLITION**

**PART 1 - GENERAL**

**1.1 DESCRIPTION:**

This section specifies demolition and removal of buildings, portions of buildings, utilities, other structures and debris from trash dumps shown.

**1.2 RELATED WORK:**

- A. Demolition and removal of building components
- B. Safety Requirements: Section 01 35 26 Safety Requirements Article, ACCIDENT PREVENTION PLAN (APP).
- C. Disconnecting utility services prior to demolition: Section 01 00 00, GENERAL REQUIREMENTS.
- D. Reserved items that are to remain the property of the Government: Section 01 00 00, GENERAL REQUIREMENTS.
- E. Asbestos Removal: Section 02 82 11, TRADITIONAL ASBESTOS ABATEMENT.
- F. Lead Paint: Section 02 83 33.13, LEAD-BASED PAINT REMOVAL AND DISPOSAL.
- G. Environmental Protection: Section 01 57 19, TEMPORARY ENVIRONMENTAL CONTROLS.
- H. Construction Waste Management: Section 017419 CONSTRUCTION WASTE MANAGEMENT.
- I. Infectious Control: Section 01 35 26, SAFETY REQUIREMENTS, Article 1.12, INFECTION CONTROL.

**1.3 PROTECTION:**

- A. Perform demolition in such manner as to eliminate hazards to persons and property; to minimize interference with use of adjacent areas, utilities and structures or interruption of use of such utilities; and to provide free passage to and from such adjacent areas of structures. Comply with requirements of GENERAL CONDITIONS Article, ACCIDENT PREVENTION.
- B. Provide safeguards, including warning signs, barricades, temporary fences, warning lights, and other similar items that are required for protection of all personnel during demolition and removal operations. Comply with requirements of Section 01 00 00, GENERAL REQUIREMENTS, Article PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES AND IMPROVEMENTS.

- C. Maintain fences, barricades, lights, and other similar items around exposed excavations until such excavations have been completely filled.
- D. Provide enclosed dust chutes with control gates from each floor to carry debris to truck beds and govern flow of material into truck. Provide overhead bridges of tight board or prefabricated metal construction at dust chutes to protect persons and property from falling debris.
- E. Prevent spread of flying particles and dust. Sprinkle rubbish and debris with water to keep dust to a minimum. Do not use water if it results in hazardous or objectionable condition such as, but not limited to; ice, flooding, or pollution. Vacuum and dust the work area daily.
- F. Not Used
- G. Before beginning any demolition work, the Contractor shall survey the site and examine the drawings and specifications to determine the extent of the work. The contractor shall take necessary precautions to avoid damages to existing items to remain in place, to be reused, or to remain the property of the Medical Center; any damaged items shall be repaired or replaced as approved by the Resident Engineer. The Contractor shall coordinate the work of this section with all other work and shall construct and maintain shoring, bracing, and supports as required. The Contractor shall ensure that structural elements are not overloaded and shall be responsible for increasing structural supports or adding new supports as may be required as a result of any cutting, removal, or demolition work performed under this contract. Do not overload structural elements. Provide new supports and reinforcement for existing construction weakened by demolition or removal works. Repairs, reinforcement, or structural replacement must have Resident Engineer's approval.
- H. The work shall comply with the requirements of Section 01 57 19, TEMPORARY ENVIRONMENTAL CONTROLS.
- I. The work shall comply with the requirements of Section 01 00 00, GENERAL REQUIREMENTS, Article 1.7 INFECTION PREVENTION MEASURES.

#### **1.4 UTILITY SERVICES:**

- A. Not Used

**PART 2 - PRODUCTS (NOT USED)****PART 3 - EXECUTION****3.1 DEMOLITION:**

- A. Completely demolish and remove buildings appurtenances related or connected thereto, as noted below:
  - 1. As required for installation of new utility service lines.
  - 2. To full depth within an area defined by hypothetical lines located 1500 mm (5 feet) outside building lines of new structures.
- B. Debris, including brick, concrete, stone, metals and similar materials shall become property of Contractor and shall be disposed of by him daily, off the Medical Center to avoid accumulation at the demolition site. Materials that cannot be removed daily shall be stored in areas specified by the Resident Engineer. Break up concrete slabs below grade that do not require removal from present location into pieces not exceeding 600 mm (24 inches) square to permit drainage. Contractor shall dispose debris in compliance with applicable federal, state or local permits, rules and/or regulations.
- C. Not Used
- D. Remove and legally dispose of all materials, other than earth to remain as part of project work, from any trash dumps shown. Materials removed shall become property of contractor and shall be disposed of in compliance with applicable federal, state or local permits, rules and/or regulations. All materials in the indicated trash dump areas, including above surrounding grade and extending to a depth of 1500mm (5feet) below surrounding grade, shall be included as part of the lump sum compensation for the work of this section. Materials that are located beneath the surface of the surrounding ground more than 1500 mm (5 feet), or materials that are discovered to be hazardous, shall be handled as unforeseen. The removal of hazardous material shall be referred to Hazardous Materials specifications.
- E. Remove existing utilities as indicated or uncovered by work and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Resident Engineer. When Utility lines are encountered that are not indicated on the drawings, the Resident Engineer shall be notified prior to further work in that area.

**3.2 CLEAN-UP:**

On completion of work of this section and after removal of all debris, leave site in clean condition satisfactory to Resident Engineer.

Clean-up shall include off the Medical Center disposal of all items and materials not required to remain property of the Government as well as all debris and rubbish resulting from demolition operations.

- - - E N D - - -

**SECTION 07 92 00**  
**JOINT SEALANTS**

**PART 1 - GENERAL**

**1.1 DESCRIPTION:**

- A. This section covers interior and exterior sealant and their application, wherever required for complete installation of building materials or systems.

**1.2 RELATED WORK (INCLUDING BUT NOT LIMITED TO THE FOLLOWING):**

- A. Sustainable Design Requirements: Section 01 81 13, SUSTAINABLE CONSTRUCTION REQUIREMENTS.
- D. Firestopping Penetrations: Section 07 84 00, FIRESTOPPING.

**1.3 QUALITY ASSURANCE:**

- A. Installer Qualifications: An experienced installer with a minimum of three (3) years' experience and who has specialized in installing joint sealants similar in material, design, and extent to those indicated for this Project and whose work has resulted in joint-sealant installations with a record of successful in-service performance. Submit qualification.
- B. Source Limitations: Obtain each type of joint sealant through one (1) source from a single manufacturer.
- C. Product Testing: Obtain test results from a qualified testing agency based on testing current sealant formulations within a 12-month period.
  - 1. Testing Agency Qualifications: An independent testing agency qualified according to ASTM C1021.
  - 2. Test elastomeric joint sealants for compliance with requirements specified by reference to ASTM C920, and where applicable, to other standard test methods.
  - 3. Test elastomeric joint sealants according to SWRI's Sealant Validation Program for compliance with requirements specified by reference to ASTM C920 for adhesion and cohesion under cyclic movement, adhesion-in peel, and indentation hardness.
  - 4. Test other joint sealants for compliance with requirements indicated by referencing standard specifications and test methods.
- D. Lab Tests: Submit samples of materials that will be in contact or affect joint sealants to joint sealant manufacturers for tests as follows:

1. Adhesion Testing: Before installing elastomeric sealants, test their adhesion to protect joint substrates according to the method in ASTM C794 to determine if primer or other specific joint preparation techniques are required.
2. Compatibility Testing: Before installing elastomeric sealants, determine compatibility when in contact with glazing and gasket materials.
3. Stain Testing: Perform testing per ASTM C1248 on interior and exterior sealants to determine if sealants or primers will stain adjacent surfaces. No sealant work is to start until results of these tests have been submitted to the Contracting Officer Representative (COR) and the COR has given written approval to proceed with the work.

**1.4 CERTIFICATION:**

- A. Contractor is to submit to the COR written certification that joints are of the proper size and design, that the materials supplied are compatible with adjacent materials and backing, that the materials will properly perform to provide permanent watertight, airtight or vapor tight seals (as applicable), and that materials supplied meet specified performance requirements.

**1.5 SUBMITTALS:**

- A. Submit in accordance with Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES.
- B. Sustainable Design Submittals, as described below:
  1. Volatile organic compounds per volume as specified in PART 2 - PRODUCTS.
- C. Installer qualifications.
- D. Contractor certification.
- E. Manufacturer's installation instructions for each product used.
- F. Cured samples of exposed sealants for each color.
- G. Manufacturer's Literature and Data:
  1. Primers
  2. Sealing compound, each type, including compatibility when different sealants are in contact with each other.
- H. Manufacturer warranty.

**1.6 PROJECT CONDITIONS:**

- A. Environmental Limitations:

1. Do not proceed with installation of joint sealants under following conditions:

- a. When ambient and substrate temperature conditions are outside limits permitted by joint sealant manufacturer or are below 4.4 degrees C (40 degrees F).
- b. When joint substrates are wet.

B. Joint-Width Conditions:

1. Do not proceed with installation of joint sealants where joint widths are less than those allowed by joint sealant manufacturer for applications indicated.

C. Joint-Substrate Conditions:

1. Do not proceed with installation of joint sealants until contaminants capable of interfering with adhesion are removed from joint substrates.

**1.7 DELIVERY, HANDLING, AND STORAGE:**

- A. Deliver materials in manufacturers' original unopened containers, with brand names, date of manufacture, shelf life, and material designation clearly marked thereon.
- B. Carefully handle and store to prevent inclusion of foreign materials.
- C. Do not subject to sustained temperatures exceeding 32 degrees C (90 degrees F) or less than 5 degrees C (40 degrees F).

**1.8 DEFINITIONS:**

- A. Definitions of terms in accordance with ASTM C717 and as specified.
- B. Backing Rod: A type of sealant backing.
- C. Bond Breakers: A type of sealant backing.
- D. Filler: A sealant backing used behind a back-up rod.

**1.9 WARRANTY:**

- A. Construction Warranty: Comply with FAR clause 52.246-21 "Warranty of Construction".
- B. Manufacturer Warranty: Manufacturer shall warranty their sealant for a minimum of five (5) years from the date of installation and final acceptance by the Government. Submit manufacturer warranty.

**1.10 APPLICABLE PUBLICATIONS:**

- A. Publications listed below form a part of this specification to extent referenced. Publications are referenced in text by basic designation only.
- B. ASTM International (ASTM):

- C509-06.....Elastomeric Cellular Preformed Gasket and Sealing Material
- C612-14.....Mineral Fiber Block and Board Thermal Insulation
- C717-14a.....Standard Terminology of Building Seals and Sealants
- C734-06(R2012).....Test Method for Low-Temperature Flexibility of Latex Sealants after Artificial Weathering
- C794-10.....Test Method for Adhesion-in-Peel of Elastomeric Joint Sealants
- C919-12.....Use of Sealants in Acoustical Applications.
- C920-14a.....Elastomeric Joint Sealants.
- C1021-08(R2014).....Laboratories Engaged in Testing of Building Sealants
- C1193-13.....Standard Guide for Use of Joint Sealants.
- C1248-08(R2012).....Test Method for Staining of Porous Substrate by Joint Sealants
- C1330-02(R2013).....Cylindrical Sealant Backing for Use with Cold Liquid Applied Sealants
- C1521-13.....Standard Practice for Evaluating Adhesion of Installed Weatherproofing Sealant Joints
- D217-10.....Test Methods for Cone Penetration of Lubricating Grease
- D412-06a(R2013).....Test Methods for Vulcanized Rubber and Thermoplastic Elastomers-Tension
- D1056-14.....Specification for Flexible Cellular Materials—Sponge or Expanded Rubber
- E84-09.....Surface Burning Characteristics of Building Materials
- C. Sealant, Waterproofing and Restoration Institute (SWRI).  
The Professionals' Guide
- D. Environmental Protection Agency (EPA):  
40 CFR 59(2014).....National Volatile Organic Compound Emission Standards for Consumer and Commercial Products

## **PART 2 - PRODUCTS**

### **2.1 SEALANTS:**

- A. Exterior Sealants:

1. Vertical surfaces, provide non-staining ASTM C920, Type S or M, Grade NS, Class 25, .
- 2 Horizontal surfaces, provide ASTM C920, Type S or M, Grade P, Class 25, Use T.
3. Provide location(s) of exterior sealant as follows:
  - a. Joints formed where frames and subsills of windows, doors, louvers, and vents adjoin masonry, concrete, or metal frames. Provide sealant at exterior surfaces of exterior wall penetrations.
  - b. Metal to metal.
  - c. Masonry to masonry or stone.
  - d. Stone to stone.
  - e. Cast stone to cast stone.
  - f. Masonry expansion and control joints.
  - g. Wood to masonry.
  - h. Masonry joints where shelf angles occur.
  - i. Voids where items penetrate exterior walls.
  - j. Metal reglets, where flashing is inserted into masonry joints, and where flashing is penetrated by coping dowels.

## **2.2 COLOR:**

- A. Sealants used with exposed masonry are to match color of mortar joints.
- B. Sealants used with unpainted concrete are to match color of adjacent concrete.
- C. Color of sealants for other locations to be light gray or aluminum, unless otherwise indicated in construction documents.

## **2.3 JOINT SEALANT BACKING:**

- A. General: Provide sealant backings of material and type that are nonstaining; are compatible with joint substrates, sealants, primers, and other joint fillers; and are approved for applications indicated by sealant manufacturer based on field experience and laboratory testing.
- B. Cylindrical Sealant Backings: ASTM C1330, of type indicated below and of size and density to control sealant depth and otherwise contribute to producing optimum sealant performance:
  1. Type C: Closed-cell material with a surface skin.
- C. Elastomeric Tubing Sealant Backings: Neoprene, butyl, EPDM, or silicone tubing complying with ASTM D1056 or synthetic rubber (ASTM C509), nonabsorbent to water and gas, and capable of remaining resilient at temperatures down to minus 32 degrees C (minus 26 degrees F). Provide

products with low compression set and of size and shape to provide a secondary seal, to control sealant depth, and otherwise contribute to optimum sealant performance.

- D. Bond-Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer for preventing sealant from adhering to rigid, inflexible joint-filler materials or joint surfaces at back of joint where such adhesion would result in sealant failure. Provide self-adhesive tape where applicable.

#### **2.4 WEEPS:**

- A. Weep/Vent Products: Provide the following unless otherwise indicated or approved.
  - 1. Round Plastic Tubing: Medium-density polyethylene, 10 mm (3/8-inch) OD by thickness of stone or masonry veneer.

#### **2.5 FILLER:**

- A. Mineral fiberboard: ASTM C612, Class 1.
- B. Thickness same as joint width.
- C. Depth to fill void completely behind back-up rod.

#### **2.6 PRIMER:**

- A. As recommended by manufacturer of caulking or sealant material.
- B. Stain free type.

#### **2.7 CLEANERS-NON POROUS SURFACES:**

- A. Chemical cleaners compatible with sealant and acceptable to manufacturer of sealants and sealant backing material. Cleaners to be free of oily residues and other substances capable of staining or harming joint substrates and adjacent non-porous surfaces and formulated to promote adhesion of sealant and substrates.

### **PART 3 - EXECUTION**

#### **3.1 INSPECTION:**

- A. Inspect substrate surface for bond breaker contamination and unsound materials at adherent faces of sealant.
- B. Coordinate for repair and resolution of unsound substrate materials.
- C. Inspect for uniform joint widths and that dimensions are within tolerance established by sealant manufacturer.

#### **3.2 PREPARATIONS:**

- A. Prepare joints in accordance with manufacturer's instructions and SWRI (The Professionals' Guide).
- B. Clean surfaces of joint to receive caulking or sealants leaving joint dry to the touch, free from frost, moisture, grease, oil, wax, lacquer

paint, or other foreign matter that would tend to destroy or impair adhesion.

1. Clean porous joint substrate surfaces by brushing, grinding, blast cleaning, mechanical abrading, or a combination of these methods to produce a clean, sound substrate capable of developing optimum bond with joint sealants.
  2. Remove loose particles remaining from above cleaning operations by vacuuming or blowing out joints with oil-free compressed air. Porous joint surfaces include but are not limited to the following:
    - a. Concrete.
    - b. Masonry.
    - c. Unglazed surfaces of ceramic tile.
  3. Remove laitance and form-release agents from concrete.
  4. Clean nonporous surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion of joint sealants. Nonporous surfaces include but are not limited to the following:
    - a. Metal.
    - b. Glass.
    - c. Porcelain enamel.
    - d. Glazed surfaces of ceramic tile.
- C. Do not cut or damage joint edges.
- D. Apply non-staining masking tape to face of surfaces adjacent to joints before applying primers, caulking, or sealing compounds.
1. Do not leave gaps between ends of sealant backings.
  2. Do not stretch, twist, puncture, or tear sealant backings.
  3. Remove absorbent sealant backings that have become wet before sealant application and replace them with dry materials.
- E. Apply primer to sides of joints wherever required by compound manufacturer's printed instructions or as indicated by pre-construction joint sealant substrate test.
1. Apply primer prior to installation of back-up rod or bond breaker tape.
  2. Use brush or other approved means that will reach all parts of joints. Avoid application to or spillage onto adjacent substrate surfaces.

**3.3 BACKING INSTALLATION:**

- A. Install backing material, to form joints enclosed on three sides as required for specified depth of sealant.
- B. Where deep joints occur, install filler to fill space behind the backing rod and position the rod at proper depth.
- C. Cut fillers installed by others to proper depth for installation of backing rod and sealants.
- D. Install backing rod, without puncturing the material, to a uniform depth, within plus or minus 3 mm (1/8 inch) for sealant depths specified.
- E. Where space for backing rod does not exist, install bond breaker tape strip at bottom (or back) of joint so sealant bonds only to two opposing surfaces.

**3.4 SEALANT DEPTHS AND GEOMETRY:**

- A. At widths up to 6 mm (1/4 inch), sealant depth equal to width.
- B. At widths over 6 mm (1/4 inch), sealant depth 1/2 of width up to 13 mm (1/2 inch) maximum depth at center of joint with sealant thickness at center of joint approximately 1/2 of depth at adhesion surface.

**3.5 INSTALLATION:**

- A. General:
  - 1. Apply sealants and caulking only when ambient temperature is between 5 degrees C and 38 degrees C (40 degrees and 100 degrees F).
  - 2. Do not install polysulfide base sealants where sealant may be exposed to fumes from bituminous materials, or where water vapor in continuous contact with cementitious materials may be present.
  - 3. Do not install sealant type listed by manufacture as not suitable for use in locations specified.
  - 4. Apply caulking and sealing compound in accordance with manufacturer's printed instructions.
  - 5. Avoid dropping or smearing compound on adjacent surfaces.
  - 6. Fill joints solidly with compound and finish compound smooth.
  - 7. Tool exposed joints to form smooth and uniform beds, with slightly concave surface conforming to joint configuration per Figure 5A in ASTM C1193 unless shown or specified otherwise in construction documents. Remove masking tape immediately after tooling of sealant and before sealant face starts to "skin" over. Remove any excess

- sealant from adjacent surfaces of joint, leaving the working in a clean finished condition.
8. Finish paving or floor joints flush unless joint is otherwise detailed.
  9. Apply compounds with nozzle size to fit joint width.
  10. Test sealants for compatibility with each other and substrate. Use only compatible sealant. Submit test reports.
  11. Replace sealant which is damaged during construction process.
- B. Weeps: Place weep holes and vents in joints where moisture may accumulate, including at base of cavity walls, above shelf angles, at all flashing, and as indicated on construction documents.
1. Use round plastic tubing to form weep holes.
  2. Space weep holes formed from plastic tubing not more than 406 mm (16 inches) o.c.
  3. Trim tubing material used in weep holes flush with exterior wall face after sealant has set.
- C. For application of sealants, follow requirements of ASTM C1193 unless specified otherwise. Take all necessary steps to prevent three-sided adhesion of sealants.
- D. Interior Sealants: Where gypsum board partitions are of sound rated, fire rated, or smoke barrier construction, follow requirements of ASTM C919 only to seal all cut-outs and intersections with the adjoining construction unless specified otherwise.
1. Apply a 6 mm (1/4 inch) minimum bead of sealant each side of runners (tracks), including those used at partition intersections with dissimilar wall construction.
  2. Coordinate with application of gypsum board to install sealant immediately prior to application of gypsum board.
  3. Partition intersections: Seal edges of face layer of gypsum board abutting intersecting partitions, before taping and finishing or application of veneer plaster-joint reinforcing.
  4. Openings: Apply a 6 mm (1/4 inch) bead of sealant around all cutouts to seal openings of electrical boxes, ducts, pipes and similar penetrations. To seal electrical boxes, seal sides and backs.
  5. Control Joints: Before control joints are installed, apply sealant in back of control joint to reduce flanking path for sound through control joint.

**3.6 FIELD QUALITY CONTROL:**

- A. Field-Adhesion Testing: Field-test joint-sealant adhesion to joint substrates according to Method A, Field-Applied Sealant Joint Hand Pull Tab, in Appendix X1 in ASTM C1193 or Method A, Tail Procedure, in ASTM C1521.
  - 1. Extent of Testing: Test completed elastomeric sealant joints as follows:
    - a. Perform 10 tests for first 305 m (1000 feet) of joint length for each type of elastomeric sealant and joint substrate.
    - b. Perform one test for each 305 m (1000 feet) of joint length thereafter or one test per each floor per elevation.
- B. Inspect joints for complete fill, for absence of voids, and for joint configuration complying with specified requirements. Record results in a field adhesion test log.
- C. Inspect tested joints and report on following:
  - 1. Whether sealants in joints connected to pulled-out portion failed to adhere to joint substrates or tore cohesively. Include data on pull distance used to test each type of product and joint substrate.
  - 2. Compare these results to determine if adhesion passes sealant manufacturer's field-adhesion hand-pull test criteria.
  - 3. Whether sealants filled joint cavities and are free from voids.
  - 4. Whether sealant dimensions and configurations comply with specified requirements.
- D. Record test results in a field adhesion test log. Include dates when sealants were installed, names of persons who installed sealants, test dates, test locations, whether joints were primed, adhesion results and percent elongations, sealant fill, sealant configuration, and sealant dimensions.
- E. Repair sealants pulled from test area by applying new sealants following same procedures used to originally seal joints. Ensure that original sealant surfaces are clean and new sealant contacts original sealant.
- F. Evaluation of Field-Test Results: Sealants not evidencing adhesive failure from testing or noncompliance with other indicated requirements, will be considered satisfactory. Remove sealants that fail to adhere to joint substrates during testing or to comply with

other requirements. Retest failed applications until test results prove sealants comply with indicated requirements.

**3.7 CLEANING:**

- A. Fresh compound accidentally smeared on adjoining surfaces: Scrape off immediately and rub clean with a solvent as recommended by manufacturer of the adjacent material or if not otherwise indicated by the caulking or sealant manufacturer.
- B. Leave adjacent surfaces in a clean and unstained condition.

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**SECTION 08 51 13  
ALUMINUM WINDOWS**

**PART 1 - GENERAL**

**1.1 SUMMARY**

- A. Section Includes:
1. Aluminum windows for renovation work.

**1.2 RELATED REQUIREMENTS**

- A. Sealing Joints: Section 07 92 00, JOINT SEALANTS.  
B. Glazing: Section 08 80 00, GLAZING.  
C. Color of finish: Section 09 06 00, SCHEDULE FOR FINISHES.

**1.3 APPLICABLE PUBLICATIONS**

- A. Comply with references to extent specified in this section.  
B. American Architectural Manufacturers Associations (AAMA):
1. AAMA/WDMA/CSA 101/I.S.2/A440-11 - Windows, Doors, and Skylights.
  2. AAMA 505-09 - Dry Shrinkage and Composite Performance Thermal Cycle Test Procedures.
  3. AAMA 2605-13 - Performance Requirements and Test Procedures for Superior Performing Organic Coatings on Aluminum Extrusions and Panels.
  4. AAMA TIR A8-08 - Structural Performance of Composite Thermal Barrier Framing System.
- C. American Society of Civil Engineers/Structural Engineering Institute (ASCE/SEI):
1. 7-10 - Minimum Design Loads for Buildings and Other Structures.
- D. American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE):
1. 90.1-13 - Energy Standard for Buildings Except Low-Rise Residential Buildings.
- E. ASTM International (ASTM):
1. B209-14 - Aluminum and Aluminum-Alloy Sheet and Plate.
  2. B209M-14 - Aluminum and Aluminum-Alloy Sheet and Plate (Metric).
  3. B221-14 - Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes.
  4. B221M-13 - Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes (Metric).

5. E283-04(2012) - Determining Rate of Air Leakage Through Exterior Windows, Curtain Walls, and Doors Under Specified Pressure Differences Across the Specimen.
6. E331-00(2009) - Water Penetration of Exterior Windows, Skylights, Doors, and Curtain Walls by Uniform Static Air Pressure Difference.

#### **1.4 PREINSTALLATION MEETINGS**

- A. Conduct preinstallation meeting at project site minimum 30 days before beginning Work of this section.
  1. Required Participants:
    - a. Contracting Officer's Representative.
    - b. Contractor.
    - c. Installer.
    - d. Manufacturer's field representative.
  2. Meeting Agenda: Distribute agenda to participants minimum 3 days before meeting.
    - a. Installation schedule.
    - b. Installation sequence.
    - c. Preparatory work.
    - d. Protection before, during, and after installation.
    - e. Installation.
    - f. Transitions and connections to other work.
    - g. Other items affecting successful completion.
  3. Document and distribute meeting minutes to participants to record decisions affecting installation.

#### **1.5 SUBMITTAL**

- A. Submit according to Section 01 33 23, SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES.
- B. Submittal Drawings:
  1. Indicate window types required for project.
  2. Identify window unit components by name and type of metal or material, show construction, locking systems, mechanical operators, trim, installation and anchorages.
  3. Include glazing details and standards for factory glazed units.
- C. Manufacturer's Literature and Data:
  1. Description of each product.
  2. Installation instructions.
  3. Warranty.

- D. Sustainable Construction Submittals:
  - 1. Recycled Content: Identify post-consumer and pre-consumer recycled content percentage by weight.
- E. Samples:
  - 1. Window Frame: 150 mm (6 inch) long samples showing finishes, specified.
- F. Test reports: Indicate each product complies with specifications.
  - 1. Windows.
  - 2. Operating hardware.
- G. Certificates: Indicate each product complies with requirements (window characteristics may be on window schedule or other drawings).

**1.6 QUALITY ASSURANCE**

- A. Manufacturer Qualifications:
  - 1. Regularly manufactures specified products.
  - 2. Manufactured specified products with satisfactory service on five similar installations for minimum five years.
    - a. Provide contact names and addresses for completed projects when requested by Contracting Officer's Representative.
- B. Quality Certified Labels or Certificates:
  - 1. AAMA Label affixed to each window indicating compliance with specification.
  - 2. Certificates in lieu of label with copy of test report maximum 4 years old from independent testing laboratory and certificate signed by window manufacturer stating that windows provided comply with specified requirements and AAMA/WDMA/CSA 101/I.S.2/A440 for type of window specified.

**1.7 STORAGE AND HANDLING**

- A. Protect windows from damage during handling and construction operations before, during and after installation.
- B. Store windows under cover, setting upright.
- C. Do not stack windows flat.
- D. Do not lay building materials or equipment on windows.

**1.8 WARRANTY**

- A. Construction Warranty: FAR clause 52.246-21, "Warranty of Construction."

B. Manufacturer's Warranty: Warrant windows against material and manufacturing defects.

1. Warranty Period: 10 years.

## PART 2 - PRODUCTS

### 2.1 SYSTEM PERFORMANCE

A. Design windows complying with specified performance:

1. Load Resistance: ASCE/SEI 7 Design criteria as indicated on Drawings.
  - a. Performance Grade: AAMA/WDMA/CSA 101/I.S.2/A440 required to resist maximum positive and negative wind load.
2. Thermal Transmittance: Maximum U-value W/sq. m/degree K (Btu/sq. ft./hr./degree F).
  - a. Insulating Glass Windows: U-2.8 (U-0.5).
  - b. Dual Glazed Windows: U-4.0 (U-0.7), or as required by ASHRAE 90.1.
3. Condensation Resistance Factor (CRF): NFRC 500 Minimum CRF C 55.

SPEC WRITER NOTE: First option for the following two paragraphs pertain to AAMA'S CW Performance Classifications; Second option is used for AW Classifications.

4. Water Resistance: ASTM E331; No uncontrolled penetration at 390 Pa (8.00 psf), minimum, pressure differential.
  5. Air Infiltration Resistance: ASTM E283; // 1.5 // 0.5 // L/s/sq. m (// 0.3 // 0.1 // cfm/sq. ft.), maximum at // 75 Pa (1.57 psf) // 300 Pa (6.24 psf) //, minimum, pressure differential.
- B. Provide the following operation types for locations indicated on the Drawings.
1. Hung Windows: Double hung.
    - a. Performance Class and Grade: AAMA/WDMA/CSA 101/I.S.2/A440, minimum CW-30.
    - b. Provide units with tilt-in feature permitting both sides of both sash to be cleaned from interior.
      - 1) Restrict sash tilting without use of maintenance release mechanism and removable locking handle.
      - 2) Finger operated tilt latches not acceptable.
  2. Casement Windows:

- a. Performance Class and Grade: AAMA/WDMA/CSA 101/I.S.2/A440, minimum CW-30.
- 3. Projected Windows:
  - a. Performance Class and Grade: AAMA/WDMA/CSA 101/I.S.2/A440, minimum CW-30.
  - b. Operation:
    - 1) Awning Sash: Project-out and slide down from top.
    - 2) Hopper Sash: Project-in from top and slide up from bottom.
- 4. Dual Horizontal Sliding Windows:
  - a. Performance Class and Grade: AAMA/WDMA/CSA 101/I.S.2/A440, minimum CW-30.
- 5. Single Sash Horizontal Sliding Windows:
  - a. Performance Class and Grade: AAMA/WDMA/CSA 101/I.S.2/A440, minimum CW-30.
- 6. Fixed Windows:
  - a. Performance Class and Grade: AAMA/WDMA/CSA 101/I.S.2/A440, minimum CW-30.

## **2.2 MATERIALS**

- A. Aluminum Extrusions: ASTM B221M (ASTM B221); 6063 alloy, T5 temper.
- B. Aluminum Sheet: ASTM B209M (ASTM B209); 5005 alloy, H15 or H34 temper.

## **2.3 PRODUCTS - GENERAL**

- A. Basis of Design: Section 09 06 00, SCHEDULE FOR FINISHES.
- B. Provide windows from one manufacturer.
- C. Sustainable Construction Requirements:

- 1. Aluminum Recycled Content: 80 total recycled content, minimum.

## **2.4 ALUMINUM WINDOWS**

- A. Frames and Sashes: Aluminum extrusions, AAMA/WDMA/CSA 101/I.S.2/A440.
- B. Thermal-Break Window Construction:
  - 1. Manufacturer's Standard.
  - 2. Low conductance thermal barrier.
  - 3. Capable of structurally holding sash in position and together.
  - 4. Thermal Break Assemblies: Tested according to AAMA TIR A8 and AAMA 505.

5. Design location of thermal break so that, in closed position, outside air does not come in direct contact with interior frame of window.

C. Mullions: Match window units.

D. Provide anchors and other related accessories required for installation.

## **2.5 GLAZING**

A. Glass and Glazing: As specified in Section 08 80 00, GLAZING.

1. Factory glaze windows.

2. Weep holes through glazed areas are not acceptable.

## **2.6 INSECT SCREENING**

A. Screen Mesh: 18 by 18, AAMA/WDMA/CSA 101/I.S.2/A440.

1. Screen Cloth: Aluminum.

B. Frame: Aluminum, match window unit finish type and color, unless otherwise indicated.

## **2.7 HARDWARE**

A. Locks: Two position locking bolts or cam type tamperproof custodial locks with a single point control located not higher than 1500 mm (60 inches) from floor level. Locate locking devices in vent side rail. Provide concealed or nonremovable fastenings for locks and keepers.

B. Locking Device Strikes: Locate adjustable strikes in frame jamb. Fabricate strikes from Type 304 stainless steel or white bronze.

C. Fabricate hinges of noncorrosive metal. Hinges may be either fully concealed when window is closed or semi-concealed with exposed knuckles and hospital tips. Surface mounted hinges are not acceptable.

D. Guide Blocks: Fabricate guide blocks of injection molded nylon. Install guide block fully concealed in vent/frame sill.

E. Hardware for Emergency Ventilation of Windows:

1. Provide windows with hold open linkage.

2. Provide hold open hardware for maximum 150 mm (6 inches) of window opening with adjustable friction shoe to provide resistance when closing window.

3. Handles: Removable type.

F. Hardware for Maintenance Opening of Windows: Opening beyond limit stop position accomplished by maintenance key captured by release device when window is in open position.

1. Design operating device to prevent opening with standard tools, coins or bent wire devices.

G. Pole Operators:

1. Provide pole operator and pole hanger where operable windows have hardware more than 1500 mm (60 inches) above floor, but not over 3000 mm (10 feet) above floor.
2. Fabricate pole of tubular anodized aluminum with rubber cap at lower end and standard push-pull hook at top end to match hardware design.
3. Provide sufficient length for window operation without reaching more than 1500 mm (60 inches) above floor.
4. Provide one operating pole and one pole hanger in each room or space where pole operation of windows is required.

H. Weather Stripping: AAMA/WDMA/CSA 101/I.S.2/A440; leaf type weather-stripping is not acceptable.

I. Provide wrenches, keys, or removable locking operating handles, as specified to operate windows.

1. Provide one emergency ventilating operating handle for every four windows.
2. Provide maintenance or window washer operating handles as required.

## 2.8 FABRICATION

A. Fabricate windows to comply specified performance class and grade.

1. Assemble frame and sash so fasteners are concealed when window is closed.
2. Attach locking and hold-open devices to windows with concealed fasteners.
3. Where extrusion wall thickness is less than 3 mm (0.125 inch) thick, provide backup plates or similar reinforcements for fasteners.
4. Use stainless steel fasteners to secure Venetian blind hanger clips, vent guide blocks, friction adjuster, and limit opening device.

B. Aluminum Trim:

1. Trim includes casings, closures, and panning.
2. Fabricate to shapes shown, minimum 1.6 mm (0.062 inch) thick.
3. Extruded or formed sections, straight, true, and smooth on exposed surfaces.
4. Exposed external corners mitered and internal corners coped; fitted with hairline joints.
5. Reinforce 1.6 mm (0.062 inch) thick members with minimum 3 mm (1/8 inch) thick aluminum.

6. Except for strap anchors, provide reinforcing for fastening near ends and spaced maximum 300 mm (12 inches) on center.
  7. Design to allow unrestricted expansion and contraction of members and window frames.
  8. Secure to window frames with machine screws or expansion rivets.
  9. Exposed screws, fasteners or pop rivets are not acceptable on exterior of casing or trim cover system.
- C. Aluminum Subsills and Stools:
1. Fabricate to shapes shown, minimum 2 mm (0.080 inch) thick extrusion.
  2. One piece full length of opening with concealed anchors.
  3. Sills turned up back edge minimum 6 mm (1/4 inch). Front edge provide with drip.
  4. Sill back edge behind face of window frame. Do not extend to interior surface or bridge thermal breaks.
  5. Do not perforate for anchorage, clip screws, or other requirements.

## 2.9 FINISHES

- A. Finish window units according to NAAMM AMP 500 series.
- B. Anodized Aluminum:
  1. Clear Anodized Finish: AA-C22A41; Class I Architectural, 0.018 mm (0.7 mil) thick.
  2. Color Anodized Finish: AA-C22A42 or AA-C22A44; Class I Architectural, 0.018 mm (0.7 mil) thick.
- C. Aluminum Paint finish:
  1. Fluorocarbon Finish: AAMA 2605; 70 percent fluoropolymer resin, 2-coat system.
  2. Color: Refer to Section 09 06 00, SCHEDULE FOR FINISHES.
- D. Hardware: Finish hardware exposed when window is in closed position to match window.

## 2.10 ACCESSORIES

- A. Fasteners: AAMA/WDMA/CSA 101/I.S.2/A440; non-magnetic stainless steel.

## PART 3 - EXECUTION

### 3.1 PREPARATION

- A. Examine and verify substrate suitability for product installation.

1. Verify openings are within acceptable tolerances.
- B. Protect existing construction and completed work from damage.
- C. Remove existing windows to permit new installation when replacement window is available, and ready for immediate installation.
  1. Remove existing work carefully; avoid damage to existing work indicated to remain.
  2. Perform other operations as necessary to prepare openings for proper installation and operation of new windows.
  3. Do not leave openings uncovered at end of working day, during precipitation or temperatures below 16 degrees C (60 degrees F).

### **3.2 INSTALLATION, GENERAL**

- A. Install products according to manufacturer's instructions and approved submittal drawings.
  1. When manufacturer's instructions deviate from specifications, submit proposed resolution for Contracting Officer's Representative consideration.
- B. Where type, size or spacing of fastenings for securing window accessories or equipment to building construction is not shown or specified, provide expansion or toggle bolts or screws, as best suited to construction material.
  1. Provide bolts or screws minimum 6 mm (1/4 inch) in diameter.
  2. Sized and spaced to resist tensile and shear loads imposed.
  3. Do not install exposed fasteners on exterior, except when unavoidable for application of hardware.
  4. Provide non-magnetic stainless steel Phillips flat-head machine screws for exposed fasteners, where required, or special tamper-proof fasteners.
  5. Locate fasteners to avoid disturbing window thermal break.
- C. Set windows plumb, level, true, and in alignment; without warp or rack of frames or sash.
- D. Anchor windows on four sides with anchor clips or fin trim.
  1. Do not allow anchor clips to bridge thermal breaks.
  2. Use separate clips for both sides of thermal breaks.
  3. Make connections to allow for thermal and other movements.
  4. Do not allow building load to bear on windows.
  5. Use manufacturer's standard clips at corners and maximum 600 mm (24 inches) on center.

6. Where fin trim anchorage is indicated build into adjacent construction, anchoring at corners and maximum 600 mm (24 inches) on center.

E. Sills and Stools:

1. Set in bed of mortar or other compound to fully support, true to line shown.
2. Do not extend sill to inside window surface or past thermal break.
3. Leave space for sealants at ends and to window frame unless indicated otherwise.

**3.3 MULLIONS CLOSURES, TRIM, AND PANNING**

- A. Cut mullion full height of opening and anchor directly to window frame on both sides.
- B. Closures, Trim, and Panning: External corners mitered and internal corners coped, fitted with hairline, tightly closed joints.
  1. Secure to concrete and solid masonry with expansion bolts, expansion rivets, split shank drive bolts, or powder actuated drive pins.
  2. Toggle bolt to hollow masonry units.
  3. Screw to wood and metal.
- C. Fasten except for strap anchors, near ends and corners and maximum 300 mm (12 inches) on center.
- D. Seal units following installation to provide weathertight system.

**3.4 ADJUSTING**

- A. Adjust ventilating sash and hardware to provide tight fit at contact points, and at weather-stripping for smooth operation and weathertight closure.

**3.5 FIELD TESTING**

- A. Field Tests: Performed by testing laboratory specified in Section 01 45 29, TESTING LABORATORY SERVICES.
- B. Test Method: AAMA 502.
- C. Test Specimen:
  1. Include window assembly and construction. Affix test chamber to interior side of test specimen and the conduct testing using positive static air pressure (Test method A).
  2. Test specimens to be selected by the Contracting Officer's Representative after windows have been installed according to the drawings and specification.

**3.6 CLEANING**

- A. Lubricate hardware and moving parts.
- B. Remove excess glazing and sealant compounds.
- C. Clean exposed aluminum and glass surfaces. Remove contaminants and stains.
- D. Keep windows locked except while adjusting and testing.

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